SECTION II

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PART I

Report of the General Council Meeting

(GC Doc. 06/6)

28th Annual Meeting, September 18-22, 2006 Dartmouth, Nova Scotia, Canada

I. Opening of the Meeting (Agenda items 1-7)

1. Opening by the Chair

The Meeting was opened by the Chair of the General Council, David Bevan (Canada). (Annex 1) The Representatives of eleven Contracting Parties were present: Canada, Cuba, Denmark (in respect of Faroe Islands and Greenland), the European Union, France (in respect of St. Pierre et Miquelon), Iceland, Japan, Republic of Korea, Norway, Russia, Ukraine and the United States of America (Annex 2). The Heads of Delegations from the European Union, Canada, USA, Denmark (in respect of Faroe Islands and Greenland), France (in respect of St. Pierre et Miquelon), Republic of Korea, Russia, Japan, Ukraine, and Iceland addressed the General Council with their opening statements (Annexes 3-11).

2. Appointment of Rapporteur

The Executive Secretary of NAFO, Johanne Fischer, was appointed as Rapporteur whose duties included maintaining a record of decisions agreed upon by the General Council (Annex 12).

3. Adoption of Agenda

The adopted agenda is attached in Annex 13.

4. Admission of Observers

The Executive Secretary reported that invitations had been transmitted to the Food and Agriculture Organization of the United Nations (FAO), International Commission for the Conservation of Atlantic Tunas (ICCAT), International Council for the Exploration of the Sea (ICES), North Atlantic Marine Mammal Commission (NAMMCO), North Atlantic Salmon Conservation Organization (NASCO), North East Atlantic Fisheries Commission (NEAFC) and North Pacific Anadromous Fish Commission (NPAFC). In response to these invitations, FAO was represented by Mr. Hiromoto Watanabe (Fishery Liaison Officer, Fishery Policy and Planning Division) and NAMMCO by Ms. Kate Sanderson (Denmark in respect of Faroe Islands and Greenland). A statement to the General Council by the FAO observer is attached (Annex 14).

An application for observer status from the World Wildlife Fund (WWF) was received by the Executive Secretary which was granted pursuant to Rule 9 of the Rules of Procedure. Ms. Andrea Carew from WWF Canada gave a statement at the beginning and the end of the meeting. (Annex 15)

5. Publicity

Participants agreed that no statements should be made to the media until after the conclusion of the meeting, when the NAFO Secretariat would issue a Press Release (Annex 16). The NAFO Media Policy foresees that the opening and closing sessions of NAFO bodies are public as well as additional sessions designated by participants at the meeting. For this meeting, no additional session was declared to be open for media attendance. Journalists from a TV station in St. Pierre et Miquelon were accredited to this meeting and were present for the reception hosted by France in respect of St. Pierre et Miquelon in celebration of their 10th anniversary at NAFO.

6. Guidance to STACFAD necessary for them to complete their work

STACFAD was asked to proceed with their deliberations as outlined in their agenda.

7. Guidance to STACFAC necessary for them to complete their work

STACFAC was asked to include the elaboration of a NAFO IUU List as its agenda item 6b).

II. New Initiatives (Agenda item 8)

8. Reform of NAFO

The Chair of the Working Group on Reform summarized the results of the two meetings of this group in April (GC Doc 06/1) and in September (GC Doc 06/3). He concluded that the group had come very close to an overall agreement on an amended Convention text and that only a few matters were still outstanding as outlined in the September report of the meeting.

The WG on Reform had proposed that STACFAC should be incorporated into STACTIC and both committees agreed. General Council decided to approve this merger. It was noted that this decision entailed a change of the Rules of Procedure and a proposal in this respect put forward by the Working Group on Reform was adopted (GC WP 06/3). It was noted that this merger also required adaptations of the Conservation and Enforcement Measures. The Executive Secretary was asked to update these Rules and Measures accordingly. Also, General Council decided that for the time being, the new STACTIC should report to a joint meeting of General Council and Fisheries Commission.

The outstanding issues identified by the Working Group on Reform were addressed by the General Council (with the understanding that all amendments of the Convention constitute a package and that nothing is formally agreed until all elements have been agreed upon):

- a) Regarding some details of a **decision making process** for the new Commission that the Working Group on Reform could not fully resolve, discussions and consultations during the Annual Meeting concluded that GC Working Paper 06/6 (Annex 17) could constitute an agreeable text of the amended Convention.
- b) Contracting Parties discussed a possible change of formula for **budget contributions** but long discussions and consultations did not result in an agreement during the meeting. Canada was asked to draft a new proposal, based on guiding principles, in close cooperation with other Contracting Parties that could be used as a basis for future discussions.
- c) General Council concluded a new text for Article VI, Paragraph 7 (GC WP 06/7) (Annex 18) of the amended Convention (as drafted by the Chair of the Working Group on Reform) could constitute an agreeable text of an amended Convention. This new text provides that the Commission will take into account the interests of the relevant Coastal States when deciding on **allocation of catches**.
- d) General Council could not agree to **re-define the boundaries of NAFO Subareas 3M and 3L** as proposed by Scientific Council.
- e) General Council could not fully resolve the question whether the term "entity" should be used in the amended Convention when defining "Contracting Party" and "Flag State". Russia had voiced concerns and requires internal consultations to come to a final conclusion with regard to this matter.

Delegates then agreed that an intersessional meeting of General Council would be necessary to find a new formula for calculating budget contributions, to discuss some concerns of the Scientific Council (SC Working Paper 06/35), to decide whether or not to include the term "entity" in the new Convention, and to agree on any other Convention-related issues that might still be identified. After such a meeting it is foreseen that technical editing of the amended Convention text will ensure that a final draft can be presented to the next Annual Meeting in 2007 for adoption.

General Council also discussed in conjunction with Fisheries Commission a number of reform-related matters regarding the future NAFO Monitoring, Control and Surveillance scheme. Decisions taken for such matters are reported in the Fisheries Commission proceedings.

III. Supervision and Coordination of the Organizational, Administrative and other Internal Affairs (Agenda items 9-13)

9. Review of Membership

The membership of the General Council and Scientific Council is currently thirteen (13) Contracting Parties, and Fisheries Commission has twelve (12) members.

Notification of Bulgaria's withdrawal from the Convention (effective 31 December 2006) was circulated by the Depositary (Canada) to the Diplomatic Missions concerned and the NAFO Secretariat on 28 June 2006 (Circulation Note No. JLAB-0040). A letter was then circulated by the Executive Secretary to all Contracting Parties on 12 July 2006 (GFS/06-291).

Regarding the right of casting votes and presenting objections (Convention, Article XVI.9) the Executive Secretary stated that two Contracting Parties had not or only partially paid their contributions in 2005 and 2006 and were therefore in danger of losing their rights in 2007 if sufficient payment is not received by December 2006. General Council clarified at this meeting that partial payments will be treated as non-payment for the purpose of voting rights. Therefore, a Contracting Party that has not paid fully for two consecutive years loses its voting rights. This decision will not affect the voting rights of present Contracting Parties as the two consecutive years are not over yet.

10. Administrative Report

Discussion of the Administrative Report was referred to STACFAD. At the closing session, on the advice of the Chair of STACFAD, the Report (GC Doc. 06/2, Revised) was adopted by the General Council.

