

Northwest Atlantic Fisheries Organization



**Report of the  
STACTIC Ad hoc Working Group on Port State Control Alignment**

4-5 May 2015  
Tallinn, Estonia

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### **1. Opening**

The Chair of STACTIC, Gene Martin (USA), opened the meeting at 1006 hrs on Monday, 4 May 2015 at the Park Inn by Radisson in Tallinn, Estonia. The Chair of STACTIC welcomed representatives from the following Contracting Parties (CPs): Canada, Denmark (in respect of Faroe Islands and Greenland), the European Union, Iceland, Japan, Norway, Russian Federation, and the United States of America (Annex 1).

### **2. Election of Chair**

The Chair of STACTIC agreed to Chair the Working Group with no objections.

### **3. Appointment of Rapporteur**

The NAFO Secretariat was appointed as Rapporteur.

### **4. Adoption of Agenda**

The Agenda was adopted with no amendments (Annex 2). The Chair reminded the Contracting Parties of FC Doc. 14/24, which contains the Terms of Reference for the Working Group.

### **5. Comparison of the NAFO Port State Control provisions against those of the *FAO Agreement on Port State Measures to Prevent, Deter and Eliminate Illegal, Unreported and Unregulated Fishing***

Under this agenda item the Chair noted STACTIC PSCA-WP 15/01, which was completed by a NAFO intern in 2011 comparing the NAFO Conservation and Enforcement Measures (NCEM) to the FAO Port State Measures (PSM). The Chair noted that this document could be used as a reference document through the meeting. There were no other comments on this WP.

### **6. Review of the amendments made to the NEAFC Scheme of Control and Enforcement (NEAFC Document Rec 09 2014: Alignment of NEAFC Scheme with FAO Port State Measures Agreement)**

Iceland explained that the FAO Port State Measures have been integrated into the NEAFC Scheme of Control and Enforcement, and the changes will enter into force as of 01 July 2015. The representative explained the process that NEAFC went through and noted that Norway made a large contribution to it.

### **7. Consideration of amendments to the existing NAFO Port State Control Scheme necessary to align with the *FAO Agreement on Port State Measures to Prevent, Deter and Eliminate Illegal, Unreported and Unregulated Fishing***

Norway had provided STACTIC PSCA-WP 15/02 prior to the Working Group meeting, which showed the potential changes to the NCEM to facilitate discussion at the meeting. It was decided that the best way to move forward was to review STACTIC PSCA-WP 15/02 line by line and make any necessary edits or changes to the text. The wording was thoroughly reviewed by the group, and best efforts were made to reach consensus on all wording changes.

The group discussed expanding the scope of the port state measures from the Regulatory Area to the Convention Area. However it was agreed not to amend the scope.

Japan made a reservation for their further consideration on the following:

Article 43.1, Article 43.10, Article 43.13.f, Article 43.16, Article 54.1.b, and Annex IV.H.

In addition, Japan also made a reservation on prohibition of “*use of port*” and “*making other port services*” in the entire draft document.

The discussions concluded with a version of the changed text (STACTIC PSCA-WP 15/03) agreed upon by the Working Group, noting the reservations made by Japan, to forward to STACTIC for further consideration (Annex 3).

## **8. Recommendations to forward to STACTIC**

The ad hoc Working Group agreed on the following **recommendations** to forward to STACTIC:

- 1. The EDG to check for consistency through the NCEM on the following terms:**
  - **Entitled to fly its flag**
  - **Entry into port**
  - **Fishing activities**
  - **Fishing vessels**
  - **Master or Agent**
  - **Landing, transshipment, or use of ports**
- 2. STACTIC to accept the draft agreement developed by the working group with reservations noted for purposes of receiving further comment from Contracting Parties by DATE\*. (See Annex 3).**
- 3. JAGDM seek to establish electronic communications in the interest of alignment with the PSM and the CEMs of NEAFC and NAFO.**

\* 01 July 2015 was decided as the date by STACTIC at the May 2015 Intersessional.

## **9. Other Matters**

There were no discussions under this agenda item.

## **10. Adoption of Report**

A draft of the report will be circulated at a later date for adoption by the participants.

## **11. Adjournment**

The Chair adjourned the meeting at 1752 hrs on 05 May 2015. The Chair thanked Norway for its development of the STACTIC PSCA-WP 15/02 and meeting participants for their cooperation and input. The participants likewise expressed their thanks and appreciation to the Chair for accepting the post.

**Annex 1. List of Participants****WORKING GROUP CHAIR**

Martin, Jr., Gene S., Attorney, Section Chief, Office of the General Counsel Northeast, National Oceanic and Atmospheric Administration (NOAA), 55 Great Republic Drive, Gloucester, MA 01930  
Tel.: + 978 281 9242 – Fax: + 978 281 9389 – E-mail: [gene.s.martin@noaa.gov](mailto:gene.s.martin@noaa.gov)

**CANADA**

Napier, Brent, Chief, Enforcement Programs – Ecosystems and Fisheries Management Branch, Fisheries and Oceans Canada, , 200 Kent St, Ottawa, ON K1A 0E6  
Tel.: +1 613 998-9537 – Fax: +1 613 941-2718 – Email: [brent.napier@dfo-mpo.gc.ca](mailto:brent.napier@dfo-mpo.gc.ca)

**DENMARK (IN RESPECT OF THE FAROE ISLANDS AND GREENLAND)**

Casadepax, Herve, Greenland Fisheries Licence Control Authority, Nuuk, Greenland  
Tel.: +45 3283 3860 – E-mail: [HECA@nanoq.gl](mailto:HECA@nanoq.gl)

Gaardlykke, Meinhard, Adviser, Faroe Islands Fisheries Inspection, Yviri við Strond 3, P. O. Box 1238, FO-110 Torshavn, Faroe Islands  
Tel.: +298 311065 – Fax: +298 313981 – E-mail: [meinhardg@vorn.fo](mailto:meinhardg@vorn.fo)

Jacobsen, Petur Meinhard, Head of Section, Grønlands Fiskerilicenskontrol, Postbox 501, DK-3900 Nuuk, Greenland  
Tel.: +299 345393 – Fax: +299 323235 – E-mail: [pmja@nanoq.gl](mailto:pmja@nanoq.gl)

Kruse, Martin, Adviser, FMC-Manager, Faroe Islands Fisheries Inspection, Yviri við Strond 3, P. O. Box 1238, FO-110 Torshavn, Faroe Islands  
Tel.: +298 291001 – Fax: +298 313981 – E-mail: [martink@vorn.fo](mailto:martink@vorn.fo)

Trolle Nedergaard, Mads, Fiskerilicensinspektør, Head of Department, Grønlands Fiskerilicenskontrol, Postbox 501, DK-3900 Nuuk, Greenland  
Tel.: +299 553347 – Fax: +299 323235 – E-mail: [mads@nanoq.gl](mailto:mads@nanoq.gl)

**EUROPEAN UNION**

Babcionis, Genadijus, Desk Manager, European Fisheries Control Agency (EFCA), Apartado de Correos 771 – E-36200 – Vigo, Spain  
Tel.: +34 986 12 06 40 – E-mail: [genadijus.babcionis@efca.europa.eu](mailto:genadijus.babcionis@efca.europa.eu)

Chamizo Catalan, Carlos, Head of Fisheries Inspection Division, Secretariat General de Pesca Maritima, Subdireccion de Control E Inspeccion, Ministerio de Medio Ambiente, y Medio Rural y Marino, Velázquez, 144, 28006 Madrid, Spain  
Tel.: +34 347 1949 – Fax: +34 347 1512 – E-mail: [cchamizo@magrama.es](mailto:cchamizo@magrama.es)

Lambing, Gunnar, Ministry of Agriculture, Republic of Estonia- Fishery Organization and Data Analysis Bureau, Lai St 39 / Lai St 41, EE - 15056 Tallinn, Estonia  
Tel.: + 372 62 56248 – Fax : +372 625 6522 – E-mail: [gunnar.lambing@agri.ee](mailto:gunnar.lambing@agri.ee)

