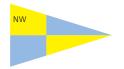
Serial No. N4624 NAFO/FC Doc. 02/9

## Northwest Atlantic Fisheries Organization



## **Conservation and Enforcement Measures**



## Revoked/Amended Measures:

FC Doc. 82/IX/13

FC Doc. 88/1

FC Doc. 91/7 (Rev.)

FC Doc. 92/21

FC Doc. 94/1

FC Doc. 96/1

FC Doc. 97/1

FC Doc. 98/1

FC Doc. 99/1

FC Doc. 00/1

FC Doc. 01/1

NAFO Headquarters, 2 Morris Drive, Dartmouth, N. S., Canada

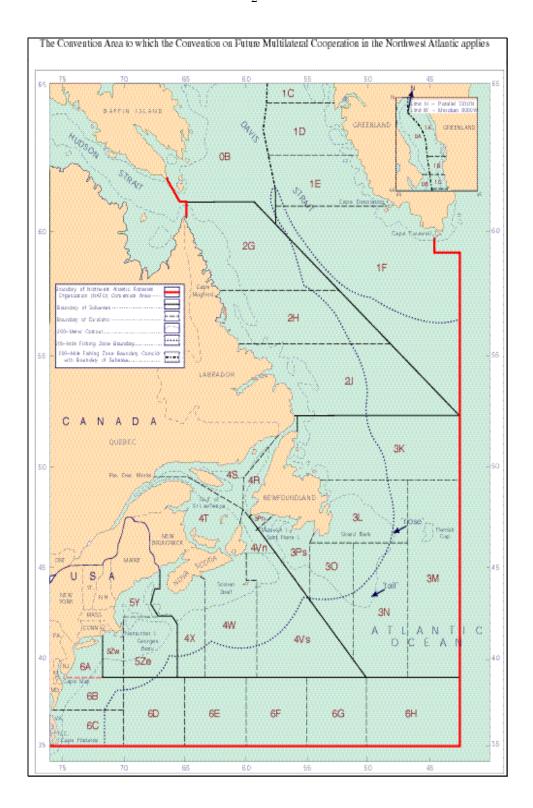
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#### Introduction

This publication of the NAFO Conservation and Enforcement Measures incorporates all conservation and enforcement measures presently in force adopted by the Fisheries Commission during 1979 – 2002 in accordance with provisions of Articles XI, XII, and XXIII of the Convention on Future Multilateral Cooperation in the Northwest Atlantic Fisheries.

The text of the Conservation and Enforcement Measures consists of the following seven parts: Part I- Management; Part II-Gear; Part III-Vessel Requirements; Part IV-Scheme of Joint International Inspection and Surveillance; Part V-Schedules (Quota Table, Log Book Entries, Record of Cumulative Catch, Authorized Mesh Size of Nets, Certified Mesh Measuring Gauges, and Authorized Topside Chafers); Part VI-Program for Observers and Satellite Tracking; Part VII-Port Inspections.

Following this publication, the previous acting documents of NAFO Conservation and Enforcement Measures, NAFO/FC Doc. 00/1 and FC Doc. 01/1, are now replaced by FC Doc. 02/9.



# NOT TO BE CITED WITHOUT PRIOR REFERENCE TO THE SECRETARIAT

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## Guidelines for the Coordination and Optimization of Inspection and Control in the Regulatory Area

(Proposal 1/91 of the Fisheries Commission adopted on 12 April 1991 and approved in principle on 21 June 1991)

- 1. Contracting Parties engaged in surveillance or inspection activities in the Regulatory Area shall, where possible, co-ordinate their efforts through an exchange of information.
  - (a) Inspection vessels shall provide notification to Executive Secretary and competent authorities/inspection vessels of Contracting Parties with an inspection presence in the Regulatory Area. This notification should be completed as far in advance as is practicable and include the inspection vessel's name, radio call sign, communication capability, name(s) of NAFO inspectors and ETA/ETD Regulatory Area.
  - (b) In response to the notification outlined in (a), inspection vessels operating in the Area at the time, or, where appropriate, the competent authorities of those Contracting Parties which have an inspection/surveillance presence in the Area, shall provide to the inspection vessel which is entering the Area a list of sightings/boardings (including dates/positions) which have been conducted in the previous ten-day period and other relevant information, as appropriate.
  - (c) Inspection vessels operating in the Regulatory Area, once the exchange of information described in (a) and (b) has taken place and means of communication established, shall maintain contact, as far as possible on a daily basis, and with due regard to radio security, in order to exchange information on boardings/sightings or other relevant information and to co-ordinate their activities.
- 2. Contracting Parties engaged in inspection or surveillance activities in the Regulatory Area shall undertake to prepare reports of inspection activity, based on a calendar year, outlining details of boardings, sightings and apparent infringements (including disposition).
- 3. Contracting Parties shall, where possible, exchange inspectors to develop a consistent approach to inspection and control in the Regulatory Area.

#### PART I - MANAGEMENT

## A. Quotas

- 1. A Contracting Party shall limit, in the period to which a quota measure applies, the catches in the Regulatory Area of the stocks listed in **Schedule I** taken by vessels of that Party so that neither the quota allocated to that Contracting Party, nor the amount allocated to "Others", for any Contracting Party to which no quota has been allocated, is exceeded.
- A Contracting Party to which a quota has been allocated shall prohibit fishing in the Regulatory Area by vessels of that Party for the stocks listed in Schedule I on the date on which

accumulated reported catch, estimated unreported catch,

the quantity estimated to be taken before the fishery for that quota would be closed, and the likely incidental catch for the remainder of the period referred to in paragraph 1,

equal **100 percent** of the quota indicated in that Schedule for that Contracting Party. Such Contracting Party shall promptly notify the Executive Secretary of the date on which vessels of that Party will cease a directed fishery for the stocks mentioned in that Schedule. The Executive Secretary shall promptly inform all Contracting Parties of such notification.

- 3. (a) A Contracting Party, to which no quota has been allocated from a particular stock listed in Schedule I, shall notify the Executive Secretary, at least **48 hours** in advance of vessels of that Party engaging in a fishery for an amount allocated to "**Others**" for that stock, together, if possible, with an estimate of the projected catch, and it shall also report at **48-hour** intervals catches by those vessels from that stock.
  - (b) The Executive Secretary shall notify by telex or cable all Contracting Parties of the date on which, for a particular stock,

accumulated reported catch, estimated unreported catch.

the quantity estimated to be taken before closure could be introduced, and the likely incidental catch for the remainder of the period,

taken by vessels of the Contracting Parties to which no quota has been allocated in that stock equal **100 percent** of the quota allocated to "Others" in Schedule I, for that stock.

(c) Within **7 days** of the date of issue of such a telex or cable by the Executive Secretary, each Contracting Party, to which no quota has been allocated for a particular stock, **shall prohibit fishing** by vessels of that Party for that stock, except for incidental catches in directed fisheries for other stocks.

#### 4. 2J3KL Cod

When a decision is taken to allow the resumption of fishing for 2J3KL Cod in the NAFO Regulatory Area:

- (a) The Fisheries Commission shall obtain annually the decision of Canada on the limit it has established for catches by Canadian fishermen for this stock which takes into account the assessment of this stock by the NAFO Scientific Council; this **limit shall** be 95% of the TAC for this stock;
- (b) The Fisheries Commission shall establish a catch limit for this stock in the NAFO Regulatory Area that shall apply to the other NAFO members; this **limit shall be 5%** of the TAC for this stock;

- (c) The total of the catch limits set in accordance with paragraphs a and b above shall constitute the TAC for 2J3KL cod:
- (d) The distribution key that shall apply for the 5% figure when the fishery in the NAFO Regulatory Area is resumed shall be as follows:

EU 65.4% Other Contracting Parties 34.6%

- (e) These measures shall apply until **31 December 2005**.
- (f) These measures shall not serve as a precedent in future years for the fixation of catch limits or the criteria for quota distribution of stocks of other species.

## 5. <u>Incidental Catch Limits</u>

- (a) Masters shall not conduct directed fisheries for species for which incidental catch limits apply.
- (b) Vessels of a Contracting Party shall limit their incidental catch to a maximum of 2500kg or 10%, whichever is the greater, for each species listed in Schedule I for which no quota has been allocated in that division to that Contracting Party.
- (c) In cases where a ban on fishing is in force or an "Others" quota has been fully utilized, incidental catches of the species concerned may not exceed 1,250 kg or 5%, whichever is the greater.
- (d) The percentages above are calculated as the percentage, by weight, for each species, of the total catch excluding the catch of species subject to incidental catch limits and are based on the catch taken by stock area.
- (e) Catches of shrimp shall not be used in the calculation of by-catch level of groundfish species.
- (f) To avoid excessive incidental catch the following fishing strategy shall be implemented;
  - (i) If the amount of incidental catch of any one species listed in Schedule I for which no quota has been allocated in that division to that Contracting Party, in any one haul exceeds 10% of the total catch of the other species in that haul, the vessel shall immediately change fishing area to reduce the incidental catch. The vessel must move a minimum 5 nautical miles from any position of the previous haul.
  - (ii) In cases where a ban on fishing is in force for any particular species or an "Others" quota for any species has been fully utilized, and the amount of incidental catch of this species in any one haul exceeds 5% of the total catch of other species in that haul, the vessel shall immediately change fishing area to reduce the incidental catch. The vessel must move a minimum 5 nautical miles from any position of the previous haul.
  - (iii) If any future haul exceeds the permitted incidental catch limit outlined in (i) or (ii) above, whichever is applicable, the vessel shall again immediately change fishing area to reduce the incidental catch. The vessel must move a minimum 5 nautical miles from any position of the previous hauls and shall not return to the area for at least 48 hours.

## B. <u>Chartering Operations</u>

- 1. Each Contracting Party may utilize partly or wholly quota and shrimp fishing days allocated to that Party under Schedule I and Part I.G by way of charter arrangement with a fishing vessel flying the flag of another Contracting Party notified in accordance with Part III.D, subject to:
  - the consent of the flag Contracting Party;
  - a favourable proposal adopted through a mail vote in accordance with Article XI.2 of the Convention.
- 2. Contracting Parties shall limit such charter arrangements to one fishing vessel per year and for a limited duration not exceeding 6 months.
- 3. Contracting Parties intending to have recourse to such charter arrangements shall together with a request for a mail vote notify the following information to the NAFO Executive Secretary:
  - the name and registration of the chartered vessel and the relevant flag Contracting Party
  - a copy of the charter
  - the fishing possibilities concerned
  - the date as from which the vessel is authorized to commence fishing on these fishing possibilities
  - the duration of the charter
- 4. The relevant flag Contracting Party shall notify in writing its consent to the NAFO Executive Secretary.
- 5. The NAFO Executive Secretary shall circulate the above information and the consent of the flag Contracting Party without delay to Contracting Parties.
- 6. The relevant flag Contracting Party is responsible for ensuring that the vessel complies with the requirements of the NAFO Conservation and Enforcement Measures. This does not nullify the obligations of the Contracting Party to which the quota and shrimp fishing days have been allocated under Part I of the Conservation and Enforcement Measures, as appropriate.
- 7. All catches and incidental catches from such chartering arrangements shall be recorded by the relevant flag Contracting Party separate from other national catch data recorded according to Part I.D., and shall be reported to the Contracting Party to which the fishing possibilities have been allocated and to the Executive Secretary separate from other national catch data according to Part I.D. The Executive Secretary shall add these catches to the catch statistics of the Contracting Party to which the fishing possibilities have originally been allocated.
- 8. As a pilot project, these provisions shall apply only to the year 2002.

## C. Quota Adjustments

- 1. When information satisfactory to the Executive Secretary indicates that there are reasonable grounds for believing that a quota of a Contracting Party has been taken, he shall immediately inform that Contracting Party. Should that Contracting Party, fail within **15 days** either to cease fishing or to demonstrate that the quota has not been taken, the Executive Secretary shall so report without delay to the Fisheries Commission.
- 2. (a) When the Commission finds that vessels of a Contracting Party have taken more than the quota allocated to that Contracting Party, the Commission may adjust the corresponding quota for that Contracting Party in a succeeding quota period.

- (b) When the Commission finds that a Contracting Party failed to report an intention to fish under an allocation to "Others" and subsequently took catches thereunder, or failed to report, in accordance with the Commission's measures, catches taken under an allocation to "Others", or continued a directed fishery under an allocation to "Others" after this fishing had been prohibited in accordance with the Commission's measures, the Commission may propose measures to compensate for damage to the stocks caused by the excessive catch. Such measures might include adjustments to quotas or the establishment of new quotas for that Contracting Party as might be appropriate.
- 3. Where applicable, quota adjustments shall be made during the determination by the Commission of relevant quotas for the following quota period, and shall not result in an increase in any other quota for the Contracting Party to which the quota adjustment applies, nor in any increase in the relevant quota for any other Contracting Party unless the Commission determines that the increase will not cause further harm to the stock.

## D. Recording of Catch

- A Contracting Party shall ensure that each vessel of that Party with fish on board shall, on entering the Regulatory Area, have a record in its fishing logbook of the amount of each species of fish on board.
- 2. (a) For fish taken subject to Commission measures, a Contracting Party shall ensure that all vessels of that Party fishing in the Regulatory Area record:
  - (i) their catches on a daily basis. All **logbook entries** listed in **Schedule II** shall be completed in accordance with its instructions and using the codes specified therein, and
  - (ii) the estimated **cumulative catch** on a daily basis in the form prescribed in **Schedule III**.
  - (b) The records shall:
    - correspond to the smallest geographical area for which a quota has been allocated,
    - (ii) show the disposition of the catch including any fish off-loaded while the vessel is operating in the Regulatory Area, and
    - (iii) be retained aboard the vessel for the duration of the quota period.
  - (c) For all fish taken under paragraph 2 (a), Contracting Parties shall ensure that all vessels of that Party fishing in the Regulatory Area shall either:
    - record their cumulative production by species and product form in a production logbook,
       or
    - (ii) stow in the hold all processed catch in such a way that each species is stowed separately. A stowage plan shall be maintained showing the location of the products in the hold.
- 3. (a) A Contracting Party shall, within **30 days** following the calendar month in which the catches were made, **report provisional monthly** catches by species and stock area to the Executive Secretary, whether or not that Party has quota allocations for the stocks from which catches were obtained.
  - (b) The Executive Secretary shall, within **10 days** following the monthly deadlines for receipt of the provisional catch statistics, collate the information received and circulate it to Contracting Parties.

- (c) The Executive Secretary shall collate the logbook catch summaries from inspection forms and, on a monthly basis, circulate the cumulative year-to-date information to Contracting Parties in the same manner as described in (b) above.
- 4. In order to assess the effects of **cod by-catches** in the redfish and flatfish fisheries:
  - (a) statistics on discards of cod taken in the redfish and flatfish fisheries on the Flemish Cap shall be reported, in addition to the normal reports.
  - (b) length sampling of cod, taken in the redfish and flatfish fisheries on the Flemish Cap, shall be collected for the two components separately, with depth information accompanying each sample.
- 5. In order to secure advice on areal and seasonal **concentrations of juvenile American plaice and Yellowtail flounder** in Div. 3LNO in the Regulatory Area:
  - (a) A Contracting Party shall provide nominal catch and discard statistics, broken down on as fine a scale as possible, preferably by unit areas no larger than 1° latitude and 1° longitude, summarized on a monthly basis.
  - (b) Length sampling, shall be enhanced for both nominal catches and discards, with a sampling intensity on the same scale as adopted in (a) above and summarized on a monthly basis.

## E. <u>Minimum Fish Size\*</u>

- Vessels of a Contracting Party shall not retain on board any fish of a species listed in Part V., Schedule VII that is below the minimum size as listed in that Schedule. If the amount of undersized fish in any one haul exceeds 10% by number, the vessel shall immediately change fishing area (minimum 5 nautical miles) in order to seek to avoid further catches of undersized fish.
- 2. Undersized fish shall not be processed, transhipped, landed, transport ed, stored, displayed or offered for sale, but shall be returned immediately to the sea\*. Any processed fish of a species for which a minimum fish size is listed in Part V, Schedule VII that is below a length equivalent in this Schedule, shall be deemed to originate from fish that is below the minimum fish size.

\*Note: Canadian vessels will abide (until further decision by the Fisheries Commission) by their equivalent national regulation which requires landing of all catches.

## F. Other Measures - No Directed Fishery for Cod in Div. 3L in the Regulatory Area

Noting differences that have been expressed on the subject of 2J3KL cod by Contracting Parties,

Noting the need to avoid prejudice to the legal position of any Contracting Party on this subject,

Noting the provisions of Article XI(3) of the NAFO Convention, which aim at ensuring consistency between the measures established for the Regulatory Area and the measures adopted by the relevant coastal State:

Noting that the advice from the Scientific Council strongly suggests a continuation of the moratorium for the entire stock;

Directed fisheries for cod in Division 3L in the Regulatory Area shall not be permitted in 2002.

Contracting Parties other than Canada expressed their serious concern that management measures for this stock may not be consistent throughout its range in the Convention Area in the year 2002.

#### Recorded Objections (Note by NAFO Secretariat):

- **The Russian Federation** is not bound by Measure I.E. pursuant to its objection registered in GF/94-613 of 28 November 1994 and GF/95-612 of 22 November 1995.

## G. Other Measures - Management Measures for Shrimp in Div. 3M

- Vessels fishing for shrimps in Division 3M in 2002 shall use nets with a minimum mesh size of 40 mm.
- 2. Vessels fishing for shrimp in Division 3M in 2002 shall use sorting grids or grates maximum spacing between the bars of 22 mm.
- 3. In the event that total by-catches of all regulated groundfish species in any haul exceed 5 percent by weight, vessel shall immediately change fishing area (minimum of 5 nautical miles) in order to seek to avoid further by-catches of regulated groundfish.
- 4. a) Each Contracting Party shall limit in 2002 the number of vessels fishing for shrimp in Div. 3M to the number that have participated in this fishery in the period from 1 January 1993 to 31 August 1995.
  - b) Each Contracting Party shall, in 2002, limit the number of fishing days by its vessels fishing for shrimp in Div. 3M to 90% of the maximum number of fishing days observed for their vessels in one of the years 1993, 1994 or 1995 (until 31 August 1995). However, for Contracting Parties with a track record in the period from 1 January 1993 to 31 August 1995, a minimum level of 400 fishing days is permitted.
  - c) Contracting Parties with no track record in the shrimp fishery in the period from 1 January 1993 to 31 August 1995 may, in 2002, fish for shrimp with one vessel in 100 fishing days.
  - d) Each Contracting Party shall communicate the number of fishing days to the Executive Secretary before 1 November 2001, that are available to that Contracting Party for 2002. The number of days shall be counted from the hail reports of vessels fishing for shrimp in Div. 3M and shall include the days of entry and exit from the Regulatory Area. In the case where vessels fishing for shrimp and other species on the same trip the number of days shall be counted from the day the vessel entered the shrimp fishery to the day the vessel ceased that fishery.

The Executive Secretary shall scrutinize the communications from the Contracting Parties, work with the relevant Contracting Parties if discrepancies are revealed, and by 1 December 2001 notify the number of vessels and fishing days applicable to all Contracting Parties.

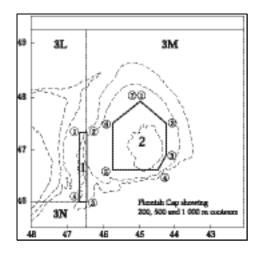
- e) Vessels fishing for shrimp in Division 3M may fish this stock in 2002 in the area defined by the coordinates in footnote 1. However, in the period from June 1, 2002 (00.01 GMT) to December 31, 2002 (24.00 GMT) fishing for shrimps in the area defined by the coordinates in footnote 2 is prohibited.
- f) Each Contracting Party shall, within 30 days following the calendar month in which the catches were made, report provisional monthly fishing days in Div. 3M and the area defined in footnote 1 to the Executive Secretary. The Executive Secretary shall, within 10 days following the monthly deadlines for receipt of the provisional fishing days statistics, collate the information received and circulate it to Contracting Parties.
- g) For vessels conducting trans-zonal fishery for shrimps between Div. 3M and the area defined in footnote 1, the same regulations as in NAFO Conservation and Enforcement Measures, Part III Annex I Hail System Message Format, no. 1.3, shall apply.
- h) Each Contracting Party shall in 2002 closely monitor its vessels fishing for shrimp and close the fishery when the number of fishing days available to that Party is exhausted. The number of fishing days shall be counted from the hail reports of vessel fishing for

shrimp and shall include the days of entry or moves into Div. 3M and the area defined in footnote 1 and the days of moves or exit from Div. 3M and the area defined in footnote 1.