11. Progress Report of the Secretariat

The Executive Secretary presented a status report of the Secretariat (GC WP 06/4). She stated that in comparison with the early 2000s, the services rendered by the Secretariat were increased and that modern communication and information technologies were implemented. This development went hand in hand with a new structure of the Secretariat including new job descriptions and a human resources strategy based on transparency, team work, and enhanced participation. She showed that in spite of the expansion of services and the introduction of a VMS in 2001, it had been possible to reduce the overall NAFO expenses since 2006.

12. Review and adoption of the new contract for the Executive Secretary

The new contract of the Executive Secretary was reviewed and adopted.

13. Any matters regarding Staff Rules

This item was deferred to STACFAD.

IV. Coordination of External Affairs (Agenda items 14-15)

14. Report of the Executive Secretary on Meetings of FIRMS and CWP

The Executive Secretary briefly reported on the meetings of CWP and FIRMS in 2006 (GC WP 06/2).

15. a) United Nations General Assembly

General Council supported the recommendations of the UN Review Conference in May 2006 and the resolution of UNGA 59/25 on Sustainable Fisheries and expressed its intention to incorporate these UN recommendations in the MCS scheme of NAFO. This is reflected in the updated MCS regulations as documented in the Fisheries Commission report.

b) NAMMCO

Under this agenda item General Council noted that NAFO has for several years had formal observer relations with the North Atlantic Marine Mammal Commission (NAMMCO), which includes the regular participation of representatives from both organizations at their respective annual meetings and the exchange of information on relevant activities. With reference to Fisheries Commission discussions related to ecosystem considerations, the General Council agreed to encourage the Scientific Council to explore formal working relations with the NAMMCO Scientific Committee in addressing requests for advice and information on issues related to the role of marine mammals in the ecosystem.

V. Fishing Activities in the Regulatory Area Adverse to the Objectives of the NAFO Convention (Agenda items 16-17)

16. Consideration of NCP Activity in the NRA and agreement on the task of STACFAC at the current meeting

This item was addressed under agenda item 7.

17. Report of STACFAC at the Annual Meeting and decision on actions

STACFAC Chair Gene Martin (USA) presented the recommendations of this Committee to the General Council:

- a) the five vessels listed in the Provisional List be moved to the IUU List as presented in Annex 4 of STACFAC report;
- b) a letter (annex 6 of STACFAC Report) signed by the President of NAFO be sent to Georgia to inform the authorities of the inclusion of the five vessels in the IUU List;
- c) the General Council review and adopt the proposed amendments to 46.3, 47.5 and, if necessary, 48 a) of the CEM (listed under agenda item 6bis of STACFAC report);
- d) the General Council review and adopt the proposed amendments of the CEM as listed in Annex 5 of STACFAC report;
- e) amendments to the Rules of Procedures and the CEM be adopted to reflect the merging of STACFAC and STACTIC;
- f) the General Council review and approve the recommendations made to the Secretariat as listed under agenda item 6bis of STACFAC Report;
- g) the Secretariat report to the next STACTIC meeting the feasibility of obtaining access to the Lloyds Registry and the advantages of such access;
- h) the General Council review and adopt the proposed amendments of the CEM as listed in Annex 9 of STACFAC report, provided bracketed text is resolved.

All recommendations were adopted, some with minor revisions. Regarding Annex 9 to the STACFAC report, General Council agreed to accept the text including the bracketed text and, in the case of two brackets, the bolded text.

VI. Finance (Agenda items 18-19)

18. Report of STACFAD at the Annual Meeting and decision on actions

STACFAD Chair Fred Kingston (EU) presented the recommendations of this Committee to the General Council:

- a) STACFAD recommended that the accounting policy for uncollectible accounts be that an allowance for uncollectible accounts be recorded for contributions that are two payments in arrears.
- b) STACFAD recommended that the 2005 Auditor's Report be adopted.
- c) STACFAD recommended that Contracting Parties take immediate action to meet their financial obligations and bring financial stability to the Organization.
- d) Because Bulgaria will no longer be a Contracting Party of NAFO next year, STACFAD recommended that the outstanding contribution from Bulgaria (\$30,419) be deemed uncollectible and that this amount be applied against the accumulated surplus.
- e) In addition, STACFAD recommended that outstanding contributions from Ukraine (\$31,175) and USA (\$86,368) for the year 2005 should also be deemed uncollectible at the end of the current fiscal year if payments are not received by 31 December 2006.
- f) STACFAD was of the opinion that the current cash flow situation be considered an emergency in accordance with Rule 4.4 of the Financial Regulations. As a consequence, STACFAD recommended that an amount representing 20% of the proposed 2007 budget, namely \$291,800, be maintained as the minimum balance in the Accumulated Surplus Account. STACFAD stresses that this extraordinary recommendation be considered as an interim measure pending the resolution of the current financial situation.
- g) STACFAD also recommended that the Secretariat bill Contracting Parties in two instalments to encourage part of the contributions to be paid earlier and thus enable the Secretariat to have sufficient cash flow to operate in early 2007.
- h) STACFAD recommended amending Rule 9.5a) of the Staff Rules to read: "In the event of separation from service with the Secretariat, members of the Secretariat shall be compensated an indemnity equivalent to the rate of two (2) weeks current salary for every year of service, free of all deductions except statutory deductions, limited to a maximum of 40 weeks;" STACFAD could not come to a consensus on the issue of eliminating Staff Rule 9.5 (b) and (c) in conjunction with the Executive Secretary's proposal for a repatriation grant and deferred these items to the next Annual Meeting.
- i) STACFAD recommended that a new rule be included in the NAFO Staff Rules as follows: "The Executive Secretary may appoint a Coordinator to be the Deputy Executive Secretary for the term of one or two years (renewable). This appointment will be compensated with 10% of the Coordinator's annual salary." However, the implementation of this rule should be deferred until the financial crisis has been overcome.
- j) STACFAD recommended that Staff Rule 8.6 e) be amended as follows: "An installation allowance of up to two months net salary plus up to two months salary advance to be repaid within one year without interest in the case of internationally relocating members of the Secretariat".
- k) STACFAD recommended the reclassification of the position of "Personal Assistant to the Executive Secretary" to "Senior Personal Assistant to the Executive Secretary" take effect on 1 January 2007.
- l) STACFAD recommended that General Council commit to fully funding any necessary expenses related to a possible change of the VMS service provider pending a STACTIC evaluation of tenders.
- m) STACFAD also recommended that General Council urge Fisheries Commission to base its decision not only on technical specifications but to also ensure that financial considerations are taken into account
- n) STACFAD recommended that that costs associated with delegation rooms during NAFO Meetings should be the responsibility of the individual Contracting Party, not the Organization.
- o) STACFAD recommended that the budget for 2007 of \$ 1,459,000 be adopted.

- p) STACFAD recommended that General Council appoint the three Staff Committee nominees. (Jim Baird, Bill Brodie, Fred Kingston).
- q) STACFAD recommended that the dates of the 2008 Annual Meeting (to be held in Halifax, N.S., Canada, unless an invitation to host is extended by a Contracting Party and accepted by the Organization) are as follows:

Scientific Council - 22 September - 1 October
 General Council - 22 - 26 September
 Fisheries Commission - 22 - 26 September

The dates of the 2009 Annual Meeting (to be held in Halifax, N.S., Canada, unless an invitation to host is extended by a Contracting Party and accepted by the Organization) are as follows:

Scientific Council - 21 - 25 September
 General Council - 21 - 25 September
 Fisheries Commission - 21 - 25 September

19. Adoption of Budget and STACFAD Recommendations for 2007

The proposed budget for 2007 was accepted.