Lansley, Jon, EU Fisheries Inspector, European Commission, Directorate General for Fisheries and Maritime Affairs (DG MARE.B.1), Rue Joseph II, 79, 1000 Brussels, Belgium  
Tel.: + 32 2 295 8346 – E-mail: [jon.lansley@ec.europa.eu](mailto:jon.lansley@ec.europa.eu)

Meremaa, Epp, Fishery Organisation and Data Analysis Bureau, Chief Specialist  
Tel.: + 372 679 6926 – E-mail: [epp.meremaa@agri.ee](mailto:epp.meremaa@agri.ee)

Spezzani, Aronne, European Commission, Directorate-General for Maritime Affairs and Fisheries, 99 Rue Joseph II, B-1049, Brussels, Belgium  
Tel.: +32 2 295 9629 – Fax: +32 2 296 2338 – E-mail: [aronne.spezzani@ec.europa.eu](mailto:aronne.spezzani@ec.europa.eu)

### ICELAND

Freyr Helgason, Kristjan, Senior Expert, Fisheries Management, Department of Resource Management, Ministry of Industries and Innovation, Skúlagata 4, 150 Reykjavik, Iceland  
Tel.: +354 545 9700 – Email: [kristjanf@anr.is](mailto:kristjanf@anr.is)

Thormar, Anna, Quota Allocations Department, Directorate of Fisheries, Dalshrauni 1, 220 Hafnarfjörður  
Tel.: +354 569 7900 – Fax: +354 569 7991 – E-mail: [annatho@fiskistofa.is](mailto:annatho@fiskistofa.is)

### JAPAN

Tominaga, Haruo, Assistant Director, International Affairs Division, Resources Management Department, Fisheries Agency of Japan, 1-2-1 Kasumigaseki, Chiyoda-ku, Tokyo 100-8907  
Tel.: +81 3 3502 8460 – Fax: +81 3 3504 2649 – E-Mail: [haruo\\_tominaga@nm.maff.go.jp](mailto:haruo_tominaga@nm.maff.go.jp)

Kakinuma, Tadaaki, Assistant Director, Fisheries Management Division, Resources Management Department, Fisheries Agency of Japan, 1-2-1 Kasumigaseki, Chiyoda-ku, Tokyo 100-8907  
E-Mail: [tadaaki\\_kakinuma@nm.maff.go.jp](mailto:tadaaki_kakinuma@nm.maff.go.jp)

### NORWAY

Ognedal, Hilde Senior Legal Adviser, Norwegian Directorate of Fisheries, P. O. Box 185, Sentrum, 5804 Bergen  
Tel.: +47 92 08 95 16, Fax: +47 55 23 80 90, E-Mail: [hilde.ognedal@fiskeridir.no](mailto:hilde.ognedal@fiskeridir.no)

Østgård, Hanne, Senior Legal Adviser, Norwegian Directorate of Fisheries, P. O. Box 185, Sentrum, 5804 Bergen  
Tel.: +47 46 80 52 05 – Fax: +47 55 23 80 90 – E-mail: [hanne.ostgard@fiskeridir.no](mailto:hanne.ostgard@fiskeridir.no)

### RUSSIAN FEDERATION

Agalakov, Vadim E., Chief State Inspector, Barentsevo-Belomorskoe Territorial Department, Federal Agency for Fisheries, str. Kominterna 7, 183038 Murmansk  
Tel.: +7 815 2 798 116 – Fax: +7 815 2 451 945 – E-mail: [murmansk@bbtu.ru](mailto:murmansk@bbtu.ru)

### UNITED STATES OF AMERICA

Christel, Doug, Fishery Policy Analyst, Sustainable Fisheries Division, National Oceanic and Atmospheric Administration (NOAA), National Marine Fisheries Service, 55 Great Republic Drive, Gloucester, MA 01930  
Tel.: +978 281 9141 – Fax: +978 281 9135 – E-mail: [douglas.christel@noaa.gov](mailto:douglas.christel@noaa.gov)

Hinrichsen, Britta, Attorney Advisor, Office of the General Counsel for Enforcement, Northeast Regional Office, National Oceanic and Atmospheric Administration (NOAA), 55 Great Republic Drive, Gloucester, MA 01930  
Tel.: +978-281-9238 – Email: [britta.hinrichsen@noaa.gov](mailto:britta.hinrichsen@noaa.gov)

### NAFO SECRETARIAT

2 Morris Drive, Suite 100, Dartmouth, Nova Scotia, Canada – Tel: +1 (902) 468-5590  
Federizon, Ricardo, Senior Fisheries Commission Coordinator [rfederizon@nafo.int](mailto:rfederizon@nafo.int)  
Aker, Jana, Fisheries Information Administrator [jaker@nafo.int](mailto:jaker@nafo.int)  
Kendall, Matthew, IT Manager [mkendall@nafo.int](mailto:mkendall@nafo.int)

## **Annex 2. Agenda**

1. Opening
2. Election of Chair
3. Appointment of Rapporteur
4. Adoption of Agenda
5. Comparison of the NAFO Port State Control provisions against those of the *FAO Agreement on Port State Measures to Prevent, Deter and Eliminate Illegal, Unreported and Unregulated Fishing*
6. Review of the amendments made to the NEAFC Scheme of Control and Enforcement (NEAFC Document Rec 09 2014: Alignment of NEAFC Scheme with FAO Port State Measures Agreement)
7. Consideration of amendments to the existing NAFO Port State Control Scheme necessary to align with the *FAO Agreement on Port State Measures to Prevent, Deter and Eliminate Illegal, Unreported and Unregulated Fishing*
8. Recommendations to forward to STACTIC
9. Other matters
10. Adoption of Report
11. Adjournment

**Annex 3. Proposed amendments to Chapter VII (Port State Control) and  
Chapter VIII (Non-Contracting Party Scheme) of the NCEM  
to align with the FAO Port State Measures Agreement.**  
(STACTIC PSCA-WP 15/03)

This document was created by accepting all of the changes that were made to the NCEM (Norway's original changes, STACTIC PSCA-WP 15/02, as well as edits made during the meeting) and then performing a document comparison in Word to show the final changes. This may have resulted in some formatting issues.

Reservation of Japan on specific measures noted (see item 7).



## Northwest Atlantic Fisheries Organization Conservation and Enforcement Measures

### Article 1 - Definitions

1. "Bottom fishing activities" means bottom fishing activities where the fishing gear is likely to contact the seafloor during the normal course of fishing operations;
2. "CEM" refers to these Conservation and Enforcement Measures;
3. "Convention" means the 1979 Convention on Future Multilateral Cooperation in the Northwest Atlantic Fisheries, as amended from time to time;
4. "FMC" means a land-based fisheries monitoring centre of the flag State Contracting Party;
5. "Fishing activities" means ~~fishing, fish harvesting or processing operations, transshipment~~ fishery resources, landings or transshipping of fish fishery resources or fish products, landings, and derived from fishery resources, or any other activity in preparation for, in support of, or related to fishingthe harvesting of fisheries resources in the Regulatory Area, including;
  - (i) the actual or attempted searching for, catching or taking of fishery resources;
  - (ii) any activity that can reasonably be expected to result in locating, catching, taking, or harvesting of fishery resources for any purpose, and
  - (iii) any operation at sea in support of, or in preparation for, any activity described in this definition.but does not include any operations related to emergencies involving the health and safety of the crew members or the safety of a vessel.
6. "Fishing day" means any calendar day or any fraction of a calendar day in which a fishing vessel is present in any Division in the Regulatory Area;
7. "Fishing trip" for a fishing vessel includes the time from its entry into until its departure from the Regulatory Area and continues until all catch on board from the Regulatory Area has been ~~unloaded~~landed or transhipped;
8. "Fishing vessel" means any vessel equipped for, intended for, or engaged in fishing activities, including fish processing, transshipment or any other activity in preparation for or related to fishing activities, including experimental or exploratory fishing activities;
9. "Inspector", unless otherwise specified, means an inspector of the fishery control services of a Contracting Party assigned to the Joint Inspection and Surveillance Scheme;
10. "IUU fishing" means activities as defined in paragraph 3 of the FAO International Plan of Action to prevent deter and eliminated illegal, unreported and unregulated fishing;
11. "IUU Vessel List" means the list, established in accordance with Articles 52 and 53;
12. "Non-Contracting Party vessel" means a vessel entitled to fly the flag of a State that is not a Contracting Party or a vessel suspected to be without nationality;
13. "Port" includes offshore terminals and other installations for landing, transshipping, packaging, processing, refueling or resupplying.
- 14 "Processed fish" means any marine organism that has been physically altered since capture, including fish that has been filleted, gutted, packaged, canned, frozen, smoked, salted, cooked, pickled, dried or prepared for market in any other manner;
1415. "Research vessel" means a vessel permanently used for research or a vessel normally used for fishing activities or fisheries support activity that is for the time being used for fisheries research;
1516. "Transshipment" means transfer, over the side, from one fishing vessel to another, of fisheries resources or products.