- i) In the case where a vessel is fishing for shrimp and other species on the same trip, the change of fishery shall be hailed and the number of fishing days counted accordingly.
- j) Fishing days of a Contracting Party may only be utilized by a vessel flying the flag of another Contracting Party under the conditions provided in I.B (chartering arrangements).
- k) Fishing days are not transferable between Contracting Parties.
- This management plan for 2001 will be reviewed at a Special Meeting of the Fisheries Commission on Shrimp in light of the most recent advice from the Scientific Council and the outcome of the Special Meeting.

(<u>Note</u>: The Special Meeting in Copenhagen, Denmark, March, 2001, modified the limit of the number of fishing days to <u>75%</u> of the maximum number of fishing days observed for their vessels in one of the years 1993, 1994 or 1995, until 31 August 1995, and this measure was restored again to 90%, as noted in 4b) above, at the Special Meeting in Helsingør, Denmark, February 2002.)

1			<sup>2</sup> (see the map		
Point No. Longitude	Latitude	Longitude	Point No.	Latitude	
1	47°20'0	46°40'0	1 (same as n	o. 7) 47°55'0	45°00'0
2	47°20'0	46°30'0	2	47°30'0	44°15'0
3	46°00'0	46°30'0	3	46°55'0	44°15'0
4	46°00'0	46°40'0	4	46°35'0	44°30'0
			5	46°35'0	45°40'0
			6	47°30'0	45°40'0
			7 (same as n	o 1)47°55'0	45°00'0



Objections recorded by the NAFO Secretariat (under Article XII of the NAFO Convention):

<sup>-</sup> Iceland is not bound by provisions I.G.4 (a-d,f,h-i) pursuant to its objection (GF/00-652 of October 24, 2000) and GF/02-141 of March 19, 2002)

## H. Other Measures - Management Measures for Shrimp in Div. 3NO

All Contracting Parties shall ensure that their vessels shall not conduct a directed fishery for shrimp in Divisions 3NO in 2002.

## I. Other Measures - No Directed Fishery for Witch in Division 3L in the Regulatory Area

Noting the available scientific advice, and

Noting the current moratorium that is being applied by Canada to the directed fishing of this stock inside the Canadian 200 mile zone,

Directed fisheries for witch in Division 3L in the Regulatory Area shall not be permitted in 2002.

## J. Other Measures – No Transshipment of Fish from Non-Contracting Party Vessels

Contracting Parties shall ensure that their fishing vessels do not receive transshipments of fish from a Non-Contracting Party vessel which has been sighted and reported, as having engaged in fishing activities in the NAFO Regulatory Area.

#### K. Other Measures – Management Measures for Shrimp in Division 3L

Note: The following measures apply in Division 3L except that portion of Division 3L adjacent to 3M and defined in PART I-G-4e) of the NAFO Conservation and Enforcement Measures.

- 1. The total allowable catch (TAC) of shrimp in Division 3L for 2002 shall be set at 6,000t each year. Contracting Parties shall not conduct exploratory or research fisheries that take catch beyond that allocated to the Contracting Party.
- 5,000t of the TAC shall be allocated to Canada to be fished within the Canadian zone and 1,000t shall be allocated within the NAFO Regulatory Area between all other Contracting Parties.
- 3. As a preliminary measure, the quota in the NAFO Regulatory Area shall be divided equally among all other Contracting Parties.
- 4. All fishing shall take place in depths greater than 200 meters. The NAFO Regulatory Area fishery shall be restricted to an area east of a line bound by the following co-ordinates:  $46^{\circ}00^{\circ}\text{N}/47^{\circ}53^{\circ}\text{W}$ ,  $46^{\circ}40^{\circ}\text{N}/47^{\circ}20^{\circ}\text{W}$ ,  $47^{\circ}19^{\circ}\text{N}/47^{\circ}43^{\circ}\text{W}$ .
- 5. Vessels fishing for shrimp in Division 3L in 2002 shall use nets with a minimum mesh size of 40 mm.
- 6. Vessels fishing for shrimp in Division 3L in 2002 shall use sorting grids or grates with a maximum bar spacing of 22 mm and the nets shall be equipped with toggle chains of a minimum 72 cm in length.
- 7. In the event that total by-catches of all regulated groundfish species in any haul exceed 2.5 percent by weight of the catch of shrimp, the vessel shall immediately change fishing area (minimum of 5 nautical miles) in order to seek to avoid further by-catches of regulated groundfish.
- 8. Every vessel fishing shrimp in Division 3L in the NAFO Regulatory Area are required to carry observers at all times while fishing.
- 9. In the NAFO Regulatory Area, each Contracting Party shall limit in 2002 the number of vessels fishing for shrimp in Division 3L at any time to one vessel.

- 10. For vessels that fish shrimp in Division 3L each entry and exit from Division 3L shall require 24-hour prior notification to the Executive Secretary. All shrimp on board shall be reported to the Executive Secretary on entry and exit.
- 11. To minimize gear conflicts with other fisheries during the conduct of the 3L shrimp fishery in the NRA, shrimp fishing shall be permitted during the following periods: January 1 March 31, July 1 September 14, December 1 December 31.
- 12. All shrimp harvested in Division 3L shall be packaged and labeled as harvested in this Division.
- 13. Each Contracting Party shall notify the Executive Secretary daily of catches taken by its vessels from this stock. The Executive Secretary will forward this information to Contracting Parties with an inspection presence.

#### PART II - GEAR

#### A. Definitions

For the purpose of these measures, the following definitions apply for various components and attachments of a trawl:

- 1. Topside or upperside component is (a), in a 2-seam trawl, that portion of the net, between the two seams or lacings, which is nearer to the sea surface while the trawl is in tow, and (b), in a 4-seam trawl, that portion of the net between those two seams or lacings which are nearest to the sea surface while the trawl is in tow.
- 2. Bottomside or underside component is (a), in a 2-seam trawl, that portion of the net, between the two seams or lacings, which is nearer to the sea-bed while the trawl is in tow, and (b), in a 4-seam trawl, that portion of the net, opposite the topside or upperside component, between those two seams or lacings which are nearest to the sea-bed while the trawl is in tow.
- 3. Side components, in a 4-seam trawl, are the two other portions of the net, between seams or lacings, while the trawl is in tow.
- 4. Square is that part of the topside or upperside component, without a counterpart in the bottomside or underside component, which is connected aft to the belly and forward (a) to the beam in a beam trawl and (b) to the headrope or headline in any other trawl net.
- 5. Bellies are panels of the bottom trawl net (a), in a trawl with a square, starting from the square on the topside or upperside component and from the lower wings or from the footrope on the bottomside or underside component and joining aft either to the belly extension or to a lengthener or to the codend; or (b), in a trawl with no square, starting from the wings and joining aft either to the belly extension or to a lengthener or to the codend.
  - Side bellies are panels of the 4-seam bottom trawl net side components, starting from the bunt, if there is a square, and from the bunt wings, if there is not, and joining aft either to the belly extension or to a lengthener or to the codend.
- 6. Belly extension is a tapered piece of netting which may be attached to the after end of the belly so that the effective length of the belly is extended.
- 7. Lengthener or lengthening piece is a piece of net, untapered at least in the plan view of the net, which may be inserted between the belly, or belly extension, if any, and the codend to increase catch capacity.
- 8. Codend is the after portion of the trawl net, untapered at least in the plan view of the net, attached to the after end of the belly (or belly extension or lengthener, if present), or the panels in a midwater trawl, secured to form a bag by means of a codline or codend clip reaved through the

after meshes, or rings attached thereto, in order to retain the catch until released on board the trawler.

 Chafing gear or chafers are attachments to the trawl net designed to protect or reinforce the codend.

Two distinct types may be considered, according to the part of the codend they protect or reinforce: (a) topside chafing gear or topside chafer is an attachment to the topside or upperside of the codend, in a 2-seam trawl, and to the topside or upperside and sides of the codend in a 4-seam trawl; and (b) bottom chafing gear or bottom chafer is an attachment designed to reduce or prevent damage due to friction against the sea bottom or the vessel's deck, affixed only to the bottomside or underside of the codend.

10. Panel is: (a) in the case of midwater trawls, the total area of netting, irrespective of mesh size, between each pair of adjacent seams or lacings of the trawl, forward of the codend; and consequently, in a 4-seam trawl, there will be the top or upper panel, the bottom or lower panel and two side panels; and (b) in the case of bottom trawls, each area of netting limited transversally by successive joins or joinings and longitudinally by adjacent seams or lacings.

#### B. Meshes

A Contracting Party shall permit only the use of meshes which have 4 sides, equally long, of the same material, and 4 knots.

#### C. Mesh Size

- 1. For the purposes of this section, mesh sizes mean,
  - (a) in respect of the codend of a net inclusive of any lengthener(s), the average of **the measurements**, in millimeters, of any **20 consecutive meshes** running parallel to the long axis of the codend, beginning at the after end of the codend, and at least 10 meshes from the lacings; and,
  - (b) in respect of any part of a net other than the codend and lengthener(s), the average of the measurements, in millimeters, of any 20 consecutive meshes that are at least 10 meshes from the lacings.
- 2. (a) A Contracting Party shall prohibit its vessels fishing in the Regulatory Area for a species listed in Part V, **Schedule IV**, to which a mesh size measure applies, from having on board during any trip any net with a mesh size smaller than that authorized for that species.
  - (b) Vessels from Contracting Parties which fish in other areas outside the NAFO Regulatory Area shall be authorized when fishing in the Regulatory Area to retain on board nets with a mesh size smaller than that prescribed by paragraph 2 (a) above provided that these nets are securely lashed and stowed and are not available for immediate use.
  - (c) Except as provided in paragraph 3, a Contracting Party shall **prohibit** vessels of that Party from taking in the Regulatory Area species listed in **Schedule IV** with nets having in any part of the net meshes of a size less than that specified in that Schedule, as measured wet after use by inserting into the meshes the appropriate gauge as described in **Schedule V**.
- 3. In order to avoid impairment of fisheries conducted primarily for other species and which take small quantities of regulated species incidentally:
  - (a) a Contracting Party shall permit vessels of that Party fishing primarily for **other species to take regulated species** with nets having a **mesh size less** than specified in

paragraph 2, provided that no vessel has regulated species on board which taken together are in amounts in excess of **2,500 kilograms** for each or **10 percent** by weight for each, of all fish on board, whichever is greater;

- (b) where, during the first **48 hours** of fishing in the Regulatory Area or since previously off-loading, a vessel is found to be **taking regulated species** at a rate that would result in excessive amounts, the inspector shall note this fact on the Report of Inspection and bring it to the attention of the master.
- (c) If, in fisheries conducted with nets having mesh sizes less than those specified in paragraph 2, an inspector observes in nets hauled in his/her presence **incidental** catches in excess of 10 per cent for each species listed in Schedule I, he/she shall record this fact in the Inspection Report and shall remind the Master of the vessel not to continue fishing in the area after the fish on board exceeds the incidental catch limits specified in Part II.B.3(a).
- 4. Strengthening ropes, splitting straps and codend floats may be used on trawls within the Regulatory Area, as long as these attachments do not in any way restrict the mesh authorized in the Conservation and Enforcement Rules or obstruct the mesh opening.

#### D. Chafers

- 1. A Contracting Party shall prohibit the use, by any vessel to which a mesh size measure applies, of any means or device, other than those described in paragraph 2, which would obstruct the meshes of the nets or which would otherwise, in effect, diminish the size of the meshes, provided that devices may be attached to the upperside of the codend in such a manner that they will not obstruct the meshes of the codend inclusive of any lengthener(s). Any such device must conform to Schedule VI.
- 2. A Contracting Party shall permit any canvas, netting, or other material to be attached only to the underside of the codend of a net to reduce and prevent damage.

## E. Marking

- 1. Small boats carried on board fishing vessels shall be marked with the letter(s) and/or number(s) of the vessel to which they belong.
- 2. Marker buoys and similar objects floating on the surface and intended to indicate the location of fixed fishing gear shall be clearly marked at all times with the letter(s) and/or numbers) of the vessel to which they belong.

## PART III - VESSEL REQUIREMENTS

#### A. Marking of Fishing Vessels

Each Contracting Party shall ensure that each of its fishing vessels operating in the Regulatory Area be marked as follows:

The letter(s) of the port or district in which the vessel is registered and/or the number(s) under which it is registered shall be painted or displayed on both sides of the vessel high above the water as possible so as to be clearly visible from the sea and the air in a colour contrasting with ground on which they are painted.

#### B. <u>Documentation</u>

- 1. Each Contracting Party shall ensure that each of its fishing **vessels over 10 metres** in length shall carry on board documents issued by the competent authority of the State in which it is registered showing at least the following elements:
  - its name, if any
  - the letter(s) of the port or district in which it is registered, and the number(s) under which it is registered

- its international radio call sign, if any
- the names and addresses of the owner(s) and, where applicable, the charterers
- its length and engine power
- 2. Each Contracting Party shall ensure that each of its fishing **vessels over 17 metres** in length which freeze or salt fish shall keep on board up-to-date drawings or descriptions of their fish rooms, including an indication of their storage capacity in cubic metres.
- 3. The documents referred to in paragraphs 1 and 2 above shall be certified by the competent authority of the State in which the vessel is registered.

Any modification of the characteristics contained in the documents referred to in paragraphs 1 and 2 shall be certified by a competent authority and the method by which any modification of engine power has been carried out and clearly explained.

The documents referred to in this Section shall be produced for the purposes of control upon request of an assigned NAFO inspector.

#### C. <u>Notification of Research Vessels</u>

- Vessels engaged in research that requires the taking of fish in the Regulatory Area shall not be restricted by the Commission's measures pertaining to the taking of fish, in particular, mesh size, size limits, closed areas and seasons.
- Vessels engaged in research shall be either permanent research vessels or vessels normally
  engaged in commercial fishing or fisheries support activity employed or chartered for fishery
  research.
- 3. A Contracting Party, before the commencement of a fishery research period, shall provide the Executive Secretary with the following information for distribution to requesting Parties:
  - (a) name of vessel owner and address;
  - (b) type and name of vessel;
  - (c) length, beam and draft of vessel;
  - (d) port of registration, registration number, and radio call sign;
  - (e) a note whether the vessel is a permanent research vessel or the period for which the vessel will be employed as a research vessel; and
  - (f) for vessels which are temporarily employed in research only, purpose and area of research and plan of research program.
- 4. In the case of vessels described in s ub-paragraph 3(f), a Contracting Party immediately upon the conclusion of the research activities shall so inform the Executive Secretary.
- 5. The information transmitted to the Executive Secretary shall be available in the English language aboard the vessel, either in the form of a plan of research or as a copy of the communication to the Executive Secretary. In the event that changes are made to the plan or period of research vessels described in sub-paragraph 3(f), **revised information** shall be given to the Executive Secretary **not less than seven days** before the effective date of the changes. A record of any changes shall be kept aboard the vessel.
- 6. An inspector visiting a vessel engaged in research shall note the status of the vessel, and shall limit any inspection procedures permitted by the Scheme of Joint International Inspection and Surveillance to those procedures necessary to ascertain that the vessel is not conducting a commercial fishing operation.

## D. <u>Notification of Fishing and Processing Vessels</u>

- 1. Each Flag Contracting Party shall notify the Executive Secretary of all vessels of more than 50 gross tons engaged in fishing or in processing fish in the Regulatory Area:
  - (a) **prior to 1 January** of each year, if possible; or
  - (b) in a timely manner following departure of the vessel from its home port; or in the case of bare boat charters, one month prior to the departure of the vessel from its home port.
  - (c) by message within 30 days of any changes in the terms of notification.
- 2. Vessels registered in a Contracting Party:

Such notification shall include for each vessel:

- (a) name of vessel in both native and Latin alphabet;
- (b) official numbers;
- (c) home port and nationality;
- (d) owner and charterer, if any;
- (e) certification that its master has been provided with the extant Commission's measures;
- (f) principle directed species while engaged in fishing in the Regulatory Area.
- 3. Vessels temporarily flying the flag of a Contracting Party (bare boat charter)

Such notification shall include for each vessel:

- (a) date as from which the vessel has been authorized to fly its flag
- (b) date as from which the vessel has been authorized by the Contracting Party to engage fishing in the NAFO Regulatory Area
- (c) the name of the State where the vessel is registered or was previously registered and the date as from which it ceased flying the flag of that State;
- (d) name of vessel in both native and Latin alphabet;
- (e) official numbers;
- (f) home port and nationality after the transfer;
- (g) owner and charterer, if any;
- (h) certification that its master has been provided with the extant Commission's measures;
- (i) principle directed species while engaged in fishing in the Regulatory Area.
- 4. The Executive Secretary shall provide all Contracting Parties with a listing of all vessels which he has been notified for fishing in the Regulatory Area.

#### E. <u>Hail System</u>

- 1. A Contracting Party shall ensure that vessels of that Party to which the Scheme of Joint International Inspection and Surveillance applies shall report to their competent authorities or to the NAFO Secretariat if the Contracting Party so desires (Annex 1, Part III):
  - (a) **each entry** into the Regulatory Area. This report shall be made at least **six** (6) hours in advance of the vessel's entry and shall include the date, the time, geographical position of the vessel and total round weight by species (3 alpha codes) on board in kilograms (rounded to the nearest 100 kilograms).

The total quantity of species for which the total round weight by species is less than one tonne may be reported under the 3 alpha code "MZZ" (marine fish not specified).

(b) **each exit** from the Regulatory Area. This report shall be made **six** (6) **hours** in advance of the vessel's exit from the Regulatory Area and shall include the date, time, geographical position of the vessel and catch in round weight taken and retained in the Regulatory Area by species (3 alpha codes) in kilograms (rounded to the nearest 100 kilograms).

The total quantity of species for which the total round weight by species is less than one tonne may be reported under the 3 alpha code "MZZ" (marine fish not specified).

- (c) except as provided in (d), **each movement** from one NAFO division to another NAFO division. This report shall be made prior to the vessel's entry into a NAFO division and shall include the date, time and geographical position of the vessel.
- (d) When vessels conduct a fishery between divisions 3L and 3N and 3N and 3O which necessitates crossing the line between the divisions more than once during a period of 24 (twenty-four) consecutive hours, and provided that they remain within 10 miles of the line between the divisions the vessels concerned shall not report each change of division but shall instead report when first crossing the line between the divisions, and at intervals not exceeding 24 (twenty-four) hours thereafter, the date, the time and their geographical position. When such vessels leave the delimited area of 10 miles either side of the line between the divisions concerned, they shall again report the date, the time and their geographical position.
- (e) **each offloading** for transhipment of fish while the vessel is operating in the Regulatory Area. This report shall be made at least **twenty-four (24) hours** in advance and shall include the date, the time, the geographical position of the vessel and total round weight by species (3 alpha codes) to be transhipped in kilograms (rounded to the nearest **100 kilograms**).