General Council adopted all STACFAD recommendations with the exception of recommendation (j) (amendment of Staff Rule 8.6e).

VII. Closing Procedure (Agenda items 20-23)

20. Time and Place of the Next Annual Meeting

The 29th Annual Meeting will be held in Lisbon, Portugal, European Union, at the following dates:

Scientific Council - 24 September – 3 October 2007

General Council - 24-28 September 2007 Fisheries Commission - 24-28 September 2007

21. Other Business

A number of Contracting Parties and observers addressed General Council with closing remarks. Canada thanked all delegates as well as the colleagues from the Reform WG last week for the excellent cooperation and concluded that. NAFO had shown strong leadership with regard to governance and the MCS scheme and that the Convention reform was well on its way. Canada thanked France for its reception in celebration of its 10th anniversary in NAFO. It was also pleased with the presence of WWF-Canada during the meeting and thanked WWF-Canada for the reception offered on Monday. Russia and EU joined Canada's remarks. Korea thanked the Secretariat and the Canadian delegates, and the Ukraine thanked all participants, Chairs, Secretariat and French reception. WWF-Canada addressed the General Council with its viewpoints on the decisions taken at this Annual Meeting, and the FAO observer said a few words expressing his appreciation for the good cooperation with NAFO.

22. Press Release

The Executive Secretary drafted a Press Release that reflects the changes by the NAFO President and interested Contracting Parties (Annex 16).

23. Adjournment

The meeting adjourned at 12:00, September 22, 2006.

Annex 1. Opening Statement by the Chair (David Bevan – Canada)

Distinguished delegates, ladies and gentlemen,

It is an honour and a pleasure for me to serve as your Chair at this year's annual meeting.

I wish to thank the Executive Secretary and the Secretariat for the excellent arrangements for this meeting.

The current NAFO Convention, ratified in 1979, was the product of an earlier era. Over the years, NAFO has faced a number of significant challenges, some of which we've successfully addressed and resolved. The consolidation of the NAFO Measures, the adoption of the Greenland Halibut Rebuilding Plan and the annual review of compliance are a few of the noteworthy examples.

At the 2005 annual meeting, NAFO agreed to a process of reform to modernize the Organization and incorporate the most recent international legal instruments such as the UN Fish Stocks Agreement, to strengthen and improve the current monitoring, control and surveillance regimes in NAFO, and to begin addressing possible solutions to address fishing overcapacity in NAFO.

As a result, two meetings of the Working Group on Reform have been held this year. In addition, STACTIC met in June to discuss proposed improvements on monitoring, control and surveillance issues. I expect that NAFO reform will be our priority and focus at this meeting.

As all regional fisheries management organizations, NAFO will need to strengthen its management and governance structure to manage the ocean resources of the NAFO Regulatory Area in a sustainable and profitable way. NAFO members will need to continue their close cooperation and collaboration to achieve our shared objectives of stock recovery.

I am hopeful that NAFO will continue the momentum towards reform that has build over the last year. I am confident that NAFO will respond to and meet these significant challenges.

Thank you.

Annex 2. List of Participants

CANADA

Head of Delegation

David Bevan, Assistant Deputy Minister, Fisheries and Aquaculture Management, Fisheries and Oceans Canada, 200 Kent Street, Ottawa, Ontario K1A 0E6

Phone: +613 990 9864 - Fax: +613 990 9557 - bevand@dfo-mpo.gc.ca

Alternate (General Council)

Guy Beaupré, Director General, International Affairs Directorate, Fisheries and Oceans Canada, 200 Kent Street, Ottawa, Ontario K1A 0E6

Phone: +613 993 1873 – Fax: +613 993 5995 – E-mail: beaupreg@dfo-mpo.gc.ca

Representatives

David Bevan (see address above)

Earle McCurdy, President, Fishermen, Food and Allied Workers Union/CAW, P. O. Box 10, St. John's, NL A1C 5H5

Phone: +709 576 7276 - Fax: +709 576 1962 - E-mail: emccurdy@ffaw.nfld.net

Derrick Rowe, Chairman, Marport Canada Inc., 50 Harbour Drive, St. John's, NL A1C 6J4

Phone: +709 757 5757 – Fax: +709 757 5858 – E-mail: <u>drowe@marport.com</u>

Advisers

Chris Allen, Senior Advisor, Fisheries, Environment & Biodiversity Science Directorate, Fisheries and Oceans Canada, 200 Kent Street, 12th Floor, Ottawa, Ontario K1A 0E6

Phone: +613 990 0105 - Fax: +613 954 0807 - E-mail: allenc@dfo-mpo.gc.ca

Jim Baird, Associate Regional Director General, Newfoundland and Labrador Region, Fisheries and Oceans Canada, P. O. Box 5667, St John's, Newfoundland & Labrador A1C 5X1

Phone: +709 772 2420 - Fax: +709 772 2387 - E-mail: bairdi@dfo-mpo.gc.ca

Bill Brodie, Senior Science Coordinator / Advisor, NAFO, Newfoundland and Labrador Region, Science Br., 80 East White Hills Rd., P. O. Box 5667, St. John's, Newfoundland & Labrador A1C 5X1

Phone: +709 772 3288 - Fax: +709 772 4105 - E-mail: brodieb@dfo-mpo.gc.ca

Willie Bruce, Regional Director, Fisheries Management, DFO, Newfoundland and Labrador Region, P. O. Box 5667, St. John's, NL A1C 5X1

Phone: +709 772 4543 – Fax: +709 772 2046 – E-mail: brucew@dfo-mpo.gc.ca

Russ Carrigan, Fishery Products International, 70 O'Leary Ave., P. O. Box 550, St. John's, NL A1C 5L1

Phone: +709 570 0130 - Fax: +709 570 0479 - E-mail: rcarrigan@fpil.com

Bruce Chapman, Executive Director, Groundfish Enterprise Allocation Council, 1376 Revell Drive, Manotick, Ontario K4M 1K8

Phone: +613 692 8249 - Fax: +613 692 8250 - E-mail: bchapman@sympatico.ca

G. Michael Cherry, Fisheries and Oceans Canada, Maritime Region, 176 Portland St., Marine House, P. O. Box 1035, Dartmouth, N.S. B2Y 4T3

Phone: +902 429 2392 – Fax: +902 426 8003 – E-mail: <u>cherrym@mar.dfo-mpo.gc.ca</u>

Eugene Colbourne, Science Br., DFO, Newfoundland & Labrador Region, P. O. Box 5667, St. John's, NL A1C 5X1 Phone: +709 772 6106 - Fax: +709 772 4105 - E-mail: colbourn@dfo-mpo.gc.ca

Robert Day, International Coordination and Policy Analysis, Fisheries and Oceans Canada, 200 Kent St., Ottawa, Ontario K1A 0E6

Phone: +613 991 6135 – E-mail: <u>dayr@dfo-mpo.gc.ca</u>

Amos Donohue, Senior Counsel, Public International Law and Activities Section, Department of Justice, 284 Wellington Street, Office 3307, Ottawa, Ontario K1A 0H8

Phone: + 1 613 952 3724 - Fax: +1 613 941 1971 - E-mail: adonohue@justice.gc.ca

Tom Dooley, Director, Resource Policy, Dept. of Fisheries and Aquaculture, P. O. Box 8700, St. John's, NL A1B 4J6 Phone: +709 729 0335 – Fax: +709 729 1117 – E-mail: tdooley@gov.nl.ca