## **Article 2 - Scope**

1. The CEM shall, unless otherwise provided, apply to all fishing vessels used or intended for use for the purposes of commercial fishing activities conducted on fisheries resources in the Regulatory Area.
2. Unless otherwise provided, research vessels shall not be restricted by conservation and management measures pertaining to the taking of fish, in particular, concerning mesh size, size limits, closed areas and seasons.

## **Article 3 - Duties of the Contracting Parties**

1. Each Contracting Party shall ensure that every fishing vessel entitled to fly its flag operating in the Regulatory Area complies with the relevant provisions of the CEM; and
2. Each fishing vessel operating in the Regulatory Area shall perform the relevant duties set out in the CEM and comply with the relevant provisions of the CEM.

## **Article 38 - Additional Procedures for Serious Infringements**

### ***List of Serious Infringements***

1. Each of the following violations constitutes a serious infringement:

- (a) fishing an "Others" quota without prior notification to the Executive Secretary contrary to Article 5;
- (b) fishing an "Others" quota more than seven working days following closure by the Executive Secretary contrary to Article 5;
- (c) directed fishing for a stock which is subject to a moratorium, or for which fishing is otherwise prohibited, contrary to Article 6;
- (d) directed fishing for stocks or species after the date of closure by the flag State Contracting Party notified to the Executive Secretary contrary to Article 5;
- (e) fishing in a closed area, contrary to Article 9.6 and Article 11;
- (f) fishing with a bottom fishing gear in an area closed to bottom fishing activities, contrary to Chapter II;
- (g) using an unauthorized mesh size contrary to Article 13;
- (h) fishing without a valid authorization issued by the flag State Contracting Party contrary to Article 25;
- (i) mis-recording of catches contrary to Article 28;
- (j) failing to carry or interfering with the operation of the satellite monitoring system contrary to Article 29;
- (k) failure to communicate messages related to catch contrary to Article 10.6 or Article 28;
- (l) obstructing, intimidating, interfering with or otherwise preventing inspectors or observers from performing their duties;
- (m) committing an infringement where there is no observer on board;
- (n) concealing, tampering with or disposing of evidence related to an investigation, including the breaking or tampering of seals or gaining access to sealed areas;
- (o) presentation of falsified documents or providing false information to an inspector that would prevent a serious infringement from being detected;
- (p) landing ~~or~~, transshipping or making use of other port services in a port not designated in accordance with the provisions of Article 43.1;
- (q) failure to comply with the provisions of Article 45.1; and
- (r) landing ~~or~~, transshipping or making use of other port services without authorization of the port State as referred to in Article 43.6.

### ***Duties and Authority of the Inspectors***

2. Where the inspectors cite a vessel for having committed a serious infringement, they shall:

- (a) seek to notify the competent authority of the flag State Contracting Party;
- (b) report the serious infringement to the Executive Secretary;
- (c) take all measures necessary to ensure security and continuity of the evidence, including, as appropriate, sealing the vessel's hold for further inspection;
- (d) request that the master cease all fishing activity that appears to constitute a serious infringement ~~;~~

3. The inspectors may remain on board to provide information and assistance to the inspector designated by the flag State Contracting Party (designated inspector). During this time, the inspectors shall complete the original inspection provided

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that, following the arrival of the designated inspector, the competent authority of the flag State Contracting Party does not require the inspectors to leave the vessel.



***Duties of the Flag State Contracting Party***

4. Where notified of a serious infringement, the flag State Contracting Party shall:

(a) acknowledge receipt of the notification without delay;

(b) ensure the fishing vessel does not resume fishing until the inspectors have notified the master that they are satisfied that the infringement will not be repeated; and

(c) ensure that the vessel is inspected within 72 hours by an inspector designated by the flag State Contracting Party.

5. Where justified, the flag State Contracting Party shall, where authorized to do so, require the vessel to proceed immediately to a port for a thorough inspection under its authority in the presence of an inspector from any other Contracting Party that wishes to participate.

6. Where the flag State Contracting Party does not order the fishing vessel to port, it shall provide written justification to the Executive Secretary no later than 3 working days following the notice of infringement.

7. Where the flag State Contracting Party orders the fishing vessel to port, an inspector from another Contracting Party may board or remain onboard the vessel as it proceeds to port, provided that the competent authority of the flag State Contracting Party does not require the inspector to leave the vessel.

8. (a) Where, in accordance with the inspection referred to in paragraph 3, the designated inspector issues a notice of infringement for:

directed fishing for a stock which is subject to a moratorium (i)

directed fishing for a stock for which fishing is prohibited under Article 6 (ii)

mis-recording of catch, contrary to Article 28 or (iii)

repetition of the same serious infringement during a 100 days period or a single fishing trip, whichever (iv) is shorter

the flag State Contracting Party shall order the vessel to cease all fishing activities and shall forthwith initiate a full investigation.

(b) In this paragraph, "mis-recording of catches" means a difference of at least 10 tonnes or 20%, whichever is greater, between the inspectors' estimates of processed catch on board, by species or in total, and the figures recorded in the production logbook, calculated as a percentage of the production logbook figures. In order to calculate the estimate of the catch on board, the inspectors shall apply a stowage factor agreed between them and the designated inspector.

9. (a) Where the flag State Contracting Party is unable to conduct a full investigation in the Regulatory Area, or where the serious infringement is mis-recording of catches, it shall order the vessel to proceed immediately to a port where it shall conduct a full investigation ensuring that the physical inspection and enumeration of total catch on board takes place under its authority;

(b) Subject to the consent of the flag State Contracting Party, inspectors of another Contracting Party may participate in the inspection and enumeration of the catch.

***Duties of the Executive Secretary***

10. The Executive Secretary:

(a) informs without delay the Contracting Parties having an inspection presence in the Regulatory Area of the serious infringement referred by its inspectors;

(b) informs without delay to the inspecting Contracting Party, the justification provided by the flag State Contracting Party, where it did not order its vessel to port in response to the finding of a serious infringement; and

(c) makes available to any Contracting Party, on request, the justification provided by the flag State Contracting Party where it did not order its vessel to port in response to the finding of a serious infringement.

### **Article 39 – Follow-up to Infringements**

1. A flag State Contracting Party that has been notified of an infringement committed by a fishing vessel entitled to fly its flag shall:

- (a) investigate immediately and fully, including as appropriate, by physically inspecting the fishing vessel at the earliest opportunity;
- (b) cooperate with the inspecting Contracting Party to preserve the evidence in a form that will facilitate proceedings in accordance with its laws;
- (c) take immediate judicial or administrative action in conformity with its national legislation against the persons responsible for the vessel [flying entitled to fly](#) its flag where the CEM have not been respected; and
- (d) ensure that sanctions applicable in respect of infringements are adequate in severity to be effective in securing compliance, deterring further infringements and depriving the offenders of the benefits accruing from the infringement.