Without prejudice to Schedule II of Part V of the NAFO Conservation and Enforcement Measures, after each radio or fax transmission of information to the competent authorities of Contracting Parties the following details are to be immediately **entered in the logbook**:

- Date and time of transmission
- In cases of radio transmission, name of radio station through which the transmission is made
- 2. Within **24 hours** of receipt of these reports, whenever possible, competent authorities of each Contracting Party shall transmit the information contained therein to the NAFO Executive Secretary. The NAFO Executive Secretary shall transmit the information to other Contracting Parties with an inspection presence in the Regulatory Area as soon as possible and shall ensure that all such transmissions to a Contracting Party are numbered sequentially.
- 3. Contracting Parties will ensure all hail **reports** transmitted by them will be **sequentially numbered**. The Executive Secretary will verify that all hail reports have been received by checking sequential numbering and verify any discrepancies with the Contracting Party concerned. The hail reports are to be treated in a **confidential manner**.
- 4. Vessels equipped with devices which enable the automatic transmission of their position are exempt from the hail requirements set out in (c) and (d) above.

Each Contracting Party whose vessels are so equipped shall notify the Executive Secretary of the names of those vessels in accordance with Part III.D. of the Measures. In addition, each Contracting Party shall transmit, to the NAFO Executive Secretary, on a real time basis, messages indicating movements within the Regulatory Area for its vessels equipped with satellite devices. The Executive Secretary shall transmit as quickly as possible such information to Contracting Parties with an inspection vessel in the NAFO Convention Area.

The Executive Secretary shall draw up lists of such vessels and circulate these to Contracting Parties with an inspection presence in the Regulatory Area.

#### PART III - ANNEX I - HAIL SYSTEM MESSAGE FORMAT

- 1. The communications described below shall be entitled "NAFO REPORT". The information to be transmitted, which shall be presented in the form specified, is as follows:
- 1.1 **Each entry** of the vessel into the Regulatory Area. This report shall be made at least **six hours** in advance of the vessel's entry and shall contain the following particulars in the following order:
  - A. Name of vessel,
  - B. Call sign,
  - C. External identification letters and numbers,
  - D. The date, the time and geographical position,
  - E. Indication of the message code: "ENTRY",
  - F. The NAFO division into which the vessel is about to enter,
  - G. The total round weight of fish by species (3 alpha codes) on board in kilograms rounded to the nearest 100 kilograms,
  - H. The name of the master,
  - I. Directed species.
- 1.2 **Each movement** from one NAFO division to another NAFO division except when moving between divisions 3L and 3N, and 3N and 3O under the conditions provided for in 1.3 below, and each movement from the delimited zone of 10 miles either side of the lines separating divisions 3L and 3N and 3N and 3O when the conditions set out in 1.3 no longer apply. These reports shall be made prior to the vessel's entry into a NAFO division and shall contain the following particulars in the following order:
  - A. Name of vessel,
  - B. Call sign,
  - C. External identification letters and numbers,
  - D. The date, the time and geographical position,
  - E. Indication of the message code: "MOVE",
  - F. The NAFO division into which the vessel is about to enter,
  - G. The name of the master,
  - H. Directed species.
- 1.3 Vessels conducting **trans-zonal fishery** between NAFO divisions 3L and 3N or between divisions 3N and 3O which cross the line separating these divisions more than once during a period of **24 consecutive hours**, and provided that they remain within the delimited zone (of **10 miles** either side of the line between the divisions) shall report when first crossing the line between the divisions and at intervals not exceeding **24 hours** thereafter (while remaining in the delimited zone), the following particulars in the following order:
  - A. Name of vessel,
  - B. Call sign,
  - C. External identification letters and numbers,
  - D. The date, the time and geographical position,
  - E. Indication of the message code: "ZONE",
  - F. The name of the master,
  - G. Directed species.
- 1.4 **Each exit** from the Regulatory Area. These reports shall be made at least **six (6) hours** in advance of the vessel's exit from the Regulatory Area and shall contain the following particulars in the following order:
  - A. Name of vessel,
  - B. Call sign,
  - C. External identification letters and numbers,
  - D. The date, the time and geographical position,
  - E. Indication of the message code: "EXIT",
  - F. The NAFO division from which the vessel is about to leave,
  - G. The catch in round weight taken in the Regulatory Area by species (3 alpha codes) in kilograms (rounded to the nearest 100 kilograms).
  - H. The name of the master.

- 1.5 Transhipment in the Regulatory Area. This report shall be made at least twenty-four hours in advance and shall contain the following particulars in the following order:
  - A. Name of vessel,
  - B. Call sign,
  - C. External identification letters and numbers,
  - D.
  - The date, the time and geographical position, Indication of the message code: "TRANSFER", E.
  - F. The total round weight by species (3 alpha code) to be transhipped in kilograms (rounded to the nearest 100 kilograms),
  - G. The name of the master.

# Formats for the Electronic Transmission of NAFO Hails from Contracting Parties to the NAFO Secretariat

#### **EXPLANATORY NOTES**

- a) The formats herein conform with the requirements for the NAFO Hails System as set out in FC Document 00/1, the NAFO Conservation and Enforcement Measures Part III and Part III Annex I Hail System Message Format.
- b) The formats consist of variable length delimited records, and are based on systems currently in use in NEAFC.
- c) The variable length record is preferred over a fixed length record as some Contracting Parties collect more information from their vessels than is required by NAFO, and are forwarding the entire record to NAFO. The format is conducive to extraction of the required data fields by the receiving parties.
- d) The following convention is used in this paper: //FIELD NAME/field value//, where the field name is shown in uppercase, followed by the character "/", followed by the field value in uppercase. Fields are separated by "//".
- e) Each record begins with the string //SR// to indicate the Start of the Record.
- f) Each record ends with the string //ER// to indicate the End of the Record.
- g) Character fields (CHAR) shall conform with the ISO 8859.1 character set standard.
- h) Country codes used for addressee (AD) and sender (FR) shall conform with the ISO 3166 (1993) standard. E/F 7.3 states that user-assigned country codes shall start with the character "X", therefore it is proposed that the code XNW be used to designate the NAFO Secretariat, the addressee for hail messages.

Example 1 (continued)

#### NAFO HAILS SYSTEM - Part III Annex I Hail System Message Format

## 1.1 **ENTRY HAIL** //SR //FR/Name of transmitting party //AD/Destination "XNW" for NAFO //SQ/sequence number //NA/name of vessel //RC/International radio call sign //XR/external identification letters and numbers //DA/date of transmission //TI/time of transmission //LT/latitude at time of transmission //LG/longitude at time of transmission //TM/indication of type of message "ENT" //RA/NAFO Division into which the vessel is about to enter. //OB/total round weight of fish by species (3 alpha codes) on board upon entry into the Regulatory Area, in kilograms rounded to the nearest 100 kilograms. Allow several pairs of fields, consisting of species + weight, with each field separated by a space. e.g. //OB/species weight species weight species weight// //MA/name of the Master //DS/directed species Allow several species to be entered, with the values separated by spaces, e.g. //DS/species species species// //ER//

<u>Note</u>: In order to facilitate system operation, the data elements Record Number (RN), Record Date (RD) and Record Time (RT) should be included also in the Entry, Exit and Transhipment reports.

The fields XR and NA should be made optional in the automatic reports.

## NAFO HAILS SYSTEM - Part III Annex I Hail System Message Format

# 1.2 MOVE HAIL

```
//SR
//FR/Name of transmitting party
//AD/Destination "XNW" for NAFO
//SQ/sequence number
//NA/name of vessel
//RC/International radio call sign
//XR/external identification letters and numbers
//DA/date of transmission
//TI/time of transmission
//LT/latitude at time of transmission
//LG/longitude at time of transmission
//TM/indication of type of message
                                           "MOV"
//RA/NAFO Division into which the vessel is about to enter.
//MA/name of the Master
//DS/directed species
Allow several species to be entered, with the values separated by spaces,
e.g. //DS/species species species//
//ER//
```

## Example 1 (continued)

## NAFO HAILS SYSTEM - Part III Annex I Hail System Message Format

1.3 TRANSZONAL HAIL (between NAFO Divisions)

```
//SR
//FR/Name of transmitting party
//AD/Destination "XNW" for NAFO
//SQ/sequence number
//NA/name of vessel
//RC/International radio call sign
//XR/external identification letters and numbers
//DA/date of transmission
//TI/time of transmission
//LT/latitude at time of transmission
//LG/longitude at time of transmission
//TM/indication of type of message
                                           "ZON"
//MA/name of the Master
//DS/directed species
Allow several species to be entered, with the values separated by spaces,
e.g. //DS/species species species//
//ER//
```

#### NAFO HAILS SYSTEM - Part III Annex I Hail System Message Format

# 1.4 **EXIT HAIL** //SR //FR/Name of transmitting party //AD/Destination "XNW" for NAFO //SQ/sequence number //NA/name of vessel //RC/International radio call sign //XR/external identification letters and numbers //DA/date of transmission //TI/time of transmission //LT/latitude at time of transmission //LG/longitude at\_time of transmission //TM/indication of type of message "EXI" //RA/NAFO Division into which the vessel is about to enter. //CA/catch in round weight taken in the Regulatory Area by species (3 alpha codes) in kilograms (rounded to the nearest 100 kilograms). Allow several pairs of fields, consisting of species + weight, with each field separated by a space. e.g. //CA/species weight species weight species weight// //MA/name of the Master //ER//

<u>Note</u>: In order to facilitate system operation, the data elements Record Number (RN), Record Date (RD) and Record Time (RT) should be included also in the Entry, Exit and Transhipment reports.

The fields XR and NA should be made optional in the automatic reports.

Example 1 (continued)

#### NAFO HAILS SYSTEM - Part III Annex I Hail System Message Format

#### 1.5 TRANSHIPMENT HAIL

//SR

//FR/Name of transmitting party

//AD/Destination "XNW" for NAFO

//SQ/sequence number

//NA/name of vessel

//RC/International radio call sign

//XR/external identification letters and numbers

//DA/date of transmission

//TI/time of transmission

//LT/latitude at time of transmission

//LG/longitude at time of transmission

//TM/indication of type of message

"TRA"

//KG/total round weight by species (3 alpha codes) to be transhipped in kilograms (rounded to the nearest 100 kilograms). Allow several pairs of fields, consisting of species + weight, with each field separated by a space. e.g. //KG/species weight species weight species weight//

//MA/name of the Master

//ER//

<u>Note</u>: In order to facilitate system operation, the data elements Record Number (RN), Record Date (RD) and Record Time (RT) should be included also in the Entry, Exit and Transhipment reports.

The fields XR and NA should be made optional in the automatic reports.

## Definition of data elements

Data Element	Field Code	Type	Mandatory/Optional	Definition/Remarks
Start of Record	SR		M	Indicates start of the record
From	FR	Char*3	M	ISO-3166 Address. Address of the transmitting Contracting Party
Addressee	AD	Char*4	M	ISO-3166 Address. Address of the party receiving the message, "XNW"
				for NAFO
Sequence Number	SQ	Num*6	<u>O</u>	Message serial number
Name	NA	Char*30	M	ISO 8859.1. Name of vessel
International radio call	RC	Char*7	M	IRCS Code. International Radio Call sign of the vessel
sign				
External identification	XR	Char*14	M	ISO 8859.1. Side number of the vessel
Date	DA	Num*8	M	YYYYMMDD, Year, month and day
Time	TI	Num*4	M	HHMM, Hours and minutes in UTC
Latitude	LT	Char*5	M	decimal degrees (WGS-84) e.g. //LA/N4600 = 46°00' North
Longitude	LG	Char*6	M	decimal degrees (WGS-84) e.g. //LO/W04631 = 46°31' West
Type of Message	TM	Char*3	M	Indication of type of message ENT/MOV/ZON/TRA/EXI
Relevant Area	RA	Char*2	M	Relevant area (NAFO division)
Name of Master	MA	Char*30	M	Name of the master of the fishing vessel
Quantity	OB	Char*3	M	FAO Code. Total round weight of fish by species (3 alpha codes) on
		Num*7		board upon entry into the Regulatory Area, in kilograms rounded to the
				nearest 100 kilograms. Allow several pairs of fields, consisting of
				species + weight, with each field separated by a space.
Catch	CA	Char*3	M	FAO Code. Total catch in round weight taken in the Regulatory Area by
		Num*7		species (3 alpha codes) in kilograms (rounded to the nearest 100
				kilograms). Allow several pairs of fields, consisting of species + weight,
				with each field separated by a space.
m 1: 1	WG	CI #2	3.6	
Transhipped	KG	Char*3	M	FAO Code. Total round weight by species (3 alpha codes) to be
		Num*7		transhipped in kilograms (rounded to the nearest 100 kilograms). Allow
				several pairs of fields, consisting of species + weight, with each field
Directed Species	DS	Char*2	M	separated by a space
Directed Species		Char*3		FAO Code; allowance for multiple main species
End Record	ER		M	End of record

## PART III – ANNEX II – VMS REPORT FORMAT

<b>Data Element:</b>	Code:	Mandatory	Remarks:
		/ Optional	
Start record	SR	M	System detail; indicates start of record
From	FR	M	Address of the transmitting party (Contracting Party)
Address	AD	M	Message detail; destination; "XNW" for NAFO Secretariat
Sequence Number	SQ	0	Message detail; message serial number in current year
Type of Message	TM	M	Message detail; message type, "POS" as Position report/message to
			be communicated by VMS or other means by vessels with a
			defective satellite tracking device
Radio call sign	RC	M	Vessel registration detail; international radio call sign of the vessel
Trip Number	TN	0	Activity detail; fishing trip serial number in current year
Vessel Name	NA	M	Vessel registration detail; name of the vessel
External	XR	M	Vessel registration detail; the side number of the vessel
Registration			
Number			
Latitude	LT	M	Activity detail; position at time of transmission
Longitude	LG	M	Activity detail; position at time of transmission
Date	DA	M	Message detail; date of transmission
Time	TI	M	Message detail; time of transmission
Record Date	RD	M	Year, month and date
Record Time	RT	M	Hours and minutes in UTC
Record Number	RN	M	Serial number of the record in the relevant year
End of record	ER	M	System detail; indicates end of the record

## Each data transmission is structured as follows:

- double slash ("//") and the characters "SR" indicate the start of a message;
- a double slash ("//") and field code indicate the start of a data element;
- a single slash ("/") separates the field code and the data;
- pairs of data are separated by space;
- the characters "ER" and a double slash ("//")indicate the end of a record."

#### PART IV - SCHEME OF JOINT INTERNATIONAL INSPECTION AND SURVEILLANCE

- 1. (i) Control and surveillance shall be carried out by inspectors of the fishery control services of the Contracting Parties following their assignment to the Scheme of Joint International Inspection and surveillance, hereinafter referred to as "the scheme".
  - (ii) The appropriate authorities of the Contracting Parties shall notify the Executive Secretary by **November 1 each year of the names of the inspectors, NAFO inspection trainees and special inspection vessels** (which term includes fishing vessels carrying inspectors) and the type and call sign of the helicopters or other aircraft which they are assigning to the scheme in accordance with paragraph 13 of the scheme.
    - Modifications by Contracting Parties to such notifications shall be communicated to the Executive Secretary with **two months notice** whenever possible.
  - (iii) Following notification to the Executive Secretary, and in the case of mutual agreement between the respective Contracting Parties, inspectors assigned by one Party may be placed on board the special inspection vessels or aircraft of another Party assigned to the scheme.
  - (iv) On receipt of the notification of assignment to the scheme from the Contracting Party, the Executive Secretary shall issue a **document of identity**, as shown in **Annex I**, to the respective authority for each inspector or NAFO inspection trainee of that Party. This document shall be numbered. Each inspector shall carry and produce this document of identity upon boarding a vessel.
  - (v) Special inspection vessels and aircraft shall immediately notify the Executive Secretary by radio/telex, facsimile or other communication of the date and time of **commencing** their duties under the scheme. All inspectors on board shall be assumed to be NAFO inspectors.
  - (vi) Between the times of commencing and terminating their duties under the scheme inspectors and special inspection vessels and aircraft may not, with respect to vessels and aircraft under the jurisdiction of other Contracting Parties, enforce laws and regulations related to the zone of the Contracting Party which has assigned them.
  - (vii) Special inspection vessels and aircraft shall immediately notify the Executive Secretary by radio/telex of the date and time of **terminating** their duties under the scheme.
  - (viii) In each case, the times referred to in subparagraph (vi) above shall be entered in the aircraft's or ship's log or its equivalent. The times stated in these entries, shall constitute the times applicable to subparagraph (vi) above. In cases where communication of the notifications is not possible or practicable, these entries shall constitute fulfillment of the obligations under subparagraphs (v) and (vii).
  - (ix) The Executive Secretary shall **circulate** the substance of the **notifications** received from any Contracting Party for the purposes of the scheme, to all members of the Fisheries Commission, hereinafter referred to as "the Commission" within **15 days** of receipt.
- (i) In its inspections a Contracting Party shall aim at ensuring equal treatment between all Contracting Parties with vessels operating in the Regulatory Area through an equitable distribution of inspections.
  - (ii) To ensure objectivity in the realization and distribution of inspections between the Contracting Parties, the number of inspections carried out by the vessels of a Contracting Party on vessels of any other Contracting Party shall, as far as possible, reflect the ratio of the inspected Party's fishing activity to the total fishing activity in the Regulatory Area, per quarter, measured on the basis of, interalia, the level of catches and vessel days on ground and shall also take into account compliance records.

- (iii) The Executive Secretary shall draw up an annual report on the objectivity in the realization and distribution of inspections between the Contracting Parties.
- 3. Appropriate authorities of Contracting Parties shall notify the Executive Secretary of the **names of the authorities designated** to receive immediate notice of apparent infringements and the means by which they may receive and respond to communications.

Where, at any time, more than **15 vessels** of any one Contracting Party are engaged in fishing operations or in the processing or transferring of fish in the Regulatory Area, that Contracting Party shall, during that time, have an **inspector or other designated authority present in the Regulatory Area**, or other designated authority present in a country of a Contracting Party adjacent to the Convention Area, to receive and respond, without delay, to notice of apparent infringements.

- 4. (i) Any vessel or helicopter assigned to the scheme and carrying an inspector shall display the following signals to indicate that the inspector is carrying out inspection under the scheme:
  - (a) during daylight hours, in conditions of normal visibility two **inspection pennants** as shown in **Annex II**, flown in a vertical line one above the other with distance between the two not exceeding one metre;
  - (b) the boarding craft shall display one inspection pennant which is identical as shown in Annex II but which may be half-scale.
  - (ii) Surveillance Aircraft assigned to the scheme shall have their international radio call sign clearly displayed.
  - (iii) No boarding shall be conducted without prior notice by radio being sent to (whether or not received by) the vessel, including the identity of the inspection platform.
  - (iv) Each Contracting Party shall ensure that its inspection platforms are kept at a safe distance from fishing vessels and that its inspectors assigned to the Scheme respect the provisions thereof as well as any other applicable rules of international law.
- 5. (i) Inspection and control under the scheme applies in the Regulatory Area to the following vessels:
  - fishing vessels which are or have been engaged in fishing operations in the Regulatory Area;
  - (b) vessels, equipped for processing fish on board, which are or have been engaged in fish transferring operations in the Regulatory Area; and,
  - (c) transport v essels which are actually engaged in fish transferring operations.
  - (ii) The master of a vessel to which the scheme applies shall facilitate boarding when given the appropriate signal in the International Code of Signals by a vessel or helicopter carrying an inspector. The vessel to be boarded shall not be required to stop or manoeuvre when fishing, shooting, or hauling. The master shall nonetheless provide:
    - (a) for vessels longer than 30 metres overall, a **boarding ladder** constructed and used as described in **Annex III**;
    - (b) such assistance to boardings from **helicopters** as specified or as qualified in **Annex IV**;
    - (c) where an inspection vessel has signalled that an inspection party is about to commence boarding a fishing vessel which has begun or is about to begin hauling its nets, the master of that fishing vessel shall ensure that the **net is not retrieved** for a period of **30 minutes** after receiving the signal.

In any case, the master shall observe the ordinary **practice of good seamanship** to enable an inspection party to board as soon as practicable.