Bob Fagan, Communications Officer, Northwest Atlantic Fisheries Center, 1 East White Hills Road, P. O. Box 5667, St. John's, NL A1C 5X1

Phone: +709 772 7627 - Fax: +709 772 4880 - E-mail: faganr@dfo-mpo.gc.ca

Wayne Follett, Regional Director General, Newfoundland Region, Fisheries and Oceans Canada, P. O. Box 5667, St. John's, NL A1C 5X1

Phone: +709 772 4417 – Fax: +709 772 6306 – E-mail: follettw@dfo-mpo.gc.ca

Jorgen Hansen, Senior Advisor, Resource Management, Scotia-Fundy Sector, Fisheries & Oceans Canada, 176 Portland St., 5th Floor, Marine House, P. O. Box 1035, Dartmouth, N.S. B2Y 4T3

Phone: +902 426 9046 - Fax: +902 426 9683 - E-mail: hansenj@mar.dfo-mpo.gc.ca

Morley Knight, Director, Conservation and Protection Div., Fisheries Management, Fisheries and Oceans Canada, P. O. Box 5667, St. John's, NL A1C 5X1

Phone: +709 772 4494 – Fax: +709 772 5983 – E-mail: knightm@dfo-mpo.gc.ca

Brian Lester, Advisor, Resource Management, Dept. of Fisheries and Oceans, 200 Kent Street, Ottawa, Ontario K1A 0E6

Phone: +613 990 0090 - Fax: +613 990 7051 - E-mail: <u>lesterb@dfo-mpo.gc.ca</u>

Keith Lewis, Oceans Law Section (JLOA), Oceans and Environmental Law, Foreign Affairs and International Trade Canada, 125 Sussex Drive, Ottawa, Ontario K1A 0G2

Phone: +613 944 3077 - Fax: +613 992 6483 - E-mail: keith.lewis@international.gc.ca

Jeff MacDonald, Director, Atlantic Fisheries & International Governance, International Affairs Directorate, Stn.

8E213, Fisheries and Oceans Canada, 200 Kent Street, Ottawa, Ontario K1A 0E6

Phone: +1 613 993 1860 - Fax: +1 613 993 5995 - E-mail: macdonaldjeff@dfo-mpo.gc.ca

Patrick McGuinness, President, Fisheries Council of Canada, #900 – 170 Laurier Avenue West, Ottawa, Ontario K1P 5V5

Phone: +1 613 727 7450 - Fax: +1 613 727 7453 - E-mail: pmcguinness@fisheriescouncil.org

Peter Matthews, Clearwater Seafoods Limited Partnership, P. O. Box 459, Lunenburg, N.S. B0J 2C0

Phone: +902 634 2692 - Fax: +902 634 8357 - E-mail: pmatthews@clearwater.ca

Brent Napier, International Fisheries Officer, International Affairs Directorate, Fisheries and Oceans Canada, 8E-234, 200 Kent St., Ottawa, Ontario K1A 0E6

Phone: +613 998 3805 – Fax: +613 990 9557 – E-mail: napierb@dfo-mpo.gc.ca

Michael O'Connor, Managing Director, Icewater Harvesting Inc., P. O. Box 89, Arnold's Cove, NL A0B 1A0 Phone: +902 482 7747 – Fax: +902 482 8146 – E-mail: mcoconnor@eastlink.ca

Alastair O'Rielly, Deputy Minister, Dept. of Fisheries and Aquaculture, P. O. Box 8700, Petten Bldg., St. John's, NL A1B 4J6

Phone: +709 729 3707 - Fax: +709 729 4219 - E-mail: <u>aorielly@gov.nl.ca</u>

Dave Orr, Research Biologist, Shrimp, Shellfish Section, Aquatic Resources Div., Science Br., Fisheries and Oceans Canada, Newfoundland & Labrador Region, 80 East White Hills Rd., P. O. Box 5667, St. John's, NL A1C 5X1 Phone: +709 772 7343 – Fax: +709 772 4105 – E-mail: orrd@dfo-mpo.gc.ca

Christiane Parcigneau, Senior Communications Advisor, Public Affairs and Strategic Communications,

Communications Br., Fisheries and Oceans Canada, 200 Kent St., 13t Fl., Stn. 13E255, Ottawa, Ontario K1A 0E6 Phone: +1 613 998 1530 – Fax: +1 613 990 1866 – E-mail: parcigneauC@dfo-mpo.gc.ca

Christine Penney, Director of Corporate Affairs, Clearwater Seafoods Ltd. Partnership, 757 Bedford Highway, Bedford, Nova Scotia B4A 3Z7

Phone: +902 457 2348 - Fax: +902 443-8443 - E-mail: cpenney@clearwater.ca

Rosalind Perry, Executive Director, Northern Coalition, P. O. Box 6421, 189 Water St., Suite 301, St. John's, NL Phone: +709 722 4404 – Fax: +709 722 4454 – E-mail: rwalsh@nfld.net

Don Power, Science Br., Fisheries and Oceans Canada, Newfoundland & Labrador Region, 80 East White Hills Rd., P. O. Box 5667, St. John's, Newfoundland & Labrador A1C 5X1

Phone: +709 772 4935 – Fax: +709 772 4105 – E-mail: powerd@dfo-mpo.gc.ca

Clary Reardon, Manager, Marine and Coastal Advisory Services, Fisheries and Aquaculture, Marine Fisheries, 5151George St., 6th Floor, P. O. Box 2223, Halifax, N.S. B3J 3C4

Phone: +902 424 0349 - Fax: +902 424 1766 - E-mail: reardonc@gov.ns.ca

Lori Ridgeway, Director General, International Coordination and Policy Analysis, Fisheries and Oceans Canada, 200 Kent St., 14th Floor, Ottawa, Ontario K1A 0E6

Phone: +613 993 1914 – Fax: +613 990 9574 – E-mail: RidgewayL@dfo-mpo.gc.ca

Marie-Eve Rouleau, Fisheries and Oceans Canada, Atlantic Affairs Div., 200 Kent Street, Ottawa, Ontario K1A 0E6 Phone: +613 990 9387 – Fax: +613 993 5995

Faith Scattolon, Regional Director General, Fisheries and Oceans Canada, Maritime Region, 176 Portland St., Marine House, P. O. Box 1035, Dartmouth, N.S. B2Y 4T3

Phone: +1 902 426 2481 – Fax: +1 902 426 5034 – E-mail: scattolonf@mar.dfo-mpo.gc.ca

Beverley Sheppard, Manager, Harbour Grace Shrimp Co. Ltd., P. O. Box 580, Harbour Grace, NL A0A 2MO

Phone: +709 596 8000 – Fax: +709 596 8002 – E-mail: <u>bsheppard@hgsc.ca</u>

Max Short, Special Advisor, NAFO, Fisheries Management Br., Dept. of Fisheries and Oceans, P. O. Box 5667, St. John's, NL A1C 5X1

Phone: +709 772 6369, Cell +709 682 5110 – Fax: +709 772 2046 – E-mail: <u>shortm@dfo-mpo.gc.ca</u>

Paul Steele, Director-General, Conservation and Protection, Fisheries Management, Fisheries and Oceans, Canada, 200 Kent Street, Ottawa, Ontario K1A 0E6