2. Each Contracting Party shall ensure that in proceedings it has instituted, it treats all notices of infringement issued in accordance with Article 38.1(l) as if the infringement was reported by its own inspector.

3. Each Contracting Party shall take enforcement measures with respect to a vessel [flying entitled to fly](#) its flag, where it has been established in accordance with domestic law, that the vessel committed a serious infringement listed in Article 38.8.

4. The measures referred to in paragraph 3 and the sanctions referred to in paragraph 1(d) may include the following depending on the gravity of the offence and in accordance with domestic law:

- (a) fines;
- (b) seizure of the vessel, illegal fishing gear and catches;
- (c) suspension or withdrawal of authorization to [fish conduct fishing activities](#); and
- (d) reduction or cancellation of any fishing allocations.

5. The flag State Contracting Party shall immediately notify the Executive Secretary of the measures taken against its vessel in accordance with paragraphs 3 and 4.

## CHAPTER VII PORT STATE CONTROL

### Article 42 - Scope

Subject to the right of the port State Contracting Party to impose requirements of its own for access to its ports, the provisions in this ~~Article~~Chapter apply to ~~landings or transshipments in port entries and the use of~~ ports of Contracting Parties by fishing vessels ~~flying entitled to fly~~ the flag of another Contracting Party, ~~conducting fishing activities in the Regulatory Area~~. The provisions apply to ~~landing or transshipment of fish caught in the Regulatory Area, fish~~ or fish products originating from such fish, that have not been previously landed or ~~offloaded~~transhipped at a port. This ~~Article~~Chapter also sets out the respective duties of the flag State Contracting Party and obligations of the master of fishing vessels ~~seeking~~requesting entry to ~~land catch in~~ a port of a Contracting Party.

### Article 43 - Duties of the Port State Contracting Party

1. The port State Contracting Party shall designate ports to which fishing vessels may be permitted ~~access~~entry for the purpose of landing ~~or~~ transshipment ~~and/or provision of port services and shall [to the greatest extent possible ensure] that each designated port has sufficient capacity to conduct inspections pursuant to this Chapter~~. It shall transmit to the Executive Secretary a list of these ports. Any subsequent changes to the list shall be notified to the Executive Secretary no less than fifteen days before the change comes into effect.
2. The port State Contracting Party shall establish a minimum prior ~~notification~~request period. The prior ~~notification~~request period should be 3 working days before the estimated time of arrival. However the port State Contracting Party may make provisions for another prior ~~notification~~request period, taking into account, inter alia, ~~catch product type or the~~ distance between fishing grounds and its ports. The port State Contracting Party shall advise the Executive Secretary of the prior ~~notification~~request period.
3. The port State Contracting Party shall designate the competent authority which shall act as the contact point for the purposes of receiving ~~notifications~~requests in accordance with Article 45 (1, 2 and/or 3), receiving confirmations in accordance with Article 44.2 and issuing authorizations in accordance with paragraph 6. The port State Contracting Party shall advise the Executive Secretary about the competent authority name and its contact information.
4. The requirements contained in paragraphs 1, 2 and 3 do not apply to a Contracting Party that does not permit any ~~landings or transshipments~~port entries in its ports by vessels ~~flying entitled to fly~~ the flag of another Contracting Party.
5. The port State Contracting Party shall forward a copy of the form as referred to in Article 45 (1 and 2) without delay to the flag State Contracting Party of the vessel and to the flag State Contracting Party of donor vessels where the vessel has engaged in transshipment operations.
6. ~~Landing or transshipment operations~~Fishing vessels may ~~only commence after not enter port without prior~~ authorization ~~has been given~~ by the competent authorities of the port State Contracting Party. ~~Such authorization~~Authorization to land or tranship shall only be given if the confirmation from the flag Contracting Party as referred to in Article 44.2 has been received.
7. By way of derogation from paragraph 6 the port State Contracting Party may authorize all or part of a landing in the absence of the confirmation referred to in paragraph 6. In such cases the fish concerned shall be kept in storage under the control of the competent authorities. The fish shall only be released to be sold, taken over, produced or transported once the confirmation referred to in paragraph 6 has been received. If the confirmation has not been received within 14 days of the landing the port State Contracting Party may confiscate and dispose of the fish in accordance with national rules. ~~8. The port State Contracting Party shall without delay notify the master of the fishing vessel of its decision on whether to authorize the landing or transshipment by returning a copy of the form PSC 1 or 2 with Part C duly completed. This copy shall also be transmitted to the Executive Secretary without delay.~~
8. The port State Contracting Party shall without delay notify the master of the fishing vessel of its decision on whether to authorize or deny the port entry, or if the vessel is in port, the landing, transshipment and other use of port. If the vessel entry is authorized the port state returns to the master a copy of the form PSC 1 or 2 with Part C duly completed. This copy shall also be transmitted to the Executive Secretary without delay. In case of a denial the port state shall also notify the flag State Contracting Party.
9. In case of cancellation of the prior ~~notification~~request referred to in Article 45, paragraph 2, the port State Contracting Party shall forward a copy of the cancelled PSC 1 or 2 to the flag State Contracting Party and the Executive Secretary.

10. Unless otherwise required in a recovery plan, the port State Contracting Party shall carry out inspections of at least 15 % of all such landings or transshipments during each reporting year.

In determining which vessels to inspect, port state Contracting Parties shall give priority to:

a) vessels that have been denied entry or use of a port in accordance with this Chapter or any other provision of the CEM; and

b) requests from other Contracting Parties, States or RFMOs that a particular vessel be inspected.

11. Inspections shall be conducted by authorized Contracting Party inspectors who shall present credentials to the master of the vessel prior to the inspection.

12. The port State Contracting Party may invite inspectors of other Contracting Parties to accompany their own inspectors and observe the inspection ~~of landings or transshipment operations.~~

13. An inspection shall involve the monitoring of the entire dischargelanding or transshipment in that port and the port State Contracting Party shall as a minimum:

(a) cross-check against the quantities of each species landed or transhipped,

(i) the quantities by species recorded in the logbook

(ii) catch and activity reports, and

(iii) all information on catches provided in the prior notification (PSC 1 or 2)

(b) verify and record the quantities by species of catch remaining on board upon completion of landing or transshipment;

(c) verify any information from inspections carried out in accordance with Chapter VI;

(d) verify all nets on board and record mesh size measurements;

(e) verify fish size for compliance with minimum size requirements-;

(f) ensure that inspectors examine all relevant areas, equipment and documents which are relevant to verifying compliance with relevant conservation and management measures, and that inspections are conducted in accordance with the procedures laid down in [Annex IV.H]

14. Each inspection shall be documented by completing form PSC 3 (port State Control inspection form) as set out in Annex IV.C. The inspectors may insert any comments they consider relevant. They shall sign the report and request that the master sign the report. The master may insert any comment he considers relevant and shall be provided with a copy of the report.

15. The port State Contracting Party shall without delay transmit a copy of each port State Control inspection report and, upon request, an original or a certified copy thereof, to the flag State Contracting Party and to the flag State of any vessel that transhipped catch to the inspected fishing vessel. A copy shall also be sent to the Executive Secretary without delay.

16. Inspections shall be conducted in a fair, transparent and non-discriminatory manner and shall not constitute harassment of any vessel. Inspectors shall not interfere with the master's ability to communicate with the authorities of the flag State Contracting Party.

17. The port State Contracting Party shall make all possible effort to communicate with the master or senior crew members of the vessel, including where possible and where needed, that the inspector is accompanied by an interpreter.