- (iii) The procedures established for personnel helicopter hoist transfers shall not place a higher duty of care upon the master of a fishing vessel than that required by international law.
- (iv) An inspection party shall consist of at maximum, two inspectors assigned to the scheme. Occasionally, vessel conditions permitting, a NAFO inspection trainee may accompany the inspection party for training purposes only. In such circumstances the inspection party shall, upon arrival on board identify the trainee to the Master of the vessel being inspected. This trainee shall simply observe the inspection operation conducted by the duly authorized inspectors and shall in no way interfere with the activities of the fishing vessel and with the inspection. The use of arms in relation to the inspections is prohibited and, in particular, the inspectors shall not carry arms. Notwithstanding the provisions of this section, the principle of not carrying or using armsshall not be deemed to limit the performance of inspections by a Contracting Party of vessels flying its own flag.
- (v) The vessel in charge of a **pair of trawling** operations shall be required to identify itself by flying a pennant or flag on the approach of an inspector.
- 6. (i) Without limiting the capability of inspectors to carry out their mandate, inspections shall be made so that the vessel, its activities and catch suffer the minimum interference and inconvenience. The duration of an inspection shall not exceed **three hours**, or until the net is hauled in and the net and catch are inspected, whichever is longer, but this time limitation shall not apply in the case of an apparent infringement.

When carrying out their inspection duties in conformity with Part IV of these Measures, NAFO inspectors shall take all appropriate precautions to **avoid causing damage to packaging**, wrapping, cartons or other containers and to the contents of same in order to ensure, to the extent practicable, that the quality of the catch on board is maintained.

Cartons and other containers shall be opened in such a way that will facilitate their prompt resealing, repacking and eventual restorage.

In the case of a difference between the recorded catches and the estimates of the inspector of the catch on board the vessel, the inspector may re-check calculations, procedures, the relevant documentation used to determine the catch summaries from the Regulatory Area and the catch on board the vessel; the inspector shall then leave the vessel within one hour following the completion of the original inspection.

An **inspector shall limit** his inquiries to the ascertainment of the facts in relation to the observance of those Commission's measures to which the Contracting Party for the inspected vessel has not objected in accordance with Article XII of the Convention. The inspection shall be carried out using the  $\mathbf{report}$  of  $\mathbf{inspection}$  prescribed in  $\mathbf{Annex}\ \mathbf{V}$ .

As regards Section 15 of Annex V, notwithstanding any objection of quotas made pursuant to Article XII of the Convention, inspectors shall summarize from **logbook records**, for the current voyage, the vessel's catch in the Regulatory Area by species and by division and shall record this summary on the inspection form. The current voyage shall be defined for this purpose as beginning when the vessel enters the Regulatory Area, and ending when the vessel leaves the Convention Area (which includes the ports bordering the Convention Area) for a **period greater than 20 consecutive days**. The current voyage shall not be considered to have ended as long as the vessel has catch on board from the Regulatory Area.

In the case of a language difficulty, the inspector or the master shall use, in the appropriate language, the appropriate part of the **questionnaire shown in Annex VI**.

Contracting Parties may exercise, by letter to the Executive Secretary, the option to have inspectors summarize from logbook records for the quota period, instead of the current voyage, their vessel's catch in the Regulatory Area by species and by division and record this summary in Section 15 of the inspection form.

In making his examination, the inspector may ask the master for any required assistance. **The master shall facilitate the work of the inspector**. The report of the inspection may be commented upon and shall be signed by all the persons that the form requires. **A copy** of the **report** shall be given **to the master** of the vessel.

The Contracting Party inspecting a vessel will communicate in writing the details of an apparent infringement to the designated authorities of the Contracting Party for the inspected vessel within the working day following the inspection whenever possible.

The Contracting Parties inspecting vessels will provide notification of a **list of vessels inspected on a calendar monthly basis** to the designated authorities of the Contracting Parties of the vessels inspected, via the office of the Executive Secretary.

In the case of an apparent infringement or a difference between recorded catches and the inspector's estimates of the catches on board, a copy of the inspection report with supporting documentation, including second photographs taken, shall be transmitted within 10 days to the responsible authorities of the Contracting Party for the inspected vessel, after the inspection vessel returns to port. In the case of other inspection reports, the original shall be transmitted within 30 days whenever possible, to a designated authority of the Contracting Party for the inspected vessel. A copy of every inspection report shall also be forwarded to the Executive Secretary.

Notwithstanding the notification of the inspection report, the duly assigned NAFO inspectors conducting the inspection shall prepare and transmit within 24 hours to the Contracting Party of the vessel a statement which shall constitute advance notification of the apparent infringement. A copy of this statement shall be transmitted to the NAFO Executive Secretary.

This statement shall quote the information entered under points 16 and 18 of the inspection report, cite the relevant NAFO Measures and describe in detail the basis for issuing the citation for an apparent infringement and the evidence to support the said citation.

In the case where, in the course of an inspection, NAFO Inspectors make comments and observations in the inspection report, in particular under point 20 thereof, the said inspectors shall promptly prepare a written statement citing the relevant NAFO Measures, and describing the practices observed and substantiating the grounds for their suspicions. This **statement shall be sent within 24 hours**.

- a) to the Contracting Party of the inspected vessel,
- b) to the NAFO Executive Secretary.

The NAFO Executive Secretary and the designated authorities shall treat this information with the **confidentiality** required for the protection of individual data.

- (ii) Notwithstanding any objection to quotas made pursuant to Article XII of the Convention:
  - (a) inspectors shall have authority to inspect and measure all fishing gear on or near the working deck and readily available for use and to inspect and record estimates of the **catch on and below decks** in so far as such inspection and measurement are necessary to establish whether the vessel is complying with the Commission's measures;
  - (b) fishing gear shall be inspected in accordance with the Commission's measures;

- (c) with regard to catches, correspondence between the logbook entries for the Regulatory Area and estimates of catches by species on board relating to these entries may be ascertained and differences between recorded catches and the inspector's estimate of the catches on board with percentages shall be recorded under Section 18 of the inspection report (Comments Section); and
- (d) inspectors shall be given access to production logbooks or stowage plans in accordance with the NAFO Conservation and Enforcement Measures, Part I.C.2 (a) and (c) and in the latter case shall be given such assistance as is possible and reasonable and necessary to ascertain that the stowage conforms to the stowage plan, no interference being allowed in the stowage of product or in the technological process on the vessel.
- (e) inspectors shall convert production weight, as recorded in production logbooks, into live weight so that the latter can be verified against the logbook entries which are made in live weight. Inspectors shall be guided by conversion factors established by the master of the vessel.
- (f) inspectors may also ascertain that records for the duration of the quota period to the date of the inspection are aboard the vessel in accordance with the NAFO Conservation and Enforcement Measures Part I C (2) (b) (iii).
- (iii) An inspector has the authority to examine catch, nets or other gear, and any relevant documents which the inspector deems necessary to verify the observance of the Commission's measures.

Where an **apparent infringement** of the measures is observed:

- the inspector shall note the apparent infringement in the report, sign the entry and obtain the **countersignature of the master**;
- the inspector shall enter and sign a notation in the fishing logbook or other relevant document stating the date, location, and type of apparent infringement found. The inspector may make a copy of any relevant entry in such a document, and shall require the master of the vessel to certify in writing on each page of the copy that it is a true copy of such entry;
- the inspector shall have full opportunity to document the apparent infringement with photographs of the relevant fishing vessel's gear or catch, in which case a second photograph shall be given to the master of the vessel and attached to the report sent to an appropriate authority of the Contracting Party for the inspected vessel.
- (iv) Where an inspector finds an **apparent infringement** of measures prohibiting:
  - (a) fishing in a closed area or with gear prohibited in a specific area;
  - (b) fishing for stocks or species after the date on which the Contracting Party for the inspected vessel has notified the Executive Secretary that vessels of that party will cease a directed fishery for those stocks or species;

and

(c) fishing on an "Others" quota without prior notification to the Executive Secretary, or more than seven working days after the Contracting Party for the inspected vessel has been notified by the Executive Secretary that fishing under an "Others" quota for that stock or species should cease;

The inspector, to facilitate a Contracting Party action on the apparent infringement, shall immediately attempt to communicate with an inspector of the Contracting Party for the inspected vessel, known to be in the vicinity, or the authority designated in accordance with

paragraph 3 above. The master of the inspected vessel shall provide the use of the vessel's radio equipment and operator for messages to be sent out and received for this purpose.

At the request of the inspector, a master shall cease all fishing which appears to the inspector to contravene the measures referred to in (a) to (c) above. During this time, the inspector shall complete the inspection and, if unable within a reasonable period of time to communicate with an inspector or designated authority of the Contracting Party for the inspected vessel, he shall leave the inspected vessel and communicate as soon as possible with one of them. However, if he succeeds in establishing communications while on board the inspected vessel, and provided that the inspector or designated authority of the Contracting Party for the inspected vessel agrees, the inspector may remain aboard. As long as the inspector remains aboard, the master may not resume fishing until the inspector is reasonably satisfied, as a result of either the action taken by the vessel's master or the inspector's communication with an inspector or designated authority of the Contracting Party for the inspected vessel, that the apparent infringement will not be repeated.

- (v) The inspector in charge may request that the master remove any part of the fishing gear which appears to the inspector to contravene the Commission's measures. An identification mark (NAFO inspection seal-Annex VII) shall be affixed securely to any part of the fishing gear which appears to the inspector to have been in contravention, and the inspector shall record the fact on his report. The gear shall be preserved with the mark attached until examined by an inspector or designated authority of the Contracting Party for the inspected vessel who shall determine the subsequent disposition of the gear.
- (vi) An inspector may **photograph the fishing gear** in such a way that the identification mark and measurements of the fishing gear are visible and subjects photographed should be listed in the report.
   A second photograph shall be given to the master of the vessel.
- 7. An appropriate authority of a Contracting Party notified of **an apparent infringement** committed by a vessel of that **Party shall take prompt action** to conduct the investigations necessary to obtain the evidence required and, whenever possible, board the vessel involved. The authority shall take immediate judicial or administrative action as would be the case when dealing with apparent infringements of fisheries regulations in national waters. An appropriate authority of the Contracting Party for the vessel concerned shall cooperate fully with the appropriate authority of the Contracting Party that designated the inspector to ensure that the evidence of the apparent infringement is prepared and preserved in a form which facilitates judicial action. The appropriate authorities in the flag state of the vessels concerned shall take prompt action as necessary to receive and consider the evidence and shall conduct any further investigation necessary for disposition of the apparent infringement.

The appropriate authority of a Contracting Party notified of differences between the recorded catches and the estimates of the inspector, shall whenever possible board the vessel involved and, in any event, cooperate with the NAFO inspectors to ensure that the evidence is prepared and preserved in a form which would facilitate any judicial action, and shall conduct any further investigation necessary to allow it to determine appropriate follow-up action.

- 8. An inspector observing **a failure** of a vessel to enable an inspection party to board after being properly signalled shall:
  - report the apparent infringement as soon as possible to any inspector of the Contracting Party for the vessel concerned known to be in the vicinity or a designated authority of that Contracting Party;
     and,
  - (ii) prepare and forward to the Executive Secretary a report giving as much information as possible, including the nature of the signal, the distance from which the signal was given, the visibility at the time, sea state, wind and icing conditions.

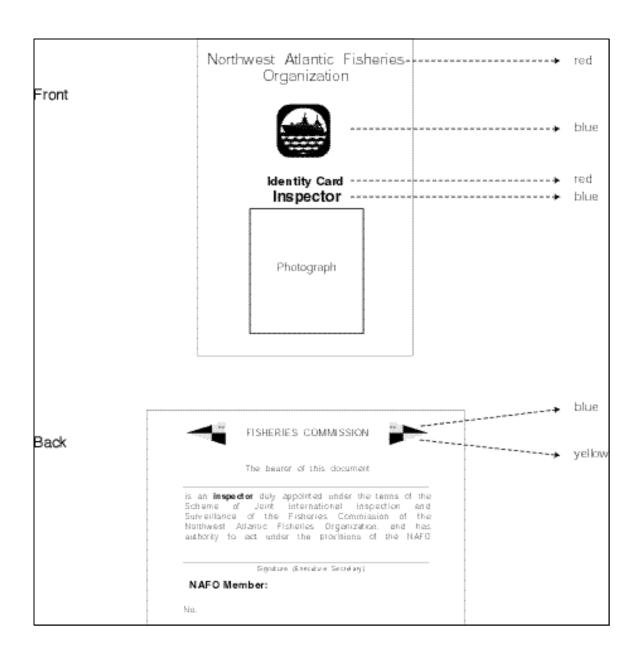
- 9. The following **apparent infringements** shall be subject to paragraph 10:
  - i) misreporting of catches;
  - ii) mesh size violations;
  - iii) hail system violations;
  - iv) interference with the satellite tracking system;
  - v) preventing an inspector or an observer from carrying out his or her duties;
  - vi) directed fishing for a stock which is subject to a moratorium or for which fishing is prohibited.
- 10. Notwithstanding paragraphs 7 and 8 above:
  - i) If a NAFO inspector cites a vessel for having committed, to a serious extent, an apparent infringement as listed in paragraph 9 above, the Contracting Party of the vessel shall ensure that the **vessel concerned is inspected within 72 hours** by an inspector duly authorized by that Contracting Party. In order to preserve the evidence, the NAFO inspector shall take all necessary measures to ensure security and continuity of the evidence, and may remain on board the vessel, for the period necessary to provide information to the duly authorized inspector concerning the apparent infringement.
  - (ii) Where justified, the competent authority of the Contracting Party or the inspector authorized by the competent authority of the Contracting Party of the vessel concerned shall, where duly authorized to do so, require the vessel to proceed immediately to a nearby port, chosen by the Master, which should be either St. John's, Halifax, the home port of the vessel or a port designated by the Flag State, for a thorough inspection under the authority of the Flag State and in the presence of a NAFO inspector from any other Contracting Party that wishes to participate. If the vessel is not called to port, the Contracting Party must provide due justification in a timely manner to the Executive Secretary who shall make it available on request to any Contracting Party.
  - iii) Where a NAFO inspector cites a vessel for having committed an apparent infringement as listed in paragraph 9 above, the inspector shall immediately report this to the Executive Secretary, who shall in turn immediately report, for information purposes, to the other Contracting Parties with an inspection vessel in the Convention Area:
  - iv) Where a vessel is required to proceed to port for a thorough inspection pursuant to paragraph ii) above, a NAFO inspector from another Contracting Party may, subject to the consent of the Contracting Party of the vessel, board the vessel as it is proceeding to port, may remain on board the vessel as it proceeds to port and may be present during the inspection of the vessel in port;
  - v) If an apparent infringement of the Conservation and Enforcement Measures has been detected which in the view of the duly authorized inspector is sufficiently serious, the inspector shall take all necessary measures to ensure security and continuity of the evidence including, as appropriate, sealing the vessel's hold for eventual dockside inspection.
- 11. For the purposes of the Scheme, "**surveillance**" shall be based on any sighting made by an inspector from an inspection vessel or aircraft assigned to the Scheme.
  - (i) When, as a result of surveillance an inspector observes a vessel of a Contracting Party, and where such observation does not correspond with the latest information available to the inspector as provided for by section E.2 of Part III of the Conservation and Enforcement Measures, the inspector shall complete Part I of the Surveillance Report Form shown at Annex VIII and shall photograph the vessel in support of his observations. Photographs taken should simultaneously record the position, date and time as shown by the surveillance craft's instrumentation.

- (ii) **Not less than 72 hours** following the recording of the observation in Part I of the Surveillance Report and on the basis of notifications received from the Contracting Party of the vessel concerned pursuant to (the hail system), the inspector shall complete Part II of the Surveillance Report.
- (iii) The original of each surveillance report completed in accordance with 9(i) and (ii) and any photographs shall be forwarded as soon as possible to the Contracting Party of the vessel concerned, or a designated authority of that Contracting Party. A copy of every surveillance report shall also be forwarded to the Executive Secretary.
- (iv) An appropriate authority of a Contracting Party shall, on receipt of a surveillance report concerning a vessel of that party, take prompt action to receive and consider the report and, whenever possible, board the vessel concerned, and conduct any further investigation necessary to allow it to determine appropriate follow-up action.
- 12. **Resistance to an inspector** or failure to comply with his directions shall be treated by the Flag State of the vessel as if the inspector were an inspector of that State.
- 13. Inspectors shall carry out their duties in accordance with the rules set out in the scheme, but they shall remain under the **operational control** of the authorities of their Contracting Parties and shall be responsible to them.
- 14. Appropriate authorities of a Contracting Party shall consider and act on reports from inspectors of other Contracting Parties under the scheme on the same basis as reports from its own inspectors. Contracting Parties shall cooperate to follow up apparent infringements using all necessary evidence available from all sources, including evidence from other Contracting Parties as required for effective prosecution or administrative proceedings, subject to the rules governing the admissibility of evidence in domestic courts.
  - The provisions of this paragraph shall not impose any obligation on the appropriate authorities of a Contracting Party to give the report from a foreign inspector a higher evidentiary value than it would possess in the inspector's own country. Appropriate authorities of Contracting Parties shall collaborate in order to facilitate judicial or other proceedings arising from a report submitted by the inspector under the scheme.
- 15. Appropriate authorities of a Contracting Party shall **inform the Executive Secretary by 1 November each year of the provisional plans** for participation by its inspectors, vessels and helicopters and other aircraft in the scheme for the succeeding calendar year, and the Executive Secretary may make suggestions to the appropriate authorities of the Contracting Parties for the coordination of their operations in this field including the number of inspectors and the number of vessels, helicopters and other aircraft carrying inspectors.
- 16. Appropriate authorities of each Contracting Party shall report to the Executive Secretary by **1 March each year** for the previous calendar year:
  - (i) **the number of inspections conducted** by it under the Scheme specifying the number of inspections on the vessels of each Contracting Party and, in the case of apparent infringement, the date and position of the inspection of the named vessel and the nature of the apparent infringement;
  - (ii) the number of air hours flown on NAFO patrol, the number of sightings and the number of surveillance reports established with the date, time and position of the sightings in respect of these surveillance reports.
  - (iii) the disposition of surveillance reports notified to it by a Contracting Party. The surveillance reports shall be listed annually until follow-up action is concluded by the appropriate authorities. In cases where the follow-up action results in penal action any penalties imposed shall be described in specific terms.
- 17. a) Appropriate authorities of each Contracting Party shall report to the Executive Secretary by **February 1** (for the period July 1-December 31 of the previous year) and **September 1** (for the period January 1-June 30 of the current year) each year:

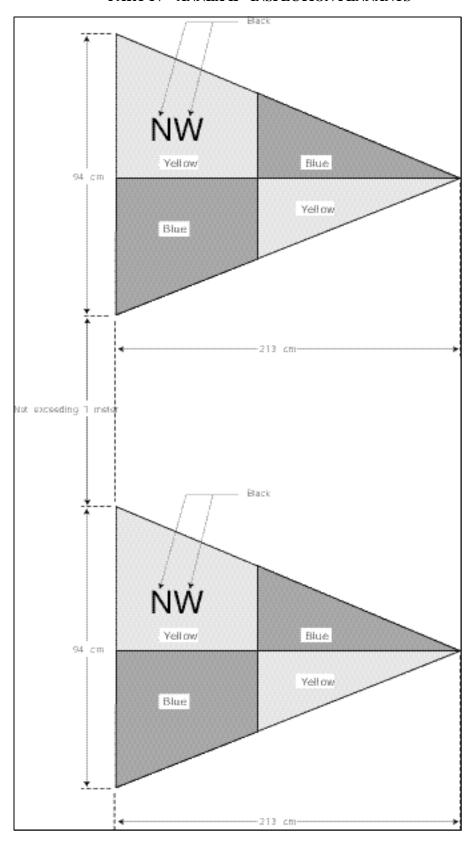
- the disposition of apparent infringements notified to it by a Contracting Party. The
  apparent infringements shall continue to be listed on each subsequent report until the action
  is concluded under the laws of the Flag State;
- (ii) **differences that they consider significant** between records of catches in the logbooks of vessels flying the flag of the Contracting Party and inspectors' estimates of catches on board the vessels.
- b) The report required in (a) above shall indicate the current status of the case (i.e. case pending, under appeal, still under investigation, etc.) and any penalties imposed shall be described in specific terms (i.e. level of fines, value of forfeited fish and/or gear, written warning given, etc.) and shall include an explanation if no action has been taken.
- 18. (i) In the event of a disagreement concerning the interpretation or application of the scheme, the concerned Contracting Parties shall consult in an attempt to resolve the disagreement.
  - (ii) If the disagreement remains unresolved following the consultations, at the request of a Contracting Party the Executive Secretary shall refer the disagreement to a special meeting of the Standing Committee on International Control (STACTIC).
    - A report on the disagreement shall be drawn up by STACTIC and provided to the Fisheries Commission within **two months** of the STACTIC meeting.
  - (iii) On receipt of the STACTIC report, a Contracting Party may within a further period of **two months** request a special meeting of the Fisheries Commission to consider the report and to take appropriate action.
- 19. (i) Contracting Parties shall report to the NAFO Secretariat all sightings, made by inspectors, of Non-Contracting Party fishing vessels engaged in fishing activities (i.e. fishing, fish processing operations, the transshipment of fish or fish products, and any other activity in preparation for or related to fishing) in the NAFO Regulatory Area. Such reports shall include all information derived from the inspector's observations concerning the Non-Contracting Party fishing vessel's activities and be made using Part I of the surveillance report provided in Part IV, Annex VIII.
  - (ii) The inspector shall attempt to inform the Non-Contracting Party fishing vessel that it has been sighted engaging in fishing activities, that a surveillance report has been completed, that there may be consequences for the vessel, and that this information will be distributed to all NAFO Contracting Parties and to the flag-State of the vessel.
  - (iii) In the event that the Non-Contracting Party vessel, which has been sighted and reported as engaged in fishing activities in the NAFO Regulatory Area, is boarded by inspectors, the findings of the inspectors shall be transmitted to the Executive Secretary. The Executive Secretary will transmit this information to all Contracting Parties within 72 hours of receiving this information, and to the flag-State of the boarded vessel as soon as possible.