Phone: +613 990 0109 – Fax +613 941 2718 – E-mail: steelep@dfo-mpo.gc.ca

Bob Steinbock, Senior International Fisheries Advisor, Atlantic Affairs & International Governance Div.,

International Affairs Directorate, Fisheries & Aquaculture Management, Fisheries and Oceans Canada, 200 Kent St., Ottawa, Ontario K1A 0E6

Phone: +613 993 1836 – Fax: +613 993 5995 – E-mail: steinbob@dfo-mpo.gc.ca

Roger Stirling, President, Seafood Producers Association of Nova Scotia, P. O. Box 991, Dartmouth, NS B2Y 3Z6 Phone: +902 463 7790 – Fax: +902 469 8294 – E-mail: spans@ns.sympatico.ca

Leo Strowbridge, Director, International Programs and Corporate Services, Fisheries Management Br., Fisheries & Oceans Canada, P. O. Box 5667, St. John's, NL A1C 5X1

Phone: +709 772 8021 - Fax: +709 772 2046 - E-mail: strowbridgel@dfo-mpo.gc.ca

Karl Sullivan, V.P. Corporate Planning, Barry Group, 139 Water Street, 8th Floor, St. John's, NL

Phone: +709 576 7292; +709 574 9245 – Fax: +709 576 8843 – E-mail: ksull@barrygroupinc.com

Scott Tessier, Chief of Staff, Office of the Minister, Fisheries and Oceans Canada, 200 Kent St., 15th Floor, Ottawa, Ontario K1A 0E6

Phone: +613 992 3474 – Fax: +613 947 4285 – E-mail: tessiersc@dfo-mpo.gc.ca

Jerry Ward, CEO, Baffin Fisheries Coalition, P. O. Box 6008, Iqaluit, Nunavut X0A 0H0

Phone: +867 979 3066 - Fax: +867 979 3068 - E-mail: <u>jvward@nl.rogers.com</u>

Lorne Wheeler, Senior Policy Advisor, Office of the Minister, Fisheries and Oceans Canada, 200 Kent St., 15th Floor, Ottawa, Ontario K1A 0E6

Phone: +613 992 3474 - Fax: +613 947 7082 - E-mail: wheelerl@dfo-mpo.gc.ca

Ben Whelan, NAFO Coordinator, Fisheries and Oceans Canada, Fisheries & Aquaculture, Management Br., P. O. Box 5667, St. John's, Newfoundland and Labrador A1C 5X1

Phone: +709 772 0928 - Fax: +709 772 2046 - E-mail: whelanb@dfo-mpo.gc.ca

CUBA

Head of Delegation

Victor Sarda Espinosa, Director of International Affairs of the Ministry of Fishing Industry, 5^{ta} Ave. y 246, Playa, Ciudad Habana

Phone: + 209 7034- Fax: +204 9168 - E-mail: <u>vsarda@mip.telemar.cu</u>

Adviser

Luis Dominguez Benitez, Director of Dragnets, Avenida dul Puerto y Atares, 5/N Muelle Osvaldo Sanches, C. Habana Phone: +861 9674 - E-mail: dragnets@pespor.telemar.cu

DENMARK (IN RESPECT OF FAROES AND GREENLAND)

Head of Delegation

Kate Sanderson, Counsellor, Ministry of Fisheries and Maritime Affairs, Heyksvegur 6, P. O. Box 347, FO-100 Torshavn, Faroe Islands

Phone: + 298 35 32 47 - Fax: +298 35 30 37 - E-mail: <u>kate@fisk.fo</u>

Alternate

Frederik Schmidt, Head of Section, Department of Fisheries and Hunting, Greenland Home Rule, P. O. Box 269, DK -3900, Nuuk, Greenland

Phone: +299 345329 - Fax: +299 324704 - E-mail: frsc@gh.gl

Advisers

Helle I. Ø. Jørgensbye Hansen, Head of Section, Gronlands Fiskerilicenskontrol, Greenland Home Rule, Postbox 501, DK-3900 Nuuk, Greenland

Phone: +299 345000 – Fax: +299 324704 – E-mail: hhan@gh.gl

Jóhan Joensen, Faroe Shipowners Association, Gongin 10, P.O. Box 361, FO-110 Torshavn, Faroe Islands

Phone: +298 311800 – Fax: +298 320380 – E-mail: <u>shipown@post.olivant.fo</u>

Simun Joensen, Fisheries Inspection, Ministry of Fisheries and Maritime Affairs, Heykavegur 6, FO-110 Torshavn,

Faroe Islands

Phone: +298 353030 - Fax : +298 313981 - E-mail: simunj@fve.fo

Martin Kruse, Adviser, FMC-Manager, Fisheries Inspection, Ministry of Fisheries and Maritime Affairs, P. O. Box 347, FO-110 Torshavn, Faroe Islands

Phone: +298 311065 – Fax: +298 313981 – E-mail: martink@fve.fo

Mads Trolle Nedergaard, Fiskerilicensinspektor, Head of Unit, Gronlands Fiskerilicenskontrol, Postbox 501, DK-3900

Nuuk, Greenland

Phone: +299 345377 - Fax: +299 323235 - E-mail: mads@gh.gl

EUROPEAN UNION

Head of Delegation

J. Spencer, Head of Unit, International and Regional Arrangements, European Commission, Fisheries Directorate General, 200 Rue de la Loi/Wetstraat, B-1049 Brussels, Belgium

Phone: +32 2 295 6858 - Fax: +32 2 295 5700 - E-mail: edward-john.spencer@ec.europa.eu

Alternate

Valerie Laine, Principal Administrator, International and Regional Agreements, European Commission, Directorate General for Fisheries and Maritime Affairs, Rue Joseph II-99, BE-1000 Brussels, Belgium

Phone: +32 2 296 5341 - Fax: +32 2 295 5700 - E-mail: valerie.laine@ec.europa.eu

Advisers

(EU Commission)

Staffan Ekwall, Principal Administrator, European Commission, DG FISH, External Policy and Markets, International and Regional Arrangements, Rue de la Loi/Wetstraat 200, B-1049 Brussels, Belgium

Phone: +32 2 299 6907 – Fax: +32 2 295 5700 – E-mail: staffan.ekwall@ec.europa.eu

Martin Newman, Principal Administrator, European Commission, DG FISH, Rue de la Loi/Wetstraat 200, B-1049 Brussels, Belgium

Phone: +32 2 295 7449 - Fax: +32 2 296 2338 - E-mail: martin.newman@ec.europa.eu

Susana Junquera, European Commission, Joseph II 99, B-1040 Brussels, Belgium

Phone: +32 2 298 4727 – Fax: +32 2 295 5700 – E-mail: <u>susana.junquera@cec.eu.int</u>

Jose Mesquita, European Commission, DG FISH, Rue de la Loi/Wetstraat 200, B-1049 Brussels, Belgium

Phone: +32 2 296 0706 - Fax: +32 2 296 2338 - E-mail: jose.mesquita@ec.europa.eu

Fred Kingston, Senior Adviser, Economic and Commercial Affairs, Delegation of the European Commission in Canada, 45 O'Connor Street, Suite 1900, Ottawa, Ontario, Canada K1P 1A4

Phone: +613 238 6464 – Fax: +613 238 5191 – E-mail: <u>fred.kingston@ec.europa.eu</u>

(EU Council)

Mariano Abad Menendez, Principal Administrator, Council of the European Union, General Secretariat, DG-BIII -Fisheries, Rue de la Loi 175, B-1048 Brussels, Belgium