18. The port State Contracting Party shall make all possible efforts to avoid unduly delaying the fishing vessel and ensure that the vessel suffers the minimum interference and inconvenience and that unnecessary degradation of the quality of the fish is avoided.

#### **Article 44 - Duties of the Flag State Contracting Party**

1. The flag State Contracting Party shall ensure that the master of any fishing vessel entitled to fly its flag complies with the obligations relating to masters set out in ~~this~~ Article 45.



2. The flag State Contracting Party of a fishing vessel intending to land or tranship, or where the vessel has engaged in transshipment operations outside a port, the flag State Contracting Party or parties, shall confirm by returning a copy of the form, PSC 1 or 2, transmitted in accordance with Article 43.5 with part B duly completed, stating that:

- (a) the fishing vessel declared to have caught the fish had sufficient quota for the species declared;
- (b) the declared quantity of fish on board has been duly reported by species and taken into account for the calculation of any catch or effort limitations that may be applicable;
- (c) the fishing vessel declared to have caught the fish had authorization to fish in the areas declared; and
- (d) the presence of the vessel in the area in which it has declared to have taken its catch has been verified by VMS data.

3. The flag State Contracting Party shall designate the competent authority, which shall act as the contact point for the purposes of receiving [notification requests](#) in accordance with Article 43.5 and providing confirmation in accordance with Article 43.6, and communicate this information to the NAFO Secretariat for dissemination to Contracting Parties.

### **Article 45 - Obligations of the Master of a Fishing Vessel**

1. The master or the agent of any fishing vessel intending to ~~make a~~enter port ~~call~~ shall ~~notify~~forward the request for ~~entry to~~ the competent authorities of the port State Contracting Party within the ~~notification request~~ period referred to in Article 43.2. Such ~~notification request~~ shall be accompanied by the form provided for in Annex II.L with Part A duly completed as follows:

- (a) Form PSC 1, as referred to in Annex II.L.A shall be used where the vessel is [carrying](#), landing or transshipping its own catch; and
- (b) Form PSC 2, as referred to in Annex II.L.B, shall be used where the vessel has engaged in transshipment operations. A separate form shall be used for each donor vessel.
- (c) Both forms PSC 1 and PSC 2 shall be completed in cases where a vessel is intending to land [or tranship](#) both its own catch and catch that was received through transshipment.

2. A master or the agent may cancel a prior ~~notification request~~ by notifying the competent authorities of the port they intended to use. The ~~notification request~~ shall be accompanied by a copy of the original PSC 1 or 2 with the word "cancelled" written across it.

3. The master of a fishing vessel shall:

- (a) co-operate with and assist in the inspection of the fishing vessel conducted in accordance with these procedures and shall not obstruct, intimidate or interfere with the port State inspectors in the performance of their duties;
- (b) provide access to any areas, decks, rooms, catch, nets or other gear or equipment, and provide any relevant information which the port State inspectors request including copies of any relevant documents.

### **Article 46 - Duties of the Executive Secretary**

1. The Executive Secretary shall without delay post on the NAFO website:

- (a) the list of designated ports [and any changes thereto](#);
- (b) the prior ~~notification request~~ periods established by each Contracting Party;
- (c) the information about the designated competent authorities in each port State Contracting Party; and,
- (d) the information about the designated competent authorities in each flag State Contracting Party.

2. The Executive Secretary shall without delay post on the secure part of the NAFO website:

- (a) copies of all PSC 1 and 2 forms transmitted by port State Contracting Parties;
- (b) copies of all inspection reports, as referred to in Annex IV.C (PSC 3 form), transmitted by port State Contracting Parties.

3. All forms related to a specific landing or transshipment shall be posted together.

**Article 47 - Serious Infringements Detected During In-Port Inspections**

1. The provisions in Articles 39 and 40 shall apply to any serious infringements listed in Article 38 detected during in-port inspections.
2. Serious infringements detected during in-port inspections shall be followed up in accordance with domestic law.

## CHAPTER VIII NON-CONTRACTING PARTY SCHEME

### Article 48 - General Provisions

1. The purpose of this Chapter is to promote compliance with non-Contracting Party vessels with recommendations established by NAFO and to prevent, deter and eliminate IUU fishing by non-Contracting Party vessels (hereinafter referred to as "NCP" vessels) that undermine the effectiveness of the Conservation and Enforcement Measures established by the Organization.

2. Nothing in this Chapter shall be construed to:

(a) affect the sovereign right of any Contracting Party to take additional measures to prevent, deter and eliminate IUU fishing by NCP vessels or, where evidence so warrants, take such action as may be appropriate, consistent with international law; or

(b) prevent a Contracting Party from allowing an NCP vessel entry into its ports for the purpose of conducting an inspection of, or taking appropriate enforcement action ~~against the vessel, which, if there is sufficient proof of IUU fishing, is at least as effective as denial of port entry in preventing, deterring and eliminating IUU fishing.~~

3. This Chapter shall be:

(a) interpreted in a manner consistent with international law, including the right of port access in case of force majeure or distress; and

(b) applied in a fair and transparent manner.

4. Each Contracting Party shall ensure that vessels entitled to fly its flag do not engage in joint fishing activities with NCP vessels referred to in Article 49, including receiving or delivering transshipments of fish to or from a NCP vessel.

### Article 49 – Presumption of IUU fishing

1. An NCP vessel is presumed to have undermined the effectiveness of the CEM, and to have engaged in IUU fishing, if it has been:

(a) sighted or identified by other means as engaged in fishing activities in the Regulatory Area;

(b) involved in transshipment with another NCP vessel sighted or identified as engaged in fishing activities inside or outside the Regulatory Area; and/or

(c) included in the IUU list of the North East Atlantic Fisheries Commission (NEAFC);

### Article 50 – Sighting and Inspection of NCP Vessels in the NRA

1. Each Contracting Party with an inspection and/or surveillance presence in the Regulatory Area authorized under the Joint Inspection and Surveillance Scheme that sights or identifies an NCP vessel engaged in fishing activities in the NRA shall:

(a) transmit immediately the information to the Executive Secretary using the format of the surveillance report set out in Annex IV.A;

(b) attempt to inform the Master that the vessel is presumed to be engaged in IUU fishing and that this information will be distributed to all Contracting Parties, relevant Regional Fisheries Management Organizations (RFMOs) and the flag State of the vessel;

(c) if appropriate, request permission from the Master to board the vessel for inspection; and

(d) where the Master agrees to inspection:

(i) transmit the inspector's findings to the Executive Secretary without delay, using the inspection report form set out in Annex IV.B; and

(ii) provide a copy to the inspection report to the Master.

### ***Duties of the Executive Secretary***

2. The Executive Secretary, within one business day, posts the information received pursuant to this Article to the secure part of the NAFO website and distributes it to all Contracting Parties, other relevant RFMOs, and to the flag State of the vessel as soon as possible.

## **Article 51 – Port Entry and Inspection of NCP vessels**

### ***Duties of the Master of a NCP vessel***

1. Each Master of a NCP vessel shall ~~notify~~ [request permission to enter port from](#) the competent authority of the port State Contracting Party ~~of its intention to call into a port~~ in accordance with the provisions of Article 45.