#### PART IV - ANNEX I - INSPECTOR'S/TRAINEE'S DOCUMENT OF IDENTITY

(not smaller than 8.5 cm x 5.5 cm)



PART IV - ANNEX II - INSPECTION PENNANTS



#### PART IV - ANNEX III - CONSTRUCTION AND USE OF BOARDING LADDERS

- 1. The boarding ladders shall be efficient for the purpose of enabling inspectors to embark and disembark at sea safely. The boarding ladders are to be kept clean and in good order.
- 2. The ladder shall be secured in a position so that it is clear of any possible discharge from the ship, that each step rests firmly against the ship's side, that it is clear so far as practicable of the finer lines of the ship and that the inspector can gain safe and convenient access to the ship.
- 3. The steps of the boarding ladder shall be:
  - (a) of hardwood or other material of equivalent properties, made in one piece free of knots, having an efficient non-slip surface; the four lowest steps may be made of rubber of sufficient strength and stiffness or of other suitable material of equivalent characteristics;
  - (b) not less than 480 mm long, 115 mm wide, and 25mm in depth, excluding any non-slip device; and
  - (c) equally spaced not less than 300 mm nor more than 380 mm apart and may be secured in a manner that they will remain horizontal.
- 4. No boarding ladder shall have more than two replacement steps which are secured in position by a method different from that used in the original construction of the ladder and any steps so secured shall be replaced, as soon as reasonably practicable, by steps secured in position by the method used in the original construction of the ladder.
- 5. The side ropes of the ladder shall consist of two uncovered manila or equivalent ropes not less than 60 mm in circumference on each side; each rope shall be continuous with no joints below the top step; two man ropes properly secured to the ship and not less than 65 mm in circumference and a safety line shall be kept at hand ready for use if required.
- 6. Battens made of hardwood, or other material of equivalent properties, in one piece and not less than 1.80 m long, shall be provided at such intervals as will prevent the boarding ladder from twisting. The lowest batten shall be on the fifth step from the bottom of the ladder and the interval between any batten and the next shall not exceed 9 steps.
- 7. Means shall be provided to ensure safe and convenient passage onto or into and off the ship between the head of the pilot ladder or of any accommodation ladder or other appliance provided. Where such passage is by means of a gateway in the rails or bulwark, adequate handholds shall be provided. Where such passage is by means of a bulwark ladder, such ladder shall be securely attached to the bulwark rail or platform and two handhold stanchions shall be fitted at the point of boarding or leaving the ship not less than 0.70 m nor more than 0.80 m apart. Each stanchion shall be rigidly secured to the ships's structure at or near its base and also at a higher point, shall be not less than 40 mm in diameter and shall extend not less than 1.20 m above the stop of the bulwark.
- 8. Lighting shall be provided at night such that both the boarding ladder overside and also the position where the inspector boards the ship shall be adequately lit. A lifebuoy equipped with a self-igniting light shall be kept at hand ready for use. A heaving line shall be kept at hand ready for use if required.
- 9. Means shall be provided to enable the boarding ladder to be used on either side of the ship.
- 10. The rigging of the ladder and the embarkation and disembarkation of an inspector shall be supervised by a responsible officer of the ship.
- 11. Where on any ship constructional features such as rubbing bands would prevent the implementation of any of these provisions, special arrangements shall be made to the satisfaction of the Commission to ensure that persons are able to embark and disembark safely.

#### PART IV - ANNEX IV - HELICOPTER HOIST PROCEDURE

- 1. The captain of the helicopter shall be in charge of and shall ensure the safety of personnel who are being transferred between a vessel and the helicopter during the entire time such personnel are attached to the helicopter via the hoist cable and the lifting device.
- 2. The master of the vessel shall follow the procedures described below to assist the helicopter:
  - (i) Attempt to communicate by radio in a common language;
  - (ii) Alter course and speed if requested and if free to do so;
  - (iii) Maintain a steady course and speed throughout the transfer operation unless the safety of the vessel is in jeopardy;
  - (iv) Provide a visual indication of relative wind by means of a pennant or other suitable device;
  - (v) Clear the transfer area of objects which could be blown loose;
  - (vi) Shall not make radio transmission on standing wire antennae (high frequency) in the immediate vicinity of the transfer area during the transfer. If such transmissions become necessary, the helicopter shall be advised in order that the transfer could be delayed; if a guide line is lowered first, crew members should be available to man this line to assist in the transfer of the inspection party. The inspection party, other lines and wires should not be touched by the crew of the vessel until the inspection party has grounded those lines and wires on the vessel;
  - (vii) Take appropriate measures to ensure to the extent practicable that none of the lines or fittings lowered from the helicopter are attached to or permitted to foul in the vessel.
- 3. The helicopter displaying its inspection pennant shall communicate to the vessel the intention to conduct a boarding:
  - (i) by radio communications on 2182 KHZ, VHF-FM Channel 16 or other agreed frequencies;
  - (ii) by visual or aural indication of an appropriate signal extracted from the International Code of Signals as shown in paragraph 7;
  - (iii) by hovering over or near the intended boarding position in conjunction with hand signals, adopted from the International Code of Signals, as indicated in paragraph 4.
- 4. (i) <u>Signal</u>: Pointing movement by arm or hand

<u>Used by</u>: <u>Meaning</u>:

Helicopter Wish to conduct transfer or boarding in the indicated

location

(ii) <u>Signal</u>: Vertical motion with arm or flag, or "Thumbs-Up" indication

<u>Used by</u>: <u>Situation</u>: <u>Meaning</u>:

Either Before transfer Ready to conduct transfer;

Vessel Before transfer Desire transfer from this

position;

Helicopter After dropping Take up the slack on the

the guide line guide line;

After taking up Pull in gently on the guide

the slack on the line;

guide line

Either At any time Affirmative response.

(iii) <u>Signal</u>: Horizontal motion with arm or flag, or "Thumbs-Down" indication

<u>Used by</u>: <u>Situation</u>: <u>Meaning</u>:

Vessel Before transfer Transfer not recommended

from this position-recommend alternative (and point towards desired position);

Either Before transfer Not ready to conduct

transfer;

Vessel During transfer Request you stop the

transfer;

Helicopter After dropping the

guide line

Ease the tension on the

Release the guide line;

guide line;

After easing the

tension on the guide

line

Either At any time Negative response.

- 5. A visual display of the symbol YU by the helicopter or the radio transmission of YANKEE UNIFORM to the fishing vessel indicates the signals in paragraph 7 are to be used for inspection communications.
- 6. The following situations are representative of conditions under which a personnel helicopter hoist transfer shall NOT be attempted:
  - (i) In the opinion of the captain of the helicopter or the master of the vessel, there is inadequate clear space for a transfer or there are too many obstructions;
  - (ii) There is significant vessel motion such that, in the opinion of the captain of the helicopter or the master of the vessel, a hazard exists;
  - (iii) The helicopter cannot position itself with an acceptable relative wind; and
  - (iv) Other hazards exist which prejudice the safety of the helicopter or the vessel or of personnel being transferred.

	<u>IMO Signai</u>	<u>Remarks</u>
1	SQ 3	board you inspection pennant indicates the presence of an authorized inspection team

MG	You should steer course	Course is true
IK-RQ	Request you proceed at knots	
AZ	I cannot alight but I can lift crew	Indication of intentions to conduct helicopter hoist trans fer (used with BB signal)
BB1-RQ	May I alight on your deck; ) are you ready to receive ) me forward? )	Used in conjunction with signal AZ to indicate helicopter will not alight but will conduct a hoist
BB2-RQ	May I alight on your deck; ) are you ready to receive ) me amidships? )	transfer in the area indicated
BB3-RQ	May I alight on your deck; ) are you ready to receive me ) aft? )	
K	I wish to communicate with you by (extracts from IMO Table 1) 6International Code Flags 8Radiotelephony 2182 KHZ 9VHF Radiotelephony Channel 16	
YX	I wish to communicate by radio- telephony on frequency indicated	
C	YES (affirmative)	
N	NO (negative)	November Oscar by voice or radio transmission
YU	I am going to communicate with your station by means of the International Code of Signals	
BT	Helicopter is coming to you now (or at time indicated)	

#### PART IV - ANNEX V - REPORT OF INSPECTION

- 1. The forms for the Report of Inspection shall be collated in a booklet with each page having an original and two self-carbon copies (preferably coloured and preferably 1 yellow and 1 green).
- 2. Page packets are to be perforated at the top and bottom of the page for easy removal.
- 3. Items 1 through 8 and item 18 of the Report are to be highlighted with red ink.
- 4. Booklets should be bound preferably with 20 complete sets of the 3-page report.
- 5. The size of every page, after removal from the packet, should be 355.5 mm (14") in length by 216 mm (8 1/2") in width.

#### FISHERIES COMMISSION OF THE NORTHWEST ATLANTIC FISHERIES ORGANIZATION

REPORT OF INSPECTION	
 (Inspector: Please use CAPITAL BLOCK LETTERS)	

#### Note to master of fishing vessel

The NAFO inspector will produce his/her NAFO document of identity on boarding. He/she is then entitled to inspect and measure all fishing gear on or near the working deck and readily available for use and the catch on and/or below decks and any relevant documents. This inspection will be to check your compliance with NAFO's measures to which your Contracting Party has not objected and, notwithstanding any such objection, to inspect the logbook entries for the Regulatory Area and the catches on board. The inspector will not ask you to haul your nets; however, he/she may remain on board until the net is hauled in.

AUTHORIZED INSPECTOR(s)							
1. NAME(s)	NAME(s) CONTRACTING PARTY						
2. Name and Identifying letters and/or	Name and Identifying letters and/or Number of Vessel Carrying Inspector(s)						
INFORMATION ON VESSEL INSPECTED	<u>)</u>						
3. Contracting Party and Port of Regis	ster						
4. Vessel's Name and Registration Nu	Vessel's Name and Registration Number						
5. Master's Name	Master's Name						
6. Owner's Name and Address							
7. Position as determined by inspectin	ng vessel's master at	UTC; LatL	ong				
a) Equipment used in determining p	position						
8. Position as determined by fishing v	essel's master at	UTC; Lat Long					
a) Equipment used in determining p	oosition						
DATE AND TIMES THE INSPECTION CO	OMMENCED AND F	<u>FINISHED</u>					
9. Date Time arrived o	on board	UTC-Time of Departu	re UTC				
GEAR ON OR NEAR THE WORKING DE	CK INSPECTED						
10.	<b>,</b>						
	1st net	2nd net	3rd net				
Type of Net (trawl net, seine net, etc.)							
Material (chemical category, if possible)							
Single or double twine							
Net (measured wet)-on or near trawl deck							
Type of net attachments inspected							

#### MESH MEASUREMENT - IN MILLIMETERS

11.

Codend (inclusive of lengthener(s), if any) - Samples of 20 meshes

				,	Width	ı (Me	sh Siz	œ)							Average Width	Legal Size
1st																
Net																
2nd																
Net																
3rd																
Net																
Chafe 1st	er - Sa	mple	s of _	n	neshe	s I	1	<u> </u>			<u> </u>					
Net																
2nd																
Net																
3rd																
Net																
Rest o	of Net	: - Sar	nples	of 20	mesl	nes	1		T	T		T	T	T		
Net																
2nd																
Net																
3rd																
Net										l		l	l	l		

12. Have the records of catches been retained aboard for the duration of the quota

period? YES/NO

#### Result of Inspection of Fish on board

13. Result of Inspection of Fish Observed in last tow (if appropriate)

TOTAL TONS	ALL SPECIES TAKEN	PERCENTAGE OF EACH	PERCENTAGE DISCARDS

<ol><li>Result of inspection of catches on boar</li></ol>	d
---	---

Fish species with 3-Alpha Code	Inspectors Estimate (tonnes)	
Inspectors comments on how estimates were cal	culated:	
		• • • • • • •
		• • • •

15. Summary of catches from logbooks for current voyage(1)/quota period (2):

DATE OF ENTRY INTO		FISH SPECIES WITH	CATCH	HOW	
REGULATORY AREA	DIVISION	3-ALPHA CODE	(METRIC TONS)	PROCESSED	DISCARDS

- (1) "Current voyage" is defined as beginning when the vessel enters the Regulatory Area, and ending when the vessel leaves the Convention Area (which includes the ports bordering the Convention Area) for a period greater than 20 days. The current voyage shall not be considered to have ended as long as the vessel has catch on board from the Regulatory Area.
- (2) Where applicable in accordance with point 6(i), para 6 of the Scheme.

#### Note to master of fishing vessel:

At this stage the inspection will finish unless an apparent infringement has been found. If no apparent infringement is found go to item 22. If an apparent infringement has been found the inspector will write the infringement here and sign at this point. You must countersign to show that you have been informed of the infringement. Your signature does not constitute acceptance of the apparent infringement.

Nature o	f apparent infringen	nent:		
Signature	of inspector:		 	
Signature	of master:		 	

#### <u>If an apparent infringement</u> has been found, the inspector may:

- 1) examine and photograph the fishing vessel's gear, catch, logbooks or other relevant documents;
- 2) ask you to cease fishing if the apparent infrin gement consists of
  - (a) fishing in a closed area or with gear prohibited in a specific area;
  - (b) fishing for stocks or species after the date on which the Contracting Party for the inspected vessel has notified the Executive Secretary that vessels of that Party will cease a directed fishery for those stocks or species; and
  - (c) fishing in an "Others" quota without prior notification to the Executive Secretary, or more than 7 working days after the Contracting Party for the inspected vessel has been notified by the Executive Secretary that fishing under an "Others" quota for that stock or species should cease;

Before asking you to cease fishing, the inspector must immediately attempt to communicate with an inspector of your Contracting Party in the vicinity or a designated authority of your Contracting Party. You must allow the inspector to use your radio equipment or operator for this purpose. If the inspector cannot contact an inspector of your Contracting Party or a designated authority, he/she will complete his/her inspection and leave your vessel. While he/she is on board you should not recommence fishing unless you have satisfied the inspector that you will not repeat the apparent infringement e.g. because you have changed zone or cut off the illegal gear.

#### COMMENTS AND OBSERVATIONS

17.	Documents inspected following an apparent infringement
18.	Comments: (In the case of a difference between the inspector's estimates of the catches on board and the related summaries of catches from the logbooks, note this difference with the percentage)
19.	Subjects of photographs taken relating to an apparent infringement
20.	Other comments, statements and/or observations by Inspector(s)
21.	Statements of Second Inspector or Witness
22.	Name and Signature of Second Inspector or Witness
23.	Signature of Inspector in charge

24.	Statement of Master's Witness(es)
25.	Name and Signature of Master's Witness(es)
26.	Acknowledgement and receipt of report:
	I, the undersigned, Master of the vessel, hereby confirm that a copy of this report and second photographs taken have been delivered to me on this date. My signature does not constitute acceptance of any part of the contents of the report.
	Date Signature
27.	Comments and signature by the Master of vessel

COPY TO MASTER, ORIGINAL TO BE RETAINED BY INSPECTOR FOR REQUIRED DISTRIBUTION

# PART IV - ANNEX VI - INSPECTION QUESTIONNAIRE

1.	$I \ am \ an \ inspector \ under \ the \ Scheme. \ Here \ is \ my \ document \ of \ identity. \ I \ would \ like \ to \ inspect \ your/nets/other \ fishing \ gear/catch/documents.$
2.	I should like to see the master of this vessel.
3.	Please give me your name.
4.	Please cooperate with me in the examination of your catch/equipment/documents in accordance with the Commission' measures.
5.	Please check your position and time now.
6.	I am reporting your position as° lat°long atUTC. Do you agree?
7.	Would you like to check your position with my instruments on board the inspection vessel?
8.	Do you now agree?
9.	Please show me/the documents establishing the nationality of your vessel/the registration documents/the bridge logbook/the fishing logbook(s).
10.	Please write down the name and address of the owners of this vessel in the space I am indicating on the Report Form.
11.	What principal species are you fishing for?
12.	Are you fishing for reduction purposes?
13.	I agree.
14.	Yes.
15.	I do not agree.
16.	No.
17.	Please take me to/the bridge/the working deck/the processing area/fish holds.
18.	Do you use any net attachment? If so, what type? Please write it down in the space I am indicating.
19.	Please switch on these lights.
20.	I wish to examine that net/chafing gear.
21.	Show me the other fishing gear you have on or near the fishing deck.
22.	Show me your net gauge, if any.
23	Ask your men to hold that net so that I can measure it.

24.

25.

Please put that net underwater for ten minutes.

I have inspected.....meshes in this net.

- 26. Check that I have recorded accurately on the Report Form in the space I am indicating the width of the meshes I have measured.
- 27. I wish to inspect your catch. Have you finished sorting the fish?
- 28. Will you please lay out those fish?
- 29. I wish to estimate the proportion of regulated species in your catch.
- 30. I have completed an inspection of catch on board your vessel. As a result of this inspection, I have estimated your total catch as ......t.
- 31. I have completed an inspection of your log records. Your log records indicate your total catch on board is ......t.
- 32. I have found that there is a difference between your recorded catch and my estimate of the catch on board your vessel.
- 33. I shall report this difference to your Contracting Party in my inspection report.
- 34. Please turn to the copy of the Inspection Form in your language and supply me with the necessary information to complete it. I will indicate which sections.
- 35. If you do not give your cooperation as I have requested, I will report your refusal to your Contracting Party.
- 36. I have found the average width of the meshes I have measured in that net is ......mm. This appears to be below the minimum applicable mesh size, and will be reported to your Contracting Party.
- 37. I have found net attachments/other fishing gear/which appear to be illegal. This will be reported to your Contracting Party.
- 38. I shall now affix the identification mark to this piece of fishing gear which is to be preserved with the mark attached until viewed by a fisheries inspector of your Contracting Party at his demand.
- 39. I have found ...... undersized fish. I shall report this to your Contracting Party.
- 40. I find that you are apparently fishing in this area/during a closed season/with gear not permitted/for stocks or species not permitted. This will be reported to your Contracting Party.
- 41. I have found a by-catch of regulated species which appears to be above the permitted amounts. I shall report this to your Contracting Party.
- 42. I have made copies of the following entry/entries/in this document. Please sign them to certify that they are true copies.
- 43. I would like to communicate with a designated authority of your Contracting Party. Please arrange for this message to be sent and for any answer to be received.
- 44. Do you wish to make any observations concerning this inspection including its conduct and that of the inspector(s)? If so, please do so in the space I am indicating on the Report Form on which I have set out my findings. Please sign the observations. Do you have any witnesses who wish to make observations? If so, they may do so in the space I am indicating on the Report Form.
- 45. I am leaving. Thank you.