Phone: +32 2 285 5093 – Fax: 32 2 285 6910 - E-mail: mariano.abad@consilium.eu.int

(EU – Finland) (Council Presidency)

Jarmo Vilhunen, Senior Officer, Ministry of Agriculture and Forestry, Dept. of Fisheries and Game, Mariankatu 23, P. O. Box 30, Helsinki, FI-00023

Phone: +358 9 1605 2902 - Fax: +358 9 1605 2640 - E-mail: jarmo.vilhunen@mmm.fi

(EU Parliament)

Michael Topping, Principal Administrator, Committee on Fisheries, European Parliament, B-1047 Brussels, Belgium Phone: +32 2 284 3960 - Fax: +32 2 284 4909 - E-mail: mtopping@europarl.eu.int

(EU – Estonia)

Els Ulman-Kuuskman, Leading Inspector, Estonian Environmental Inspectorate, Kopli 76, Tallinn 10416

Phone: +372 534 78637 – Fax: +372 676 2232 – E-mail: els.ulman@kki.ee

Merje Frey, Deputy of Head, Fishery Economics Department, Ministry of Agriculture, Lai 39/41, Tallinn

Phone: +372 6856513 – Fax: +372 6256515 – E-mail: merje.frey@agri.ee

Kaire Martin, Fishery Resources Dept., Ministry of the Environment, Narva mnt 7a, 15172 Tallinn

Phone: +372 626 0718 - Fax: +372 626 0710 - E-mail: kaire.martin.@ekm.envir.ee

Indrek Soe, Estonian Environmental Inspectorate, Kopli 76, Tallinn 10416

Phone: +372 562 63581 - Fax: +372 676 2232 - E-mail: indrek.soe@kki.ee

Ain Soome, Director General, Fishery Resources Dept., Ministry of the Environment, Narva mnt 7a, 15172 Tallinn

Phone: +372 626 0711 - Fax: +372 626 0710 - E-mail: ain.soome@ekm.envir.ee

Hjalmar Vilhjalmsson, Manager, Reyktal AS, Veerenni 39, 10138 Tallinn

Phone: +372 6276 552 – Fax: +372 6276 555 – E-mail: reyktal@reyktal.ee

(EU – France)

Christophe Lenormand, Direction des pêches maritimes et d l'aquaculture, Bureau de la ressource, de la réglementation et des affaires Internationales, Ministère de l'agriculture et de la pêche, 3, place de Fontenoy 75007 Paris

Phone: +33 1 49 55 82 38 – Fax: +33 1 49 55 82 00 – E-mail: <u>christophe.lenormand@agriculture.gouv.fr</u>

(EU – Germany)

Hermann Pott, Bundesministerium für Verbraucherschutz, Ernahrung und Landwirtschaft, Rochusstr. 1, 53123 Bonn

Phone: +49 228 529 4124 - Fax: +49 228 529 4410 - Email: Hermann.Pott@bmelv.bund.de

Manfred Stein, Institut fur Seefischerei, Palmaille 9, D-22767, Hamburg

Phone: +49 40 389 05174 - Fax: +49 40 38905 263 - E-mail: manfred.stein@ish.bfa-fisch.de

(EU – Latvia)

Normunds Riekstins, Director, National Board of Fisheries, Ministry of Agriculture, 2, Republikas laukums, LV-1010 Riga

Phone: +371 732 3877 - Fax: +371 733 4892 - E-mail: fish@latnet.lv

Janis Stepanovs, National Board of Fisheries, Ministry of Agriculture, 2, Republikas laukums, LV -1010 Riga

Phone: +371 732 3877 - Fax: +371 733 4892 - E-mail: janis.stepanovs@vzp.gov.lv

(EU – Lithuania)

Aidas Adomaitis, Director General, Fisheries Dept. under the Ministry of Agriculture, J. Lelevelio str. 6, LT-01103 Vilnius

Phone: +370 253 71174 - Fax: +370 5 239 1176 - E-mail: aidasa@zum.lt

Algirdas Rusakevicius, Deputy Director General, Fisheries Department under the Ministry of Agriculture, J. Lelevelio str. 6, LT-01103 Vilnius

Phone: +370 5 239 1186 - Fax: +370 5 239 1176 - E-mail: algirdasr@zum.lt

Genadijus Babcionis, Head of Atlantic Fisheries Control and Monitoring Div., Fisheries Dept. under the Ministry of Agriculture, J. Lelevelio str. 6, LT-01103 Vilnius

Phone: +370 5 239 1180 – Fax: +370 5 239 1176 – E-mail: genadijusb@zum.lt

Einar Gudbjornsson, Owner, JSC "Norgertus", Nemuno str., 139, LT 93262 Klaipeda

Phone/Fax: +370 4634 0043 – E-mail: norgertus@norgertus.w3.lt

Rasuole Jusiute, Lawyer, "Norgertus", Nemuno str. 139, LT 93262 Klaipeda

Phone: +370 37 370656 - Fax: +370 37370664- E-mail: nmarestana@zebra.lt

(EU - Poland)

Leszek Dybiec, Deputy Director, Ministry of Agriculture and Rural Development, Fisheries Department, 30 Wspolna Street, 00-930 Warsaw

Phone: +48 22 628 9684 - Fax: +48 22 623 2204 - E-mail: <u>leszek.dybiec@minrol.gov.pl</u>

(EU - Portugal)

Eurico Monteiro, Director-General, Direccao-Geral das Pescas e Aquicultura, Avenida da Brasilia, 1449-030 Lisbon Phone: +351 21 303 5887 - Fax: +351 21 303 5965 - E-mail: euricom@dgpa.min-agricultura.pt

Emilia Batista, Directora de Servicos, Departamento dos Recursos, Direccao Geral das Pescas e Aquicultura, Avenida da Brasilia, 1449-030 Lisbon

Phone: +351 213 035 850 Fax: +351 213 035 922 E-mail: ebatista@dgpa.min-agriculture.pt

Ricardo Alpoim, Instituto Nacional de Investigacao Agrária e das Pescas (INIAP/IPIMAR), Av. de Brasilia, 1449 -006 Lisbon

Phone: +351 21 302 7000 - Fax: +351 21 301 5948 - E-mail: <u>ralpoim@ipimar.pt</u>

Antonio Avila de Melo, Instituto Nacional de Investigacao Agraria e das Pescas (INIAP/IPIMAR), Av. de Brasilia, 1449-006 Lisbon

Phone: +351 21 302 7000 - Fax: +351 21 301 5948 - E-mail: amelo@ipimar.pt

Jose Taveira da Mota

Phone: +351 234 397 530 - Fax: +351 234 364 090

Pedro Franca, Presidente, A.D.A.P.I. – Associacao Armadores das Pescas Industriais, Docapesca, Edificio da Gama, Bloco-C, Piso 1, Rua General Gomes d'Araujo, Alcantara-Mar, 1399-005 Lisbon

Phone: +351 21397 2094 - Fax: +351 21397 2090 - E-mail: adapi.pescas@mail.telepac.pt.

Anibal Machado Paiao, Director, A.D.A.P.I.-Associacao dos Armadores das Pescas Industriais, Docapesca, Edificio da Gama, Bloco-C, Piso 1, Rua General Gomes d'Araujo, Alcantara-Mar, 1399-005 Lisbon

Phone: +351 21397 2094 - Fax: +351 21397 2090 - E-mail: adapi.pescas@mail.telepac.pt.