### ***Duties of the Port State Contracting Party***

2. Each port State Contracting Party shall:

(a) forward without delay to the flag State of the vessel and to the Executive Secretary the information it has received pursuant to Article 45;

(b) refuse port entry to any NCP vessel where:

(i) the Master has not fulfilled the requirements set out in Article 45 paragraph 1; or

(ii) the flag State has not confirmed the vessel's fishing activities in accordance with Article 44 paragraph 2;

(c) inform the Master [or agent](#), the flag State of that vessel, and the Executive Secretary of its decision to refuse port entry, landing ~~or~~, transshipment [or other use of port](#) of any NCP vessel;

[\(d\) withdraw denial of port entry only if the port State has determined there is sufficient proof that the grounds on which entry was denied were inadequate or erroneous or that such grounds no longer apply.](#)

[\(e\) inform the Master or agent the flag State of that vessel, and \(d\) the Executive Secretary of its decision to withdraw denial of port entry, landing, transshipment or other use of port of any NCP vessel;](#)

[\(f\) where it permits entry, ensure the vessel is inspected by duly authorized officials knowledgeable in the CEM and that the inspection is carried out in accordance with Article 43 paragraphs 11 – 18 : and](#)

[includes an examination of log books, fishing gear, catch on board, and any other matter relating to the \(i\) vessel's activities in the Regulatory Area; and](#)

[is documented in the format set out in Annex IV.C; and \(ii\)](#)

~~(e)(g)~~ send a copy of the inspection report and details of any subsequent action it has taken to the Executive Secretary without delay.

3. Each [port State](#) Contracting Party shall ensure that no NCP vessel engages in landing, or transshipment operations [or other use of port](#) in its ports unless the vessel has been inspected by its duly authorized officials knowledgeable in the CEM and the Master establishes that the fish species on board subject to the NAFO Convention were harvested outside the Regulatory Area or in compliance [with](#) the CEM. ~~***Duties of the Executive Secretary***~~

### ***Duties of the Executive Secretary***

4. ~~In~~ The Executive Secretary [shall](#) without delay ~~posts~~ [post](#) the information received pursuant to this Article to the secure part of the NAFO website, and distributes it to all Contracting Parties, relevant RFMOs, ~~and~~ the flag State of the vessel [and the state of which the vessel's master is a national if known.](#)

## **Article 52 - Provisional IUU Vessel List**

1. In addition to information submitted from Contracting Parties in accordance with Articles 49 and 51, each Contracting Party may, without delay, transmit to the Executive Secretary any information that may assist in identification of any NCP vessel that might be carrying out IUU fishing in the Regulatory Area.

2. If a Contracting Party objects to a NEAFC IUU-listed vessel being incorporated into or deleted from the NAFO IUU Vessel List in accordance with Article 53, such vessel shall be placed on the Provisional IUU Vessel List.

### ***Duties of the Executive Secretary***

3. The Executive Secretary:

(a) establishes and maintains a list of NCP vessels presumed to have engaged in IUU fishing in the Regulatory Area referred to as the Provisional IUU Vessel List;

(b) upon receipt, records the information received pursuant to paragraph 1, including, if available, the name of the vessel, its flag State, call sign and registration number, and any other identifying features, in the Provisional IUU Vessel List;

(c) posts the Provisional IUU Vessel List and all updates to the secure part of the NAFO website; and

(d) advises the flag State of the NCP vessel listing, including:

the reasons and supporting evidence; (i)

a copy of the CEM and a link to its place on the NAFO website; (ii)

(e) requests that the flag State of the NCP vessel:

take all measures to ensure that the vessel immediately ceases all fishing activities that undermine the (i)effectiveness of the CEM;

report within 30 days from the date of the request on the measures it has taken with respect to the (ii)vessel concerned; and

state any objections it may have to including the vessel in the IUU Vessel List; (iii)

(f) transmits to the flag State of the NCP vessel any additional information received pursuant to Articles 49-51 in respect of vessels [flying entitled to fly](#) their flag that have already been included in the Provisional IUU Vessel List;

(g) distributes any information received from the flag State to all Contracting Parties;

(h) advises the flag State of the NCP vessel of the dates STACTIC and the General Council will consider listing the vessel in the IUU Vessel List, and invites the flag State to attend the meeting as an observer where it will be given the opportunity to respond to the report submitted in accordance with paragraph 3(e)(ii);

(i) transfers the vessel from the Provisional IUU Vessel List to the IUU Vessel List in accordance with Article 53 if the flag State does not object; and

(j) places all vessels included in the NEAFC IUU List on the IUU Vessel List unless a Contracting Party objects to such inclusion, in which case it places the vessel on the Provisional IUU Vessel List. Article 53 shall not apply to vessels placed on the Provisional IUU Vessel List in accordance with this paragraph.

### **Article 53 - IUU Vessel List**

#### ***Listing a Vessel on the IUU Vessel List***

1. STACTIC recommends to the Fisheries Commission whether each vessel listed in the Provisional IUU Vessel List should be:

(a) deleted from the Provisional IUU Vessel List;

(b) retained in the Provisional IUU Vessel List, pending receipt of further information from the flag State, or

(c) transferred to the IUU Vessel List only upon expiration of the period referred to in Article 52.3(e)(ii).

#### ***Deleting a Vessel from the IUU Vessel List***

2. STACTIC may advise that the Fisheries Commission recommend that General Council delete a vessel from either the Provisional IUU Vessel List or the IUU Vessel List where it is satisfied that the flag State of a vessel concerned has provided sufficient evidence to establish that:

- (a) it has taken effective action to address the IUU fishing of such vessel, including prosecution and imposition of sanctions of adequate severity;
- (b) it has taken measures to prevent such vessel from engaging in further IUU fishing under its flag;
- (c) such vessel has changed ownership, and the previous owner no longer has any legal, financial or real interest in such vessel, or exercises no (i) control over it; or the new owner has no legal, financial or real interest in, nor exercises control over, another vessel listed (ii) in the IUU Vessel List or any similar IUU list maintained by an RFMO, and has not otherwise been engaged in IUU activities;
- (d) such vessel did not take part in IUU fishing; or,
- (e) such vessel has sunk, been scrapped, or been permanently reassigned for purposes other than fishing activities.

3. The Fisheries Commission may recommend to the General Council any changes to listings in the IUU Vessel List. The General Council determines the final composition of the IUU Vessel List.

### ***Duties of the Executive Secretary***

4. The Executive Secretary:

- (a) posts the IUU Vessel List on the NAFO website, including the name and flag State and, if available, the call sign, hull number, IMO number, previous name(s) and flag(s) or any other identifying features for each vessel;
- (b) notifies the flag State of the name of each vessel entitled to fly its flag listed in the IUU Vessel List;
- (c) transmits the IUU Vessel List and any relevant information, including the reasons for listing or de-listing each vessel, to other RFMOs, including, in particular, the NEAFC, the South East Atlantic Fisheries Organisation (SEAFO), and the Commission for the Conservation of Antarctic Marine Living Resources (CCAMLR);
- (d) transmits the amendments to the NEAFC IUU list, upon receipt, to all Contracting Parties and amends the IUU Vessel List consistent with amendments to the NEAFC IUU List, within 30 days of such transmittal; unless within the 30 days the Executive Secretary receives from a Contracting Party a written submission establishing that:
  - any of the requirements in paragraph 2(a)-(d) of this Article have been met with regard to a vessel (i) placed on the NEAFC IUU List; or
  - none of the requirements in paragraph 2(a)-(d) of this Article have been met with regard to a vessel (ii) taken off the NEAFC IUU List; and
- (e) advises STACTIC of any action taken pursuant to this Article.

### **Article 54 - Action against vessels listed in the IUU Vessel List**

Each Contracting Parties shall take all measures necessary to deter, prevent, and eliminate IUU fishing, in relation to any vessel listed in the IUU Vessel List, including:

- (a) prohibiting any vessel entitled to fly its flag, from, except in the case of force majeure, participating in fishing activities with such vessel, including but not limited to joint fishing operations;
- (b) prohibiting the supply of provisions, fuel or other services to such vessel, both at sea and in port;
- (c) prohibiting entry into its ports of such vessel, and if the vessel is in port, prohibiting use of port, except in the case of force majeure; distress, for the purposes of inspection, or for taking appropriate enforcement action;
- (d) prohibiting change of crew, except as required in relation to force majeure;
- (e) refusing to authorize such vessel to fish in waters under its national jurisdiction;
- (f) prohibiting chartering of such vessel;
- (g) refusing to entitle such vessels to fly its flag;

- (h) prohibiting landing and importation of fish from onboard or traceable to such vessel;
- (i) encouraging importers, transporters and other sectors concerned, to refrain from negotiating transshipment of fish with such vessels; and
- (j) collecting and exchanging any appropriate information regarding such vessel with the other Contracting Parties, non-Contracting Parties and RFMOs with the aim of detecting, deterring and preventing the use of false import or export certificates in relation to fish or fish product from such vessels.