#### PART IV - ANNEX VII - NAFO INSPECTION SEAL

The Standing Committee on International Control (STACTIC) approved a format - sample of a NAFO Seal for use by inspectors in the Regulatory Area) paragraph 5.16 of STACTIC Report) that was adopted by the Fisheries Commission.

The NAFO Inspection Seal shall be as follows:

Name: LOB TAG

Mark: "NAFO Inspection No. of six digits"

Material: polyethylene recyclable

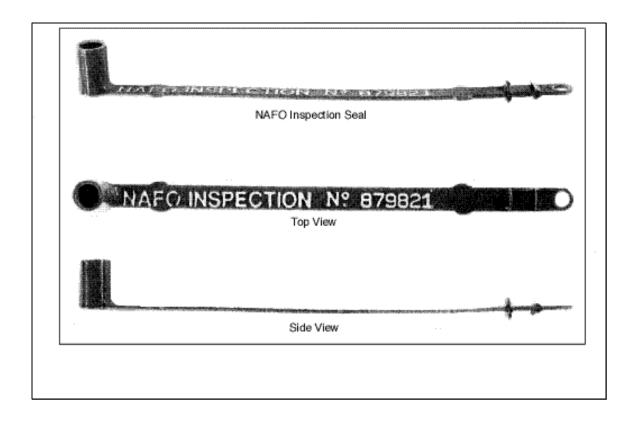
Color: orange

Melt index:  $6.70 \pm .60$  (by international standard) Density:  $.953 \pm .003$  (by international standard)

Breaking point (load): min. 45 kg (t° 20°C)

Length: 28 cm Width: 1.3 cm

A sketch of the NAFO Inspection Seal:



#### PART IV - ANNEX VIII- SURVEILLANCE REPORT

- 1. The forms for the Surveillance Report shall be collated in a booklet with each page having an original and two self-carbon copies (preferably coloured and preferably 1 golden rod and 1 blue).
- 2. Page packets are to be perforated at the top and bottom of the page for easy removal.
- 3. Booklets should be bound preferably with 50 copies of the surveillance report.
- 4. The size of every page, after removal from the packet, should be 355.5 mm (14") in length by 216 mm (8 1/2") in width.

# FISHERIES COMMISSION OF THE NORTHWEST ATLANTIC FISHERIES ORGANIZATION SURVEILLANCE REPORT

#### PART I

AUTHO	RIZED INSPECTORS	
1.	Name(s)	Document Identity No.(s)

	Contracting Party		
2.	Identification/Call Sign of Se	urveillance Craft	
	Patrol Originating in Reg. A	rea at (Posn)on (Date) (ti	ime) UTC
	Patrol Leaving Reg. Area at	(Posn)on (Date) (time)	UTC
DETAII	LS OF VESSEL OBSERVED		
3.	Contracting Party		
4.	Vessels Name and Letters an	nd Numbers of Registration	
5.	etc.)	eatures (Type of vessel, co	
6.		Identified Course	
	Position at Time at First I.D.		
	Equipment used in Determin	ning Position	

7.	WEATHER CONDITIONS			
	Wind Dir Wind Speed			
8.	DETAILS OF PHOTOGRAPHS TAKEN			
	Date/Time		Posn.	Altitude in case of air surveillance
	a			
		PART II		
	(to be completed by th following the ob (NOT APPLICABLE TO	servation re	ecorded in Part I)	
by the	certify that to date, in respect of the fishing ve authorit pursuant to pa	ties from	the competent aut	horities of the Contracting Party
	ement Measures (Hail System), does not corresp			
	Authorized Inspector:			
	Signature:			

PART V - SCHEDULE I

			Quota	Quota Table for Year(s) -	ear(s) -				
Column I	П	Ш	IV	^	IV	IIA	:	:	
Contracting Party	8								
Total Allowable									

VOTES

#### PART V - SCHEDULE I - APPENDIX I

#### Instructions on how to fill in the Quota Table:

Columns I, II, etc.: There will be as many Roman numbered columns as required by the number of established quotas

for the year or years in consideration. Those quotas are defined by writing in the first space of Columns II onwards, the species to which the quota applies and in the corresponding second space,

the applicable Subarea(s), Division(s) or Subdivision(s).

Column I entitled

Contracting Party This column will have as many numbers as required by the names of Contracting Parties who have

at least one nominal quota, by the designation "Others", and by any other special quota or

reservation considered convenient.

In each numbered line one writes the quota, allocated to the Country with that number, in the column corresponding to the species and area to which the quota corresponds. Where the quota is 0, a dash (-) is inserted. The notation N.S. means Not Specified. In the line against Total Allowable Catch, the figures for the TAC's for the different species and different areas will fill in the corresponding columns.

Quotas are always in metric tons.

Under the Table, numbered NOTES will affect either the titles of columns or lines or the figures or quotas according to norms voted by the Fisheries Commission as applicable for the year(s) in question.

As a guide, an example of Schedule I as applied to the year 2002, extracted from GF/02-240 of April 29, 2002, is attached to this Schedule.

#### Objections recorded by the NAFO Secretariat:

- **Latvia** is not bound by provisions of items 11,12,13,14 re "block quota" and 17 (Greenland halibut "Others") and Redfish 1F pursuant to its objections (GF/00-708, 21 Nov 00, GF/01-351, May 16/01, and GF/02-142, Mar 19/02).
- Lithuania is not bound by provisions of item Redfish 1F pursuant to its objection (GF/01-360, May 23/01).
- Ukraine is not bound by provisions of item Redfish 1F pursuant to its objection (GF/01-325, May 9/01)
- **Russia** is not bound by provisions of items 11,12,13,14 re "block quota" pursuant to its objection (GF/00-731, 07 Dec 00).

QLOTA TABLE. Fotal allowable carebes (TACs) and quotas (metric tons) for 2002 of particular stocks in Subarcas 3 and 4 of the NAFO Convention Area. The values listed include quantities to be taken both inside and outside the 200-mile fishing zone, where applicable, (REVISED)

("roll-over" of all allocations and conditions from 2001, except G. halibut)

					_	PA	R	Г	V-	S	C	Ή	E	DŪ	JL	E	Ι-	A	PF	EN
Shrimp	Div. 3L	\$ 000	19	1	67	67	29	67	67	29	67	29	67	29	67	67		67	0	9 000
O. nalibut squid (mex)	Subareas 3-4	N.S.	510			Z.S.	453	1	510	453	1	227		וצצוו	1			453	794	34 000
. Indiana	Div. 3NO* Div. 3LMNO Subareas 3-4 Div. 3L	4 890	1			18 046	•	1	3 341	•	1	•		•	131 6	<u>C</u>		•	2 1695	32 604
,	Div. 3NO*	0	С		• ‹	0	•	1	0	•	٥	0		c	ò			•	•	¥
	Div. 3NO*	0	1			ı	1	1			1			=	9			•	0	*
	Div. 31.NO	12675	1		15	99	•	ı	•	•	•							•	.59	13 000*
Anterican praice	Div. 3LNO*	0	1		١:	0		1	٠	1		•			i				0	*
	Div. 3M*	Ξ	1		١,	<b>=</b>	1	1	,	•	1	,		c	3				0	*
	Div. 1F	1.175**	İ		24 169	23 28 28 28 28 28 28 28 28 28 28 28 28 28	1	27.008"	1.175**	,	3 596	1 000	1.175**	1.175**	1175**	601 +7			1	95 00011
	Div.3M Div.3LN* Div.1F°	0	0		1 ;	0	•	٠	,	•	1	•		9	>			1	O	*
	Div. 3M	200	1750		69	38	69	1	90+	69	1	•		13.8501	200			69	124	5 000
	iv, 3NO*	0	ı			Φ	,	ı	,	,	•	,		c	>			ı	С	*
T.O.T.	Div. 3M Div. 3NO*	0	0	spu	0	<b>©</b>	,	1	,	,	0	0		c	•				С	*
ļ	Contracting Party	I. Canada	2. Cuba	<ol><li>Denmark (Faroe Islands</li></ol>		<ol> <li>European Union</li> <li>France (St. Pierre et</li> </ol>		6. Iceland			9. Norway	10. Poland	H. Estonia	12. Latvia	13. Lithuania	14. Kussia – 15 I. kraine	16. United States of	America	17. Others	Total Allowable Catch

Any quota listed for squid may be increased by a transfer from any "coastal state" as defined in Article 1, paragraph 3 of the NAFO Convention, provided that the TAC for squid is not exceeded. Transfers made to Contracting Parties conducting fisheries for squid in the Regulatory Area shall be reported to the Executive Secretary, and the report shall be made as promptly as possible.

Not specified because the allocation to these Contracting Parties are as yet undetermined, although their sum shall not exceed the difference between the total of allocations to other Contracting Parties and the

Of which no more than 40% (868 t) may be fished before 1 May 2002 and no more than 80% (1.735 t) may be fished before 1 October 2002.

Each Contracting Party shall notify the Executive Secretary bi-weekly of catches taken by its vessels from this stock. Not more than 2500 tons may be fished before July 1, 2002. The Executive Secretary shall notify without delay all Contracting Parties of the date on which, for this stock, accumulated reported each taken by vessels of the Contracting Parties is estimated to equal 50 and then 100 percent of the TAC for that stock.

Contracting Parties shall inform the NAFO Executive Secretary before (1 December 2000) of the measures to be taken to meet the advice of the NAFO Scientific Council. - has not been reviewed

The provisions of Part I, Section A.5c) of NAFO Conservation and Enforcement Measures shall apply.

These guotas are set on the basis of the TAC of 95,000 tons established by NEAFC for 2001. Quantities (aken in the NEAFC Convention Area shall be deducted from the quotas mentioned. – has not been reviewed lectand has objected to the NEAFC management measures for oceanic redish for 2001. Iceland will however limit its fisheries in the NAFO Regulatory Area to 27,008 tons in 2001. – has not been reviewed Each Contracting Party shall notify the Executive Secretary bi-weekly of catches taken by its vessels from this stock in Div. 1F. The Executive Secretary shall notify without delay all Contracting Parties of the date on

which, for this stock, accumulated reported catch taken by vessels of the Contracting Parties is estimated to equal 15,000 tons and then 30,000 tons.

\*\*Quota (1175) to be fished by vessels from Canada, Estonia, Japan, Latvia, Lithuania

<sup>\*</sup>No directed fishing The provisions of Part 1, Section A.5a and c of NAFO Conservation and Enforcement Measures shall apply

## PART V - SCHEDULE II - FISHING LOGBOOK ENTRIES

Item of Information	Standard Code
Vessel name	01
Vessel nationality	02
Vessel registration number	03
Registration port	04
Types of gear used (daily)	10
Type of gear	*2
Date - day	20
- month	21
- year	22
Position - latitude	31
- longitude	32
- statistical area	33
* <sup>1</sup> No. of hauls during the 24-hour period	40
* <sup>1</sup> No. of hours gear fished during the 24-hour period	41
Species names	*2
Daily catch of each species (metric tons round fresh weight)	50
Daily catch of each species for human consump tion in the form of fish	61
Daily catch of each species for reduction	62
Daily discard of each species	63
Place(s) of trans-shipment	70
Date(s) of trans-shipment	71
Master's signature	80

#### <u>Instructions</u>:

Type of Gear - Attachment I Species Names - Attachment II

<sup>\*1</sup> When two or more types of gear are used in the same 24-hour period, records should be separate for the different types.

\*2 Please see attached sheets showing the applicable codes:

## PART V - SCHEDULE II - ATTACHMENT I

#### TYPE OF GEAR CODE

Gear Categories	Standard Abbreviation Code
SURROUNDING NETS	
With purse lines (purse seines) - one boat operated purse seines - two boats operated purse seines Without purse lines (lampara)	PS PS1 PS2 LA
SEINE NETS	
Beach seines Boat or vessel seines Danish seines Scottish seines Pair seines	SB SV SDN SSC SPR
Seine nets (not specified)	SX
Bottom trawls  - beam trawls  - otter trawls 1/  - pair trawls  - nephrops trawls  - shrimp trawls  - bottom trawls (not specified)  Midwater trawls  - otter trawls  - pair trawls  - pair trawls  - midwater trawls  - shrimp trawls  - with trawls  - trawls (not specified)  Otter twin trawls (not specified)  Pair trawls (not specified)  Other trawls (not specified)  Other trawls (not specified)  Other trawls (not specified)	TBB OTB PTB TBN TBS TB  OTM PTM TMS TM  OTT OT PT TX
Boat dredges Hand dredges <u>LIFT NETS</u>	DRB DRH
Portable lift nets Boat operated lift nets Shore operated stationary lift nets Lift nets (not specified)	LNP LNB LNS LN

#### **FALLING GEAR**

Cast nets Falling gear (not specified)	FCN FG
GILLNETS AND ENTANGLING NETS	
Set gillnets (anchored) Drift nets Encircling gillnets Fixed gillnets (on stakes) Trammel nets Combined gillnets -Trammel nets Gillnets and entangling nets (not specified) Gillnets (not specified)	GNS GND GNC GNF GTR GTN GEN GN
TRAPS Stationary uncovered pound-nets Pots Fyke nets Stow-nets Barriers, fences, weirs, etc. Aerial traps Traps (not specified)	FPN FPO FYK FSN FWR FAR FIX
HOOKS AND LINES	
Hand-lines and pole-lines (hand operated) 2/ Hand-lines and pole-lines (mechanized) 2/ Set lines (longlines set) Drifting longlines Longlines (not specified) Trolling lines Hooks and lines (not specified) 3/	LHP LHM LLS LLD LL LTL LTL
GRAPPLING AND WOUNDING	****
HARVESTING MACHINES	HAR
Pumps Mechanized dredges Harvesting machines (not specified)	HMP HMD HMX
MISCELLANEOUS GEAR 4/	MIS
RECREATIONAL FISHING GEAR	RG
GEAR NOT KNOWN OR NOT SPECIFIED	NK

- 1/ Fisheries agencies may indicate side and stern bottom and side and stern midwater trawls, as OTB-1 and OTB-2, and OTM-1 and OTM-2, respectively.
- Including jigging lines.
- <u>2</u>/ <u>3</u>/ <u>4</u>/ Code LDV for dory operated line gears will be maintained for historical data purposes.

  This item includes: hand and landing nets, drive-in-nets, gathering by hand with simple hand implements with or without diving equipment, poisons and explosives, trained animals, electrical fishing.

# PART V - SCHEDULE II - ATTACHMENT II SPECIES NAME CODE

Common English Name	Scientific Name	3-alpha Code
PRINCIPAL GROUNDFISH (EXCEPT F	FLATFISHES)	
Atlantic cod	Gadus morhua	COD
Haddock	Melanogrammus aeglefinus	HAD
Atlantic redfishes	Sebastes sp	RED
Golden redfish	Sebastes marinus	REG
Beaked redfish (deep-water)	Sebastes mentella	REB
Acadian redfish	Sebastes fasciatus	REN
Silver hake	Merluccius bilinearis	HKS
Red hake*	Urophycis chuss	HKR
Pollock (=Saithe)	Pollachius virens	POK
<u>FLATFISHES</u>		
American plaice	Hippoglossoides platessoides	PLA
Witch flounder	Glyptocephalus cynoglossus	WIT
Yellowtail flounder	Limanda ferruginea	YEL
Greenland halibut	Reinhardtius hippoglossoides	GHL
Atlantic halibut	Hippoglossus hippoglossus	HAL
Winter flounder	Pseudopleuronectes americanus	FLW
Summer flounder	Paralichthys dentatus	FLS
Windowpane flounder	Scophthalmus aquosus	FLD
Flatfishes (NS)	Pleuronectiformes	FLX
OTHER GROUNDFISH		
American angler (=Goosefish)	Lophius americanus	ANG
Atlantic searobins	Prionotus sp	SRA
Atlantic tomcod	Microgadus tomcod	TOM
Blue antimora	Antimora rostrata	ANT
Blue whiting	Micromesistius poutassou	WHB
Cunner	Tautogolabrus adspersus	CUN
Cusk (=Tusk)	Brosme brosme	USK
Greenland cod	Gadus ogac	GRC
Blue ling	Molva dypterygia	BLI
Ling	Molva molva	LIN
Lumpfish (=Lumpsucker)	Cyclopterus lumpus	LUM
Northern kingfish	Menticirrhus saxatilis	KGF
Northern puffer	Sphoeroides maculatus	PUF
Eelpouts (NS)	Lycodes sp	ELZ
Ocean pout	Macrozoarces americanus	OPT
Polar cod	Boreogadus saida	POC
Roundnose grenadier	Coryphaenoides rupestris	RNG
Roughhead grenadier	Macrourus berglax	RHG
Sandeels (=Sand lances)	Ammodytes sp	SAN
Sculpins	Myoxocephalus sp	SCU
Scup	Stenotomus chrysops	SCP

\*In accordance with a recommendation adopted by STACRES at the 1970 Annual Meeting (ICNAF Redbook 1970, Part I, Page 67), hakes of the Genus *Urophycis* are designated as follows for statistical reporting: (a) hake reported from Subareas 1,2 and 3, and Divisions 4R, S, T and V be designated as white hake, *Urophycis tenuis*; (b) hake taken by line gears or any hake greater than 55 cm standard length, regardless of how caught, from Divisions 4W and X, Subarea 5 and Statistical Area 6 be designated as white hake, *Urophycis tenuis*; (c) Except as noted in (b), other hake of the Genus *Urophycis* taken in Divisions 4W and X, Subarea 5 and Statistical Area 6 be designated as red hake, *Urophycis chuss*.