(EU – Spain)

Fernando Curcio Ruigomez, Director General de Recursos Pesqueros, Secretariat General de Pesca Maritima, Jose Ortega y Gasset, 57, 28006 Madrid

Phone: +34 91 347 6030 – Fax: +34 91 347 6032 – E-mail: fcurcior@mapya.es

Rafael Centenera, Secretaria General de Pesca Maritima, Jose Ortega y Gasset, 57, 28006 Madrid, Spain

Phone: +34 91 347 6040 – Fax: +34 91 347 6042 – E-mail: <u>rcentera@mapya.es</u>

Margarita Mancebo, Jefe de Area, Subdireccion General de Relaciones Pesqueras Internacionales, Direccion

General de Recursos Pesqueros, Jose Ortega y Gasset, 57, 28006 Madrid, Spain

Phone: +34 91 347 61 29 - Fax: +34 91 347 60 42 - E-mail: <u>cmancebo@mapya.es</u>

Samuel J. Juarez, Counselor for Agriculture, Fisheries and Food, Embassy of Spain, 2375 Pennsylvania Ave., N.W., Washington, D.C. 20037

Phone: +202 728 2339 - Fax: +202 728 2320 - E-mail: mapausa@speakeasy.net

Javier Del Hierro, Subdirección General de Inspeccion Pesquera, Secretaria General de Pesca Maritima, c/Castellana 112, 5ª Plto, 28071 Madrid, Spain

Phone: +3491 3471645 – Fax: +3491 3471512 – E-mail: jdelhier@mapya.es

Jose Manuel Lopez Rodríguez, Xunta de Galicia, Conselleria de Pesca e Asuntos Maritimos, Director Xeral de Estruturas e Mercados da Pesca, Rua do Sar, 75, 15702 Santiago de Compostela

Phone: +981 54 63 47 - Fax: +981 54 62 88

Juan Perez Pazo, Xefe de Servizo de Asesoria Tecnica, Rua do Valino, 63, 15073 Santiago de Compostela

Phone: +34 981 545020 - Fax: +34 981 545025 - E-mail: xoan.perez.pazo@xunta.es.

Enrique De Cardenas, Secretaria General de Pesca Maritima, Jose Ortega y Gasset, 57, 28006 Madrid, Spain

Phone: +34 91 347 6110 - Fax: +34 91 347 6037 - E-mail: edecarde@mapya.es

Fernando Gonzalez-Costas, Instituto Español de Oceanografia, Aptdo 1552, E-36280 Vigo (Pontevedra)

Phone: +34 9 86 49 2111 - Fax: +34 9 86 49 2351 - E-mail: fernando.gonzalez@vi.ieo.es

Hilario Murua, Fish, Resour. – AZTI Foundation, Herrera Kaia, Portualde z/g, 20110 Pasaia, Basque Country

Phone: + 34 9 43 00 48 00 - Fax: + 34 9 43 00 48 01 - E-mail: <u>hmurua@pas.azti.es</u>

Antonio Vazquez, Instituto de Investigaciones Marinas, Eduardo Cabello 6, 36208 Vigo

Phone: +34 9 86 23 1930 – Fax: +34 9 86 29 2762 – E-mail: <u>avazquez@iim.csic.es</u>

Jose Fuertes Gamundi, Director Gerente, ANAMER-ANAVAR-AGARBA, Puerto Pesquero, Apartado 1.078, 36200 Vigo

Phone: +34 986 433844 - Fax: +34 986 439218 - E-mail: direccion@arvi.org

Juan Manuel Liria, Presidente, Federación Española de Organizaciones Pesqueras (FEOPE), C/Comandante Zorita, 12, Escalera 4-1D, 28020 Madrid

Phone: +34 91 534 5484 – Fax: +34 91 534 3718- E-mail: feope@feope.com

José Luis Meseguer, Doctor en Derecho, Secretario General, ARBAC – Asociacion de empresas de pesca de bacalao, especies afines y asociadas, Enrique Larreta, 10-3°, 28036 Madrid, Spain

Phone: +34 913 151965 - Fax: +34 913 152673

Eloy Carramal, Director Financiero, Grupo Oya Perez, Calle San Francisco 57-1° y 2°, 36202 Vigo, Galicia, Spain

Juan Manuel Oya Perez, Shipowner, Heroya, Calle San Francisco 57-1°, 36202 Vigo, Galicia, Spain

Phone: +34 986 447 484 – Fax: +986 439 229 – E-mail: <u>ecarramal@oyaperez.es</u>

Monica DoCampo, Heroya, S.A., C/San Francisco, 57-1, 36202 Vigo, Spain

Phone: +34 986 44 74 84 – Fax: #34 986 43 92 29 – E-mail: monica@oyaperez.es

Alejandro Alvarez Rivas, c/Animas 5-3, 30, 36208 Vigo, Spain

Phone: +34 636 481 100 – Fax: +34 986 209 505 – E-mail: albri@albri.com

(EU – United Kingdom)

Mike Rimmer, Sea Fisheries Conservation Div., Dept. For Environment, Food and Rural Affairs, Area A 6th Floor, Whitehall Place, London SW1A 2HH

Phone: +44 (0)20 7270 8308 – Fax: +44 (0)207270 8309 – E-mail: mike.rimmer@defra.gsi.gov.uk

Julie Fitton, Fisheries Policy Advisor, Fisheries Management and Control Enforcement Policy, Dep.. for Environment, Food and Rural Affairs, 3-8 Whitehall Place, London SW1A 2HH

Phone: +44(0)20 7270 8131 - Fax: +44 (0)207270 8843 - E-mail: julie.fitton@defra.gsi.gov.uk

Philip Galbraith, Fisheries Enforcement Policy, Scottish Fisheries Protection Agency, 47 Robb's Loan, Edinburgh, Scotland EH14 1TY

Phone: +44 131 244 6066 - Fax: +44 131 244 6069 - E-mail: philip.galbraith@scotland.gsi.gov.uk

Philip Large, Centre for Fisheries & Aquaculture Science (CEFAS) Fisheries Laboratory, Pakefield Rd., Lowestoft (Suffolk), England NR33 0HT

Phone: +44 502 524491 – E-mail: p.a.large@cefas.co.uk

FRANCE (in respect of St. Pierre et Miquelon)

Head of Delegation

Stéphane Artano, President du Conseil General de Saint-Pierre-et-Miquelon, B.P. 4208, Place Monseigneur-Maurer 97500 Saint Pierre et Miquelon

Phone: + 508 41 01 02 – Fax: + 508 41 44 79 – E-mail: <u>cgspm-president@wanadoo.fr</u>

Alternate

Patrick Brenner, Ministry of Overseas, Head of International Affairs Div., 27, rue Oudinot, 75358 Paris Phone: +53 69 26 32 – Fax: +53 69 21 97 – E-mail: patrick.brenner@outre-mer.gouv.fr

Advisers

Thierry Baslé, Development Manager, Development Agency, SODEPAR, Rue Borda, Palais Royal, BP 4365, 97500 Saint-Pierre et Miquelon

Phone: +508 41 15 15 - Fax: +508 41 15 16 - E-mail: <u>thierry.basle@sodepar.com</u>

Frédérique Deschamps, 6, rue de l'Esperance, 97500 Saint-Pierre, St. Pierre et Miquelon