### **Article 55 - Action Against Flag States**

1. Contracting Parties shall jointly and/or individually request the cooperation of the flag State of each NCP vessel listed in the IUU Vessel List with a view to prevent, deter and eliminate future IUU activities by such vessel.
2. The Fisheries Commission shall review annually the actions taken by the flag States referred to in paragraph 1 with a view to identifying for follow-up action any that has not taken action sufficient to prevent deter and eliminate IUU activities by any vessel entitled to fly its flag listed in the IUU Vessel List.
3. Each Contracting Parties should, to the extent possible and consistent with its international obligations and in accordance with applicable legislation, restrict the export and transfer of any fishing vessel entitled to fly its flag to any State identified pursuant to paragraph 2.

Annex II.L  
Port State Control Prior Notification Request Forms

## A-PSC-1

PORT STATE CONTROL FORM – PSC 1									
PART A: To be completed by the Master of the Vessel. Please use black ink									
<b>Name of Vessel:</b>	<b>IMO Number:<sup>1</sup></b>	<b>Radio Call Sign:</b>		<b>Flag State:</b>					
<b>Email Address:</b>	<b>Telephone Number:</b>	<b>Fax Number:</b>		<b>Inmarsat Number:</b>					
<u>Port of Landing or Transhipments:</u>  <u>Estimated time of Arrival</u> _____ <u>Date</u> _____ <u>Time UTC</u> _____									
<u>Vessel master's name</u>		<u>Vessel master's nationality</u>		<u>Vessel owner</u>		<u>Certificate of Registry ID</u>			
<u>Vessel dimensions</u>		<u>Length (m):</u>		<u>Beam (m):</u>		<u>Draft (m):</u>			
<u>Port State:</u>				<u>Port of Landing or Transhipment :</u>					
<u>Last port of call:</u>				<u>Date:</u>					
<b>Estimated Time of Arrival:</b>		<b>Date:</b>		<b>Time UTC:</b>					
<b>Frozen products only</b>		<b>Fresh products only</b>		<b>Fresh and frozen products</b>					
Total catch on board – all areas						Catch to be landed <sup>2</sup>			
Species <sup>3</sup>	Product <sup>4</sup>	Area of catch			Conversion factor	Product weight (kg)	Product weight (kg)		
		NEAFC CA (ICES subareas and divisions)	NAFO RA (Sub Division)	Other areas					
PART B: For official use only – to be completed by the Flag State									
The Flag State of the vessel must respond to the following questions by marking in the box "Yes" or "No"						NEAFC CA		NAFO RA	
						Yes	No	Yes	No





a) The fishing vessel declared to have caught the fish had sufficient quota for the species declared							
b) The quantities on board have been duly reported and taken into account for the calculation of any catch or effort limitations that may be applicable							
c) The fishing vessel declared to have caught the fish had authorisation to fish in the area declared							
d) The presence of the fishing vessel in the area of catch declared has been verified according to VMS data							
<b>Flag State confirmation: I confirm that the above information is complete, true and correct to the best of my knowledge and belief.</b>							
<b>Name and Title:</b>						<b>Date:</b>	
<b>Signature:</b>				<b>Official Stamp:</b>			
<b>PART C: For official use only – to be completed by the Port State</b>							
<b>Name of Port State:</b>							
<b>Authorisation:</b>		<b>Yes:</b>		<b>No:</b>		<b>Date:</b>	
<b>Signature:</b>				<b>Official Stamp:</b>			
1. Fishing vessels not assigned an IMO number shall provide their external registration number							
2. If necessary an additional form or forms shall be used							
3. FAO Species Codes – NEAFC Annex V - NAFO Annex I.C							
4. Product presentations – NEAFC Appendix I to Annex IV – NAFO Annex II.K							

B-PSC- 2

PORT STATE CONTROL FORM – PSC 2			
<b>PART A: To be completed by the Master of the Vessel. A separate form shall be completed for each donor vessel. Please use black ink</b>			
Name of Vessel:	IMO Number: <sup>1</sup>	Radio Call Sign:	Flag State:
Email Address:	Telephone Number:	Fax Number:	Inmarsat Number:
<u>Vessel master's name</u>	<u>Vessel _____ master's nationality</u>	<u>Vessel owner</u>	<u>Certificate of Registry ID</u>
<u>Vessel dimensions</u>	<u>Length (m):</u>	<u>Beam (m):</u>	<u>Draft (m):</u>
<u>Port State:</u>	<u>Port of Landing or Transshipment :</u>		
<u>Last port of call:</u>	<u>Date:</u>		
<b><u>Port of Landing or Transshipment:</u></b>			
<b><u>Date and location of transhipment</u></b>		<b><u>Transhipment authorisation if relevant</u></b>	

Estimated Time of Arrival:	Date:	Time UTC:
<b><u>Frozen products only</u></b>	<b><u>Fresh products only</u></b>	<b><u>Fresh and frozen products</u></b>

Catch Information for Donor Vessels *A separate form shall be completed for each Donor Vessel*						
Name of Vessel		IMO Number <sup>1</sup>		Radio Call Sign		Flag State
		Total catch on board – all areas			Catch to be landed <sup>2</sup>	
Species <sup>3</sup>	Product <sup>4</sup>	Area of catch		Conversion factor	Product weight (kg)	Product weight (kg)
		NEAFC CA (ICES subareas and divisions)	NAFO RA (Sub Division)	Other areas		

**PART B: For official use only - to be completed by the Flag State**

The Flag State of the vessel must respond to the following questions by marking in the box "Yes" or "No"

	NEAFC CA	NAFO RA
--	-------------	------------



	Yes	No	Yes	No
a) The fishing vessel declared to have caught the fish had sufficient quota for the species declared				
b) The quantities on board have been duly reported and taken into account for the calculation of any catch or effort limitations that may be applicable				
c) The fishing vessel declared to have caught the fish had authorisation to fish in the area declared				
d) The presence of the fishing vessel in the area of catch declared has been verified according to VMS data				
<b>Flag State confirmation: <i>I confirm that the above information is complete, true and correct to the best of my knowledge and belief.</i></b>				
<b>Name and Title:</b>		<b>Date:</b>		
<b>Signature:</b>		<b>Official Stamp:</b>		
<b>PART C: For official use only - to be completed by the Port State</b>				
<b>Name of Port State:</b>				
<b>Authorisation:</b>	<b>Yes:</b>	<b>No:</b>	<b>Date:</b>	
<b>Signature:</b>	<b>Official Stamp:</b>			
1. Fishing vessels not assigned an IMO number shall provide their external registration number				
2. If necessary an additional form or forms shall be used				
3. FAO Species Codes – NEAFC Annex V - NAFO Annex II		4. Product presentations – NEAFC Appendix 1 to Annex IV – NAFO Annex XX (C)		

## Annex IV c



Report on Port State Control inspection (PSC 3)  
(Please use black ink)**Inspection report number****A. INSPECTION REFERENCE.**

Landing	Yes	No	Transhipment	Yes	No	<u>Other reason for port entry</u>
Port State			Port of landing or transhipment			
Vessel name		Flag State		IMO Number <sup>1</sup>		International Radio call sign
Landing/transhipment started		Date		Time		
Landing/transhipment ended		Date		Time		
<u>Vessel Type</u>		<u>Certificate of Registry ID</u>		<u>Port of registry</u>		<u>VMS</u>
<u>Vessel master's name</u>		<u>Vessel master's nationality</u>		<u>Fishing master's name</u>		<u>Fishing master's nationality</u>
<u>Vessel's owner/operator</u>		<u>Vessel's beneficial owner<sup>2</sup></u>		<u>Vessel's agent</u>		
<u>Last port of call:</u>				<u>Date:</u>		
<b>B. INSPECTION DETAILS</b>						
Name of donor vessel <sup>3</sup>		IMO Number <sup>1</sup>		Radio call sign		Flag State

<sup>1</sup> Fishing vessels not assigned an IMO number shall provide their external registration number

<sup>2</sup> [If known and if different from vessel's owner](#)

<sup>3</sup> In case where a vessel has engaged in transhipment operations, a separate form shall be used for each donor vessel.