Common English Name	Scientific Name	3-Alpha Code
OTHER GROUNDFISH (cont'd)		
Toutog	Tautona onitis	TAU
TautogTilefish	Tautoga onitisLopholatilus chamaeleonticeps	TIL
White hake*		HKW
Wolffishes (NS)	Urophycis tenuis Anarhichas sp	CAT
Atlantic wolffish	Anarhichas lupus	CAA
	Anarnichas nupus Anarhichas minor	CAS
Spotted wolffish		GRO
Groundfish (NS)		GRO
PRINCIPAL PELAGICS		
Atlantic herring	Clupea harengus	HER
Atlantic mackerel	Scomber scombrus	MAC
OTHER PELAGIC FISH		
Atlantic butterfish	Peprilus triacanthus	BUT
Atlantic menhaden	Brevoortia tyrannus	MHA
Atlantic saury	Scomberesox saurus	SAU
Bay anchovy	Anchoa mitchilli	ANB
Bluefish	Pomatomus saltatrix	BLU
Crevalle jack	Caranx hippos	CVJ
Frigate tuna	Auxis thazard	FRI
King mackerel	Scomberomorus cavalla	KGM
Atlantic Spanish mackerel	Scomberomorus maculatus	SSM
Sailfish	Istiophorus platypterus	SAI
White marlin	Tetrapturus albidus	WHM
Blue marlin	Makaira nigricans	BUM
Swordfish	Xiphias gladius	SWO
Albacore tuna	Thunnus alalunga	ALB
Atlantic bonito	Sarda sarda	BON
Little tunny	Euthynnus alletteratus	LTA
Bigeye tunny	Thunnus obesus	BET
Northern bluefin tuna	Thunnus thynnus	BFT
Skipjack tuna	Katsuwonus pelamis	SKJ
Yellowfin tuna	Thunnus albacares	YFT
Tunas (NS)	Scombridae	TUN
Pelagic fish (NS)		PEL
OTHER FISH		
Alewife	Alosa pseudoharengus	ALE
Amberjacks	Seriola sp	AMX
American conger	Conger oceanicus	COA
American eel	Anguilla rostrata	ELA
Atlantic hagfish	Myxine glutinosa	MYG
American shad	Alosa sapidissima	SHA
Argentines (NS)	Argentina sp.	ARG
Atlantic croaker	Micropogonias undulatus	CKA
Atlantic needlefish	Strongylura marina	NFA
Atlantic salmon	Salmo salar	SAL
Atlantic sallverside	Menidia menidia	SSA
Atlantic thread herring	Opisthonema oglinum	THA
/ MIRORIES / HILL / CREEK / HILL / HI	ODBHOREHU OZHIMIL	111/7

Common English Name	Scientific Name	3-Alpha Code
OTHER FISH (cont'd)		
	Do a anima anamia	DDM
Black drum	Pogonias cromis	BDM BSB
Black seabass	Centropristis striata	
Blueback herring	Alosa aestivalis	BBH CAP
Chara (NS)	Mallotus villosus	-
Chars (NS)	Salvelinus sp	CHR
Cobia	Rachycentron canadum	CBA POM
Common (Florida) pompano	Trachinotus carolinus	-
Gizzard shad	Dorosoma cepedianum	SHG GRX
Grunts (NS)	Pomadasyidae	
Hickory shadLanternfish	Alosa mediocris	SHH LAX
	Notoscopelus sp	
Mullets (NS)	Mugilidae	MUL
North Atl. harvestfish	Peprilus alepidotus (=paru)	HVF
Pigfish	Orthopristis chrysoptera	PIG
Rainbow smelt	Osmerus mordax	SMR
Red drum	Sciaenops ocellatus	RDM
Red porgy	Pagrus pagrus	RPG
Rough scad	Trachurus lathami	RSC
Sand perch	Diplectrum formosum	PES
Sheepshead	Archosargus probatocephalus	SPH
Spot croaker	Leiostomus xanthurus	SPT
Spotted weakfish	Cynoscion nebulosus	SWF
Squeteague (Gray weakfish)	Cynoscion regalis	STG
Striped bass	Morone saxatilis	STB
Sturgeons (NS)	Acipenseridae	STU
Tarpon	Tarpon (=megalops) atlanticus	TAR
Trouts (NS)	Salmo sp	TRO
White perch	Morone americana	PEW
Alfonsinos (NS)	<i>Beryx</i> sp	ALF
Spiny (=picked) dogfish	Squalus acanthias	DGS
Dogfishes (NS)	Squalidae	DGX
Sand Tiger shark	Odontaspis taurus	CCT
Porbeagle	Lamn a nasus	POR
Shortfin Mako shark	Isurus oxyrinchus	SMA
Dusky shark	Carcharhinus obscurus	DUS
Great Blue shark	Prionace glauca	BSH
Large sharks (NS)	Squaliformes	SHX
Atlantic Sharpnose shark	Rhizoprionodon terraenova	RHT
Black Dogfish	Centroscyllium fabricii	CFB
Boreal (Greenland) shark	Somniousus microcephalus	GSK
Basking shark	Cetorhinus maximus	BSK
Skates (NS)	<i>Raja</i> sp	SKA
Little skate	Leucoraja erinacea	RJD
Arctic skate	Amblyraja hyperborea	RJG
Barndoor skate	Dipturus laevis	RJL
Winter skate	Leucoraja ocellata	RJT
Thorny skate (Starry Ray)	Amblyraja radiata	RJR
Smooth skate	Malacoraja senta	RJS
Spinytail skate (Spinetail Ray)	Bathyraja spinicauda	RJQ
Finfishes (NS)		FIN
1 IIII 1511C5 (130)	•••	1111

#### **INVERTEBRATES**

Long-finned squid(Loligo)	Loligo pealei	SQL
Short-finned squid ( <i>Illex</i> )	Illex illecebrosus	SQI
Squids (NS)	Loliginidae, Ommastrephidae	SQU
Atlantic razor clam	Ensis directus	CLR
Hard clam	Mercenaria mercenaria	CLH
Ocean quahog	Arctica islandica	CLQ
Soft clam	Mya arenaria	CLS
Surf clam	Spisula solidissima	CLB
Stimpson's surf clam	Spisula polynyma	CLT
Clams (NS)	Prionodesmacea, Teleodesmacea	CLX
Bay scallop	Argopecten irradians	SCB
Calico scallop	Argopecten gibbus	SCC
Iceland scallop	Chylamys islandica	ISC
Sea scallop	Placopecten magellanicus	SCA
Scallops (NS)	Pectinidae	SCX
American cupped oyster	Crassostrea virginica	OYA
Blue mussel	Mytilus edulis	MUS
Whelks (NS)	Busycon sp	WHX
Periwinkles (NS)	Littorina sp	PER
Marine molluscs (NS)	Mollusca	MOL
Atlantic rock crab	Cancer irroratus	CRK
Blue crab	Callinectes sapidus	CRB
Green crab	Carcinus maenas	CRG
Jonah crab	Cancer borealis	CRJ
Queen crab	Chionoecetes opilio	CRQ
Red crab	Geryon quinquedens	CRR
Stone king crab	Lithodes maia	KCT
Marine crabs (NS)	Reptantia	CRA
American lobster	Homarus americanus	LBA
Northern prawn	Pandalus borealis	PRA
Aesop shrimp	Pandalus montagui	AES
Penaeus shrimps (NS)	Penaeus sp	PEN
Pink (=Pandalid) shrimps	Pandalus sp	PAN
Marine crustaceans (NS)	Crustacea	CRU
Sea-urchin	Strongylocentrotus sp	URC
Marine worms (NS)	Polychaeta	WOR
Horseshoe crab	Limulus polyphemus	HSC
Marine invertebrates (NS)	Invertebrata	INV
` '		

#### PART V - SCHEDULE III

#### **Record of Cumulative Catch**

(in metric tons round fresh<sup>1</sup> weight)

(Bracketed numbers are in accordance with the standard code in Schedule

Quota Species (Name & 3-alpha code)	Quota Region (33) <sup>2</sup>	Cumulative Weight	
		Disposition <sup>3</sup> (61)(62)(63	
		Daily Total (50)	
pha code)	Quota Region (33) <sup>2</sup>	Cumulative Weight	
Quota Species (Name & 3-alpha code)		Disposition <sup>3</sup> (61)(62)(63)	
Quota Spec		Daily Total (50)	
Quota Species (Name & 3-alpha code)	Quota Region (33) <sup>2</sup>	Cumulative Weight	
		Disposition <sup>3</sup> (61)(62)(63	
		Daily Total (50)	
	Year (22)		
Date	Month (21)		
		Day (20)	

1"Round Fresh weight is the same as "whole fresh" weight or "live" weight, the weight of the fish as caught off the sea.
 2 Record the smallest geographic area for which a quota has been allocated.
 3 Any fish off-loaded wile the vessel is operating in the Regulatory area shall be included in the amount shown under "disposition" of the catch.

#### PART V - SCHEDULE IV

#### **Authorized Mesh Size of Nets**

	<u>Species</u>	Mesh Size
a)	All principal groundfish, flatfishes and other groundfish and other fish with the exception of capelin as listed in Part V, Schedule II, Attachment II.	130 mm
b)	skate – codend all other parts of trawl	280 mm <sup>(1)</sup> 220 mm <sup>(2)</sup>
c)	Short-finned squid, <u>Illex illecebrosus</u> ( <u>leSueur</u> )	60 mm
d)	Shrimps and prawns	40 mm

<sup>(1)</sup> This measure shall apply from 01 July 2002. (2) This measure shall apply from 01 January 2003.

Note: (by the NAFO Secretariat)

The reference to the use of 120 mm mesh size (for caprolan, dederon, kapron) had been discontinued from <u>01 January</u> 1997, at the time this regulation has ceased to exist.

#### PART V - SCHEDULE V

#### **Certified Mesh Measuring Gauges**

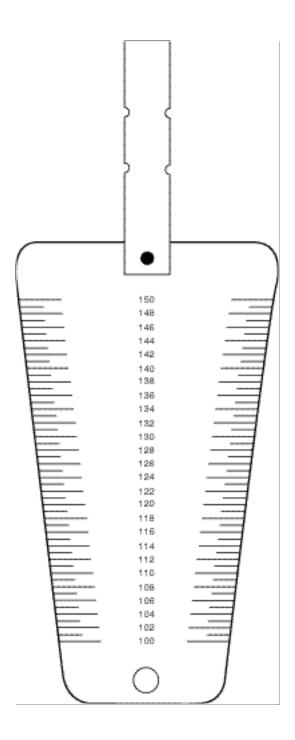
Each gauge is a flat wedge-shaped gauge having a constant taper in width of 1 in 4 (reducing the width 1 unit for every 4 units of length) and a constant thickness not less than 2 millimeters and not more than 2.4 millimeters (2 mm  $\leq$  thickness  $\leq$  2.4 mm), inserted into the meshes under a pull of 5 kilograms.

Since it is not practical to have one gauge for measuring all the mesh sizes authorized in the Regulatory Area, each Contracting Party will issue a series of certified mesh measuring gauges as it deems adequate to cover the range of authorized mesh sizes of nets.

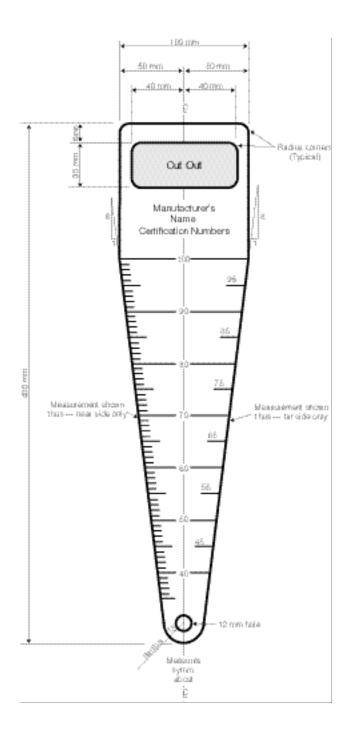
All certified mesh measuring gauges are to be graduated in increments of 1 millimeter and the material used should be rigid, durable and considered suitable by the fisheries authorities of the Contracting Party which certifies them.

The drawings shown in this Schedule should serve only as a guide to the construction of the gauges and not as a blueprint for that construction. It is to be understood that such details as the number of gauges to cover the complete series, corner radii, certification marks or numbers, the existence or not of handles and lightening or handling holes, and all other details and dimensions are not part of the Rules.

# 1. Example of Large Size Gauge



# 2. Example of Small Size Gauge



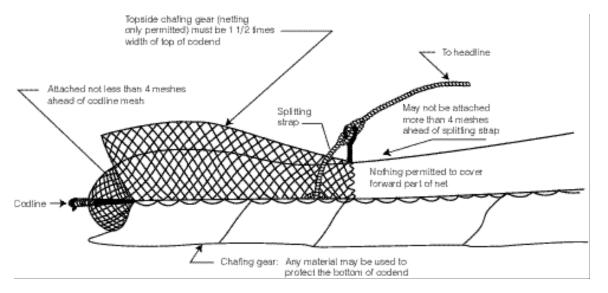
#### PART V - SCHEDULE VI

### **Authorized Topside Chafers**

### 1. <u>ICNAF-type topside chafer</u>

The ICNAF-type topside chafer is a rectangular piece of netting to be attached to the upper side of the codend of the trawl net to reduce and prevent damage so long as such netting conforms to the following conditions:

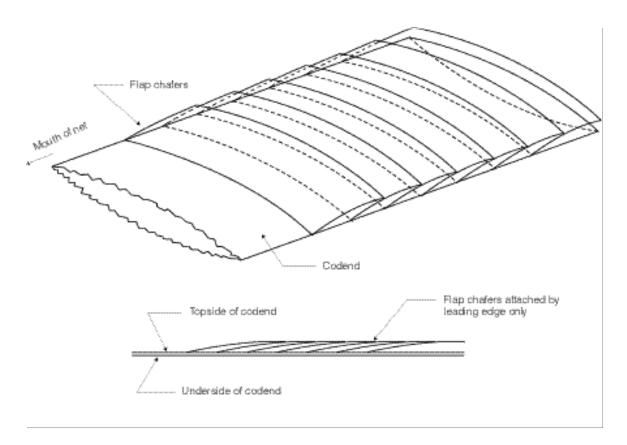
- (a) this netting shall have a mesh size not less than that specified for the codend in sub-paragraph 1(a) of Section B of PART II. For the purposes of this sub-paragraph, the mesh size when measured wet after use shall be taken to be the average of the measurements of 20 consecutive meshes in a series across the netting, such measurements to be made with the gauge described in Schedule V;
- (b) this netting may be fastened to the codend only along the forward and lateral edges of the netting and at no other place in it, and shall be fastened in such a manner that it extends forward of the splitting strap no more than four meshes and ends not less than four meshes in front of the cod line mesh; where a splitting strap is not used, the netting shall not extend to more than one-third of the codend measured from not less than four meshes in front of the cod line mesh:
- (c) the width of this netting shall be at least one and a half times the width of the area of the codend which is covered, such widths to be measured at right angles to the long axis of the codend.



### 2. <u>Multiple flap-type topside chafer</u>

The multiple flap-type topside chafer is defined as pieces of netting having in all their parts meshes the size of which, whether the pieces of netting are wet or dry, is not less than that of the codend, provided that:

- (i) each piece of netting
  - (a) is fastened by its forward edge only across the codened at right angles to its long axis;
  - (b) is of a width of at least the width of the codend (such width being measured at right angles to the long axis of the codend at the point of attachment); and
  - (c) is not more than ten meshes long; and
- (ii) the aggregate length of all the pieces of netting so attached does not exceed two-thirds of the length of the codend.

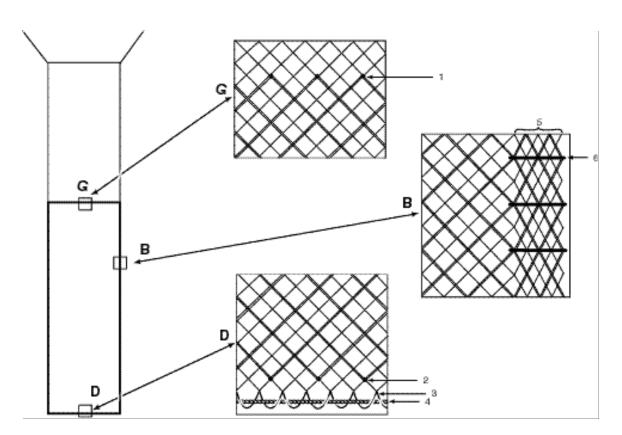


### 3. <u>Large-mesh (modified Polish-type) topside chafer</u>

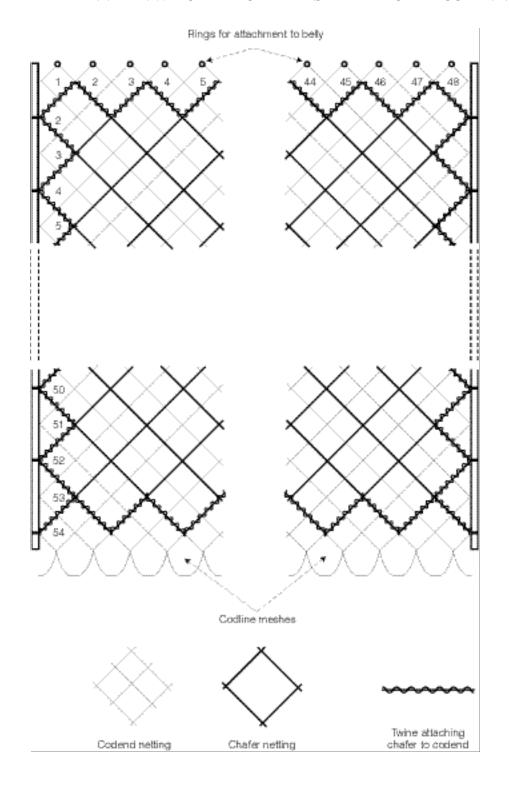
The large-mesh topside chafer consists of a rectangular piece of netting made of the same twine material as the codend, or of a single, thick, knotless twine material, attached to the rear portion of the upper side of the codend and extending over all or any part of the upper side of the codend and having in all its parts a mesh size twice that of the codend when measured wet and fastened to the codend along the forward, lateral and rear edges only of the netting in such a way that each mesh of the netting coincides with four meshes of the codend.

Although not exhaustive, the following examples are included because they are the most common.

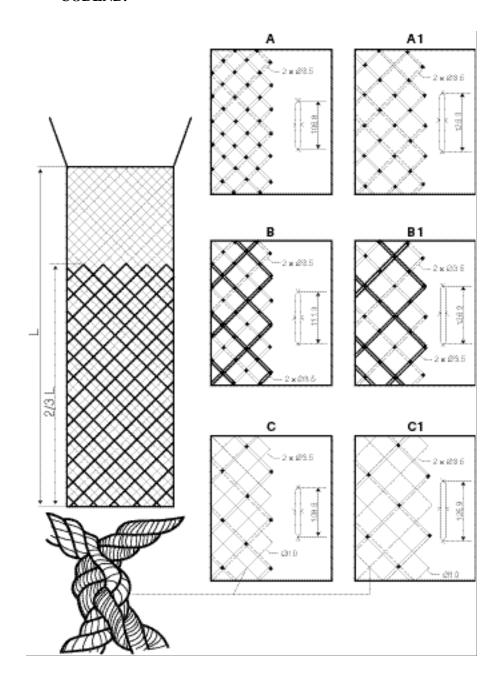
EXAMPLE 1- CHAFER COVERING THREE FIFTHS OF THE LENGTH OF THE CODEND; METHOD OF RIGGING.



EXAMPLE 2 - CHAFER COVERING THE WHOLE LENGTH OF THE CODEND: MANNER IN WHICH THE CHAFER IS FITTED TO THE CODEND.



EXAMPLE 3 - CHAFER OF SINGLE-BRAIDED, THICK, KNOTLESS TWINE MATERIAL: MANNER IN WHICH THE CHAFER IS FITTED TO THE CODEND.



# PART V - SCHEDULE VII

## 1. Minimum Fish Size

<u>Species</u>	Minimum Size
Atlantic cod, <i>Gadus morhua</i> L.	41 cm
American plaice, <i>Hippoglossoides platessoides</i> (Fab)	25 cm
Yellowtail flounder, <i>Limanda ferruginea</i> (Storer)	25 cm
Greenland Halibut, <i>Reinhardtius Hippoglossoides</i>	30 cm

NOTE: Fish size for Atlantic cod refers to fork length and for other species it is total length.

# 2. Length Equivalents for Processed Fish

Species		Gilled and gutted fish v fresh or chilled,		
	whole	head off	head and tail off	head off and split
Atlantic Cod	41 cm	27 cm	22 cm	27/25* cm
American Plaice	25 cm	19 cm	15 cm	NA
Yellowtail flounder	25 cm	19 cm	15 cm	NA

<sup>\*</sup>Lower size for green salted fish.

#### PART VI - PROGRAM FOR OBSERVERS AND SATELLITE TRACKING

In order to improve and maintain compliance with the Conservation and Enforcement Measures for their vessels fishing in the Regulatory Area, Contracting Parties agree to a program of 100 percent observer coverage and to require all vessels fishing in the Regulatory Area to be equipped with satellite tracking devices as soon as possible and not later than January 1, 2001. The elements of this program are subject to review and revision, as appropriate, for application in 2003 and subsequent years.

#### A. Observers

- 1. Each Contracting Party shall require all its vessels fishing in the Regulatory Area to accept observers on the basis of the following:
  - a) each Contracting Party shall have the primary responsibility to obtain, for placement on its vessels, independent and impartial observers. (Observers are not to perform duties, other than those described in Sections 3, 4 and 5 below.)
  - b) in cases where a Contracting Party has not placed an observer on a vessel, any other Contracting Party may, subject to the consent of the Contracting Party of the vessel, place an observer on board until that Contracting Party provides a replacement in accordance with paragraph a);
  - c) no vessel shall be required to carry more than one observer pursuant to this Program at any time.
- 2. Each Contracting Party shall provide to the Executive Secretary a list of the observers they will be placing on vessels in the Regulatory Area.

#### 3. Observers shall:

- a) monitor a vessel's compliance with the relevant Conservation and Enforcement Measures. In particular they shall:
  - i) record and report upon the fishing activities of the vessel and verify the position of the vessel when engaged in fishing;
  - ii) observe and estimate catches with a view to identifying catch composition and monitoring discards, by-catches and the taking of undersized fish;
  - iii) record the gear type, mesh size and attachments employed by the master;
  - iv) verify entries made to the logbooks (species composition and quantities, round and processed weight and hail reports).
- b) collect catch and effort data on a set-by-set basis. This data shall include location (latitude/longitude), depth, time of net on the bottom, catch composition and discards; in particular the observer shall collect the data on discards and retained undersized fish as outlined in the protocol developed by the Scientific Council.
- c) carry out such scientific work (for example, collecting samples) as requested by the Fisheries Commission based on the advice of the Scientific Council;
- d) within 30 days following completion of an assignment on a vessel, provide a report to the Contracting Party of the vessel and to the Executive Secretary, who shall make the report,

available to any Contracting Party that requests it. Copies of reports sent to other Contracting Parties shall not include location of catch in latitude and longitude as required under 3 b), but will include daily totals of catch by species and division.

- 4. The observer shall monitor the functioning of, and report upon any interference with, the satellite system. In order to better distinguish fishing operations from steaming and to contribute to an *a posteriori* calibration of the signals registered by the receiving station, the observer shall maintain detailed reports on the daily activity of the vessel.
- 5. When an apparent infringement of the Conservation and Enforcement Measures is identified by an observer, the observer shall, within 24 hours, report it to a NAFO inspection vessel using an established code, which shall report it to the Executive Secretary.
- 6. Contracting Parties shall take all necessary measures to ensure that observers are able to carry out their duties. Subject to any other arrangements between the relevant Contracting Parties, the salary of an observer shall be covered by the sending Contracting Party.
- 7. The vessel on which an observer is placed shall provide suitable food and lodging during the observer's deployment. Vessel masters shall ensure that all necessary cooperation is extended to observers in order for them to carry out their duties including providing access, as required, to the retained catch, and catch which is intended to be discarded.

## B. <u>Satellite Tracking/ Vessel Monitoring System "VMS"</u>

1. Each Contracting Party shall ensure that each of its vessels operating in the Regulatory Area is equipped with a satellite tracking device allowing the continuous tracking of its position by the Contracting Party.

To that end the satellite tracking device shall ensure the automatic communication at least once every six hours when operating in the Regulatory Area to a land-based fisheries monitoring centre (hereafter referred to as FMC) of data relating to:

- the vessel identification;
- the most recent geographical position of the vessel (longitude, latitude) with a position error which shall be less than 500 metres, with a confidence interval of 99%;
- the date and time of the fixing of the said position of the vessel.

Each Contracting Party shall take the necessary measures to ensure that its FMC receives these data.

- 2. The FMC of each Contracting Party shall be equipped with computer hardware and software enabling automatic data processing and electronic data transmission. Each Contracting Party shall provide for back-up and recovery procedures in case of system failures.
- 3. Each Contracting Party shall take the necessary measures to ensure that the VMS data received from its fishing vessels are recorded in computer readable form for a period of three years.
- 4. The masters of fishing vessels shall ensure that the satellite tracking devices are at all times fully operational and that the information in paragraph 1. is transmitted. In the event of a technical failure or non-operation of the satellite tracking device fitted on board a fishing vessel, the device shall be repaired or replaced within one month. After this period, the master of a fishing vessel shall not be authorised to commence a fishing trip with a defective satellite tracking device. Where a device stops functioning and a fishing trip lasts more than one month, the repair or the

- replacement has to take place as soon as the vessel enters a port; the fishing vessel shall not be authorised to continue or commence a fishing trip without the satellite tracking device having been repaired or replaced.
- 5. Each Contracting Party shall ensure that a fishing vessel with a defective satellite tracking device shall communicate, at least daily, reports containing the information in paragraph 1. to the FMC, by other means of communication (radio, facsimile or telex).
- 6. Each Contracting Party shall communicate reports and messages pursuant to paragraph 1. and paragraph 5. to the Executive Secretary as soon as possible, but not later than 24 hours after receipt of those reports and messages. If the Contracting Party so desires, it shall ensure that each of its fishing vessels shall communicate reports (by satellite, radio, facsimile or telex) to the Executive Secretary.
- 7. Each Contracting Party shall ensure that the reports and messages transmitted between the Contracting Party and the Executive Secretary or if the Contracting Party so desires, between its fishing vessels and the Executive Secretary, shall be in accordance with the data exchange format set out in Annex II. (Part III "VMS position report format")
- 8. The Executive Secretary shall make available as soon as possible the information received under paragraph 7. to other Contracting Parties with an active inspection presence in the Regulatory Area. All reports and messages shall be treated in a confidential manner.
- 9. Each Contracting Party shall notify the name, address, telephone, telex and facsimile numbers as well as the addresses for electronic communication of their relevant authorities to the Executive Secretary before 1 July 2001 and thereafter any changes without delay.
- 10. Subject to any other arrangements between Contracting Parties, each Contracting Party shall pay all costs associated with this system."

Objections recorded by the NAFO Secretariat:

<sup>-</sup> Iceland is not bound by this measure in accordance with its objection (GF/00-714, 27 Nov 00) regarding "observers in the shrimp fisheries Div. 3M and Div. 3L...".

#### PART VII - PORT INSPECTIONS

- 1. (i) When, in the port of a Contracting Party, a port call is made by a vessel that has been engaged in fishing for stocks subject to these Measures, the Contracting Party whose port is being used shall ensure that its inspector is present and that, on each occasion when catch is offloaded, an inspection takes place to verify the species and quantities caught. The Contracting Party shall also ensure that the interference in the offloading activity is minimized and that the quality of the catch is not adversely affected.
  - (ii) The quantities landed by species and the quantities retained on board, if any, shall be cross-checked with the quantities recorded in logbooks, catch reports on exit from the regulatory Area, and reports of any inspections carried out under this Scheme.
  - (iii) Any information from inspections under Part IV of these Measures shall be verified.
  - (iv) Inspections shall include verification of mesh size of nets on board and size of fish retained on board
  - (v) Results of port inspection shall include at least the information listed in Part VII Schedule I -B.
  - (vi) The authorities of the port State shall, on request, transmit the results of the port inspection to the flag State of the vessel, within 14 working days from the date on which the inspection has been completed.
  - (vii) The copy of the results of the port inspection shall be transmitted to the NAFO Executive Secretary within 30 days as from the date on which the landing has been completed and shall be provided to other Contracting Party on request."
  - (viii) Where possible, Contracting Parties should transmit the results of the port inspection as required in (v) to (vii) in the format defined in Part VII-Schedule I-Part A.
- Contracting Parties shall, every two years, check each of their vessels, notified in accordance with Part III.D. of these Measures, to certify the correctness of the vessel's plans for fish rooms and other fish storage places. The master shall ensure that a copy of such verification remains on board to be shown to a NAFO inspector if requested.

# Part VII-Schedule I

# A. "Port inspection report" form

	1 OI t IIIS	pection report		
Page n°			Of	
1. INSPECTION REFERENCE Inspection authority				
Date of the report				
Port of inspection				
Vessel name				
2. TRIP INFORMATION <sup>1</sup> Date trip started				
Trip number <sup>2</sup>				
Activity in the NAFO RA:				
Date Entry in the RA				
Date Exit from the RA				
Other areas visited				
Date trip ended				

To be filled in by the inspection authority or any alternate body nominated by the authorities as soon as the vessel land to port, based on logbook records.

<sup>&</sup>lt;sup>2</sup> Where applicable

3. VES	SEL IDENTIF	ICATION <sup>2</sup>	3					
External Idea								
International	Radio Call Sign							
Flag State								
NAFO Contr	racting Party							
Home port								
Vessel owne	r							
Vessel opera	itor							
Master name								
4. RES	ULT OF INSPI	ECTION (	OF DISC	CHARGE <sup>4</sup>				
4.1	General inf	ormation						
Starting of di	ischarge :		Date			Time		
Ending of dis	scharge :		Date			Time		
Has vessel d	ischarged all cat	tches on	YES			If YES, fill in	table 4.2	
board ?		NO			IF NO, fill tal	ble 4.3		
Comments								
4.2	Quantity di	ischarged					,	
Species (FAO Code)	-			Conversion factor	Landing Processed Wt (kg)	Equivalent live weight (kg)	Diff (Kg)	Diff (%)

<sup>&</sup>lt;sup>3</sup> To be filled in based on the license information.

 $<sup>^{\</sup>rm 4}$  To be filled in after completion of discharge

Comments				
4.3 Quan	ntity staying on board	l the vessel		
To be filled where part		i i	ion of discharge	1
Species	Presentation	Conversion factor	Process weight (kg)	Equivalent live weight (kg)
Comments				
	_			
5. GEAR INSP	ECTION IN PORT 5			
5.1 Gene	eral data			
Number of gear inspe	ected			
Date gear inspection				
Has the vessel been c	ited?			
If Yes, complete the finspection in port" for	full "verification of	☐ Yes		
	orm with the exception	of No		

<sup>&</sup>lt;sup>5</sup> Verification shall be done when non-compliance have been cited / observed during inspection at sea. To be filled in when port inspection also concerns inspection of gears on board. A detail form shall be filled in for every gear having been subject to port inspection

# 5.2 Otter Trawl details

NAFO Seal number			
Is seal undamaged ?	Yes	No	
Gear Type:			
Attachments:			
Grate Bar Spacing (mm)			
Mesh Type:			
Average mesh sizes (mm)			
TRAWL PART			
Wings:			
Body:			
Lengthening. Piece:			
Codend:			

# B. Information to be inserted in the report

# 1. INSPECTION REFERENCES

Data Element	M/O	Category ; Definition
Inspection authority	M	Inspection detail : Name of the inspection authority or of the alternate body nominated by the authority
Date	M	Inspection detail : Date the report is compiled
Port of inspection	M	Vessel activity detail: Place where the vessel is inspected: port followed by ISO –3 code of the country as "St Johns / CAN"
Vessel Name	M	Vessel registration detail; name of the vessel

# 2. TRIP INFORMATION

Data Element	M/O	Category ; Definition
Date trip started	M	Vessel activity details : date started the current fishing trip
Vessel trip number	О	Vessel activity details : Number of the fishing trip in current year
Date Entry in the RA	M	Vessel activity details : Date the vessel entered the NRA for the current fishing trip
Date Exit from the RA	М	Vessel activity details : Date the vessel exited from the NRA for the current fishing trip
Other areas visited	0	Vessel activity detail : other area where vessel have been fishing during the current trip
Date trip Ended	М	Vessel activity details : date ended the current fishing trip

# 3. VESSEL IDENTIFICATION

Data Element	M/O	Category ; Definition
External Identification Number	M	Vessel registration details : Side Number of the vessel
International Radio Call Sign	M	Vessel registration details : International Radio Call Sign of the vessel
Flag State	М	Vessel registration detail; State where the vessel is registered, 3-ISO country code
NAFO Contracting Party	O(1)	Vessel registration detail :NAFO contracting party of the vessel, as ISO code of the country, EUR for European Community, NCP for Non Contracting Party

Data Element	M/O	Category ; Definition
Home port	О	Vessel registration details : Port of registration of the vessel or homeport
Vessel owner	M	Vessel registration details : name and address of the vessel owner
Vessel operator	M (2)	Vessel registration details : responsible for using the vessel
Master name	О	Vessel activity details : name of the master

- if different from the flag state
   if different from the vessel owner

#### 4. RESULT OF INSPECTION ON DISCHARGE

#### 4.1 **General information**

Data Element	M/O	Category ; Definition
Start date of discharge	М	Discharge detail : date the vessel started discharge
End date of discharge	М	Discharge detail : date the vessel finished discharge
Has vessel landed all catches on board?	M	Discharge detail : Has vessel landed all catches on board ?, answer Y if yes, N if not
Comments	0	Discharge detail: comments as necessary.  If discharge as not been completed, please give an estimation on catch still on board

Quantity discharged 4.2

Data Element	M/O	Category ; Definition
Species	M	Discharge detail : FAO 3-alpha code (part V, schedule II, attachment II)
Presentation	M	Discharge detail : Product form
Live Weight	M	Quantities determined from the logbook.
Conversion factor	О	Product detail: Conversion factor as define by the master for the corresponding species, size and presentation, optional if already mention in table B
Process weight	M	Discharge detail : Quantities landed by species and presentation, in kilograms of product, rounded to the nearest 10 kg
Equivalent live weight	M	Discharge detail: Quantities landed in equivalent live weight, as "product weight x conversion factor", in kilograms, rounded to the nearest 10 kg
Comments	О	Discharge Details : free text area

4.3 Quantities staying on board the vessel

Data Element	M/O	Category ; Definition			
Species	М	Discharge detail : FAO 3-alpha code (part V, schedule II, attachment II)			
Presentation	М	Discharge detail : Product form			
Conversion factor	О	Product detail: Conversion factor as define by the master for the corresponding species, size and presentation, optional if already mention in table B			
Process weight	М	Discharge detail : Quantities landed by species and presentation, in kilograms of product, rounded to the nearest 10 kg			
Equivalent live weight	М	Discharge detail: Quantities landed in equivalent live weight, as "product weight x conversion factor", in kilograms, rounded to the nearest 10 kg			
Comments	О	Discharge Details : free text area			

# 5. RESULT OF GEAR INSPECTION<sup>1</sup>

## 5.1 General information

Data Element	M/O	Category ; Definition		
Date of inspection	M	Inspection detail: Date of current gear inspection		
Inspected gear	M	Inspection detail: number of gear checked during port inspection		

<sup>1</sup> Verification shall be done when non-compliance have been cited / observed during inspection at sea. To be filled in when port inspection also concerns inspection of gears on board. A detail form shall be filled in for every gear having been subject to port inspection

# 5.2 Otter trawl details

Data Element	M/O	Category ; Definition	
NAFO seal number	М	Inspection detail (if required): Number of the NAFO seal attached to the gear after inspection at sea	
Is Seal Undamaged ?	M	Whether NAFO inspection seal is intact. – "yes" or "no"	
Gear type	M	International Standard Statistical Classification of the Fishing Gear , OTB for otter trawl	
Attachments		Otter trawl detail: attachment to footrope	
Grade bar spacing	M	Otter trawl detail : grade bar spacing in millimetres	
Mesh type	M	Otter trawl detail : respectively mesh type: SQ for square mesh , DI for diamant mesh	
Mesh size average	M	Otter trawl detail: average mesh size in the trawl part, by pair	
Trawl part	M	Trawl part measured	
Mesh size	M	Mesh size in millimetres	

# Attachment 1

# STACTIC FORMS

Form A -	Annual Return of Inspections, Catch Record Discrepancies/or Apparent Infringements
Form B -	Annual Return of Disposition of Catch Record Discrepancies and/or Apparent Infringements
Forms C,D -	Annual Return of Surveillance Information in Compliance with the Hail System
Form E -	Annual Return of Inspections, Catch Record Discrepancies, Apparent Infringements and Disposition of Apparent Infringements

# NORTHWEST ATLANTIC FISHERIES ORGANIZATION CONSERVATION AND ENFORCEMENT MEASURES

# $\frac{\text{ANNUAL RETURN OF INSPECTIONS, CATCH RECORD DISCREPANCIES AND/OR}{\text{\underline{APPARENT INFRINGEMENTS}}}$

Contracting Party Reporting	;:	Year:			
Contracting Party of Inspected Vessels:					
SUMMARY OF INSPE	CTIONS, CATCH	RECORD DISCREPANCIES AND/OI	R APPARENT INFRINGEMENTS		
Total Number of Inspection	s:	Total Number of Apparent Infringen	nents:		
Total Number of Catch Reco	ord Discrepancies :				
DETAILS OF	CATCH REORD	DISCREPANCIES AND/OR APPARE	ENT INFRINGEMENTS		
Name of Vessel Inspected and Side Number	Date Inspected	Location at time of Inspection (NAFO Division or name of port)	Details of apparent infringements and/or catch record discrepancies (Indicate Applicable Section of NAFO Conservation and Enforcement Measures		
Date of Return: STACTIC Form A		_			
ANNUAL R		OSITION OF CATCH REORD DISCR	REPANCIES AND/OR		
<u>APPARENT INFRINGEMENTS</u> (To be used by Contracting Parties whose vessels were cited by other Contracting Parties)					
DETAILS OF	CATCH REORD	DISCREPANCIES AND/OR APPARE	ENT INFRINGEMENTS		
Name of Vessel Inspected and Side Number	Date Inspected	Details of apparent infringements and/or catch record discrepancies (indicate applicable section of NAFO Conservation and Enforcement Measures)	Disposition of apparent infringement(s) and/or catch record discrepancies		
Date of Return:		_			

# NORTHWEST ATLANTIC FISHERIES ORGANIZATION

Annual Return of Surveillance Information in Compliance with the Hail System

(to be used by Authorities of Contracting Partties conducting sursveillance)

Contracting Party: _						
1. General su	urveillance record					
	Number of air		Total num			
	on NAF	O patrol	sightin	igs		
<u>.</u>					I	
2. Surveillan	ice record balanced	by Contracting Par	ties			
Contracting Party w	1 1	NI I C	·11	N 1 C	'11	
surveyed in the Reg		Number of surve			Number of surveillance reports which do not correspond with "hail system"	
surveyed in the Reg	didiory rifed	position of sightir		reports		
Canada				i		
Cuba						
Denmark (in respect	t of Faroes and					
Greenland						
Estonia						
European Union						
France (in respect of	f St. Pierre et					
Miquelon						
Iceland						
Japan						
Korea						
Latvia Lithuania						
Norway						
Poland						
Russia						
Ukraine						
USA						
TOTAL						
			_			
Date of Return:						
D			1			
Reported by:						
Address: —						
·	·		I			

STACTIC Form C

# NORTHWEST ATLANTIC FISHERIES ORGANIZATION

Annual Return of Surveillance Information in Compliance with the Hail System

(to be used by Authorities of Contracting Parties conducting surveillance)

Contracting Party:					
Details of established	discrepa	ancies of surveillance and	"hail sys	tem" reports	
Surveillance reports			"Hail s	ystem" reports	
Vessels/by	ssels/by				Remarks: (sequential no.
Contracting Party	Date	Location (coordinates, division)	Date	Location (coordinates, division)	of report, conclusions, etc.)
raity	Date	uivisioii)	Date	division)	cic.)
			•		
Date of Return:					
Reported by:			_		
Keponed by.					
Address:					

STACTIC Form D

## NORTHWEST ATLANTIC FISHERIES ORGANIZATION CONSERVATION AND ENFORCEMENT MEASURES ANNUAL RETURN OF INSPECTIONS, CATCH REORD DISCREPANCIES, APPARENT INFRINGEMENTS, AND DISPOSITION OF APPARENT INFRINGEMENTS

Contracting Party Reporting:		Year:				
Contracting Party of Inspected Vessels:						
	Summary of total number of:					
Inspections:		Catch Record Discrepancies:				
Apparent Infringements:		Disposition of apparent infring and/or catch record discrepance				
	D	ETAILS OF INSPECTIONS				
Name of Vessel Inspected and Side Number	Date Inspected/ Division	Details of apparent infringements and/or catch record discrepancies (indicate applicable section of NAFO Conservation and Enforcement Measures)	Disposition of apparent infringement(s) and/or catch record discrepancies			

STACTIC Form E