Phone: +508 41 36 81 – E-mail: freddeschamps2001@yahoo.fr

Bruno Detcheverry, Directeur General, Interpeche S.A., Société des Pêches de Archipel, Quai du MôleFrigorifique, B.P.4249, 97500 Saint-Pierre et Miguelon

Phone: +508 41 39 91 - Fax: +508 41 38 38 / 41 99 47 - E-mail: interpeche@wanadoo.fr

Jean-Marc Guyau, Administrateur principal des affaires maritimes, Chef du service, 1, rue Gloanec, B.P. 4206, 97500 Saint-Pierre-et-Miquelon

Phone: +508 41 15 30 - Fax: +508 41 48 34 - E-mail: <u>j-marc.guyau@equipement.gouv.fr</u>

ICELAND

Head of Delegation

Kolbeinn Arnason, Ministry of Fisheries, Skulagata 4, 150 Reykjavik Phone: +354 545 8300 – E-mail: kolbeinn.arnason@utn.stjr.is

Advisers

Gylfi Geirsson, Commander, Icelandic Coast Guard, Skogarhlid 14, 105 Reykjavik

Phone: +354 545 2071 – Fax: +354 545 2040 – E-mail: gylfi@lhg.is

Hjortur Gislason, Ogurvik, Fishing Export Co. Ltd., Tysgata 1 – 101 Reykjavik Phone: +354 562 9990 – Fax: +354 562 9998 – E-mail: <u>hjortur@ogunvik.is</u>

Kristjan Freyr Helgason, Directorate of Fisheries Dalshraun/ 220 Hafnarfiordur, Iceland

Phone: +354 569 7900 – Fax: +354 569 7990 – E-mail: kristjan@fiskistofa.is

JAPAN

Head of Delegation

Miwako Takase, Deputy Director, International Affairs Div., Fisheries Agency, Government of Japan, 1-2-1 Kasumigaseki, Chiyoda-ku, Tokyo 100-8907

Phone: +81 3 3591 1086 – Fax: +81 3 3502 0571- E-mail: miwako takase@nm.maff.go.jp

Advisers

Tsuyoshi Chiba, Far Seas Fisheries Div., Resources Management Dept., Fishery Agency, Government of Japan, 1-2-1 Kasumigaseki, Chiyoda-ku, Tokyo 100-8907

Phone: +81 3 3504 3995; Fax: +81 3 3502 0571 – E-mail: tsuyoshi.chiba@nm.maff.go.jp

Noriaki Takagi, Director, Executive Secretary, Japan Deep Sea Trawlers Association, NK-Bldg., 6F Kanda

Ogawa -cho, Chiyoda-ku, □okio 101-0052

Phone: +81 3 3291 8508 – Fax: +81 3 3233 3267 – E-mail: <u>ntakagi@jdsta.or.jp</u>

Takahisa Tanabe, Representative, Japan Fisheries Association, Suite 1209 Duke Tower, 5251 Duke St., Halifax,

N.S., Canada B3J 1P3

Phone: +902 423 7975 - Fax: +902 425 0537 - E-mail: jfahfx@allstream.net

REPUBLIC OF KOREA

Head of Delegation

Hyun-Jong Kim, Deputy Director, Ministry of Maritime Affairs and Fisheries, International Cooperation, 140-2 Gve-Dong Jongno-Gu. Seoul 110-793

Phone/Fax: +82 2 3674 6992 \ 6996 - E-mail: harrykim@momaf.go.kr

Advisers

Soon-Yo Jeong, Ministry of Maritime Affairs and Fisheries, International Cooperation, 140-2 Gye-Dong Jongno-Gu, Seoul 110-793

Phone: +82 2 3674 6994 – Fax: +82 2 3674 6996 – E-mail: <u>icdmomaf@chol.com</u>

Yang-Sik Cho, Assistant Manager, Korea Deep Sea Fisheries Association, Trawl Fishery Dept., 6fl Samho Center

Bldg. A, 275-1, Yangjae-Dong, SeoCho-Ku, Seoul

Phone: +82 2 589 1618 - Fax: +82 2 589 1630/1 - E-mail: mild@kodefa.or.kr

NORWAY

Head of Delegation

Terje Lobach, Senior Legal Adviser, Directorate of Fisheries, P. O. Box 2009 Nordnes, NO-5817 Bergen Phone: +47 55 23 80 00 / 8139 Fax: +47 55 23 80 90 E-mail: terje.lobach@fiskeridir.no

Alternate

Stein-Aage Johnsen, Senior Legal Adviser, Resource Management Dept., Directorate of Fisheries, P. O. Box 2009 Nordnes, NO-5817 Bergen

Phone: +47 55 23 80 00 / 8124 – Fax: +47 55 23 80 90 – E-mail: stein-age.johnsen@fiskeridir.no

Advisers

Webjørn Barstad, Head of Department, Norwegian Fishing Vessel Owners Association, P.O. Box 67 Sentrum, 6001 Aalesund

Phone: +47 70 10 14 60 - Fax: +47 70 10 14 80 - E-mail: webjorn@fiskebat.no

Turid B. Rodrigues Eusébio, Deputy Director General, Section for Energy and Marine Resources, The Royal Ministry of Foreign Affairs, Oslo

Phone: + 47 2224 3612– Fax: +47 2224 2784 – E-mail: tbe@mfa.no

Jan-Pieter Groenhof, Adviser, Norwegian Ministry of Fisheries, Dept. of Marine Resources and Environment, P. O.

Box 8118 Dep., NO-0032 Oslo

Phone: +47 22 24 64 44 - Fax: +47 22 24 95 85 - E-mail: jan-pieter.groenhof@fkd.dep.no

RUSSIA

Head of Delegation

Alexander Fomin, Head of Department of Fisheries of Ministry of Agriculture of the Russian Federation, 1/11, Orlikov per., Moscow 107139

Phone: +7 495 975 5740 - Fax: +7 495 207 8868 - E-mail: a.fomin@dp.mcx.ru

Representative

Alexander Fomin (see address above)

Advisers

Vadim Agalakov, Senior Expert-Fisheries Inspector, Murmansk Dept. of Rosselknoznadzor, str. Tralovaya 12A, 183038 Murmansk

Phone: +7 815 2 687 302 - Fax: +7 815 2 687 321 - E-mail: info@rsn51.ru

Vladimir K. Babayan, Head, Laboratory for Systems Analysis of Fishery Resources, Russian Federal Research Institute of Fisheries and Oceanography, 17, V. Krasnoselskaya, Moscow 107140

Phone/Fax: +7095 264 6983 - vbabayan@vniro.ru

Konstantin Gorchinsky, Senior Scientist, Knipovich Polar Research Institute of Marine Fisheries and Oceanography (PINRO), 6 Knipovich St., Murmansk

Phone: + 7 8152 450568 - Fax: + 7 8152 473331 - E-mail: gorch@pinro.ru

Leonid Kokovkin, Representative of the Russian Federation in Canada on Fisheries, 47 Oceanview Drive, Bedford, Nova Scotia Canada B4A 4C4

Phone: +902 832 9225 – Fax: +902 832 9608 – E-mail: rusfish@ns.sympatico.ca

Alexander Okhanov, Head of Department, Aquatic Bioresources and Fisheries Management, Federal Agency for Fisheries, Rozhdestvensky blvd. 12, Moscow 107996

Phone/Fax: +7095 928 7644 – E-mail: okhanovaa@fishcom.ru

Rafail Ruzheynikov, Director, Murmansk State Regional Department for Reproduction of