<b>B 1. CATCH RECORDED IN THE LOGBOOK</b>			
Species <sup>4</sup>	Area of catch	Declared live weight kg	Conversion factor used

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<sup>4</sup> FAO Species Codes – NEAFC Annex V - NAFO Annex I.C



<b>B 2. FISH LANDED OR TRANSHIPPED*</b>												
* In case where a vessel has engaged in transhipment operations a separate form shall be used for each donor vessel.												
Species <sup>5</sup>	Product <sup>6</sup>	Area of catch	Product weight landed in kg	Con-version factor	Equivalent live weight kg	Diff between weight declared in the logbook and the live weight landed	(kg) live weight	Diff between weight declared in the logbook and the live weight landed	(%) live weight	Diff between Product weight landed and PSC 1/2	(kg) and PSC 1/2	Diff between Product weight landed and PSC 1/2
<b><u>RELEVANT TRANSHIPMENT AUTHORISATION:</u></b>												
<b>B 3. INFORMATION ABOUT LANDINGS AUTHORISED WITHOUT CONFIRMATION FROM THE FLAG STATE</b>												
Ref. NEAFC art. 23.2 / NAFO art. 45.6												
Name of Storage:												
Name of Competent Authorities:												
Deadline for receiving Confirmation												

<sup>5</sup> FAO Species Codes – NEAFC Annex V - NAFO Annex I.C

<sup>6</sup> Product presentations – NEAFC Appendix 1 to Annex IV – NAFO Annex II.K



<b>B 4. FISH RETAINED ON BOARD</b>										
Species <sup>7</sup>	Product <sup>8</sup>	Area of catch	Product weight in kg	Conversion factor	Live weight kg	Diff. (kg) between product weight on board and PSC 1/2	Diff. (%) between product weight on board and PSC ½			
<b>C. RESULTS OF INSPECTION</b>										
<b>C1. GENERAL</b>										
Inspection started		<b>Date</b>				<b>Time</b>				
Inspection ended		<b>Date</b>				<b>Time</b>				
<b><u>Status in other RFMO areas where fishing activities have been undertaken, including any IUU vessel listing</u></b>										
	<b><u>RFMO</u></b>	<b><u>Vessel identifier</u></b>	<b><u>Flag State status</u></b>	<b><u>Vessel on authorised vessel list</u></b>	<b><u>Vessel on IUU vessel list</u></b>					
<b><u>Observation</u></b>										
<b>C2. GEAR INSPECTION IN PORT (In accordance with Annex IV.H)</b>										
<b>A. General data</b>										
Number of gear inspected						<b>Date gear inspection</b>				
Has the vessel been cited ?	Yes	No				If yes, complete the full "verification of inspection in port form. If no, complete the form with the exception of the NAFO seal details				
<b>B. Otter Trawl details</b>										
NAFO Seal number						<b>Is seal undamaged ?</b>	Yes	No		
<b>Gear type</b>										
Attachments										
Grate Bar Spacing mm.										
Mesh type										
Average mesh sizes (mm)										

<sup>7</sup> FAO Species Codes – NEAFC Annex V - NAFO Annex II

<sup>8</sup> Product presentations – NEAFC Appendix 1 to Annex IV – NAFO Annex II.K

Trawl part Wings Body Lengthening Piece Codend
<b>D. OBSERVATIONS BY THE MASTER</b>
I, .....the undersigned, Master of the vessel .....hereby confirm that a copy of this report have been delivered to me on this date. My signature does not constitute acceptance of any part of the contents of this report, except my own observations, if any.  Signature: _____ Date : _____

<b>E. INFRINGEMENTS AND FOLLOW-UP</b>			
<b>E1. NAFO</b>			
<b>E.1 A Sea Inspection</b>			
<b>Infringements resulting from Inspections inside NAFO R.A.</b>			
Inspection Party	Date of insp.	Division	NAFO CEM infringement legal reference
<b>E1 B Port Inspection Infringements results</b>			
<b>( a ) - Confirmation of Infringements found at sea inspection</b>			
NAFO CEM infringement legal reference		National Infringement legal reference	
<b>( b ) - Infringements found at sea inspection and not possible to be confirmed during the Port Inspection.</b>			





Comments :		
<b>( c ) - Additional infringements found during the Port Inspection</b>		
NAFO CEM infringement legal reference	National Infringement legal reference	
<b>E2. NEAFC</b>		
<b>INFRIGEMENT NOTED</b>		
Article	NEAFC provision(s) violated and summary of pertinent facts	
<b>Inspector's observations:</b>		
<b>Action taken</b>		
<b>Inspecting authority / agency</b>		
<b>Inspectors Name</b>	<b>Inspectors signature</b>	<b>Date and place</b>
<b>F. DISTRIBUTION</b>		
Copy to flag State	Copy to NEAFC Secretary	Copy to NAFO Executive Secretary



## ANNEX IV.H

### Port State inspection procedures

Inspectors shall:

- a) verify, to the extent possible, that the vessel identification documentation on board and information relating to the owner of the vessel is true, complete and correct, including through appropriate contacts with the flag State or international records of vessels if necessary;
- b) verify that the vessel's flag and markings (e.g. name, external registration number, International Maritime Organization (IMO) ship identification number, international radio call sign and other markings, main dimensions) are consistent with information contained in the documentation;
- c) verify, to the extent possible, that the authorizations for fishing activities are true, complete, correct and consistent with the information provided in accordance with the CEM provisions including but not limited to Articles 25, 44, 45 and 51;
- d) review all other relevant documentation and records held onboard, including, to the extent possible, those in electronic format and vessel monitoring system (VMS) data from the flag State or RFMOs. Relevant documentation may include logbooks, catch, transshipment and trade documents, crew lists, stowage plans and drawings, descriptions of fish holds, and documents required pursuant to the Convention on International Trade in Endangered Species of Wild Fauna and Flora;
- e) examine, to the extent possible, all relevant fishing gear onboard, including any gear stowed out of sight as well as related devices, and to the extent possible, verify that they are in conformity with the conditions of the authorizations. The fishing gear shall, to the extent possible, also be checked to ensure that features such as the mesh and twine size, devices and attachments, dimensions and configuration of nets, pots, dredges, hook sizes and numbers are in conformity with applicable regulations and that the markings correspond to those authorized for the vessel;
- f) determine, to the extent possible, whether the fish on board was harvested in accordance with the applicable authorizations;
- g) examine the fish, including by sampling, to determine its quantity and composition. In doing so, inspectors may open containers where the fish has been pre-packed and move the catch or containers to ascertain the integrity of fish holds. Such examination may include inspections of product type and determination of nominal weight;
- h) evaluate whether there is clear evidence for believing that an NCP vessel has engaged in IUU fishing;
- i) provide the master of the vessel with the report containing the result of the inspection, including possible measures that could be taken, to be signed by the inspector and the master. The master's signature on the report shall serve only as acknowledgment of the receipt of a copy of the report. The master shall be given the opportunity to add any comments or objection to the report, and, as appropriate, to contact the relevant authorities of the flag State in particular where the master has serious difficulties in understanding the content of the report. A copy of the report shall be provided to the master; and
- j) [arrange, where necessary and possible, for translation of relevant documentation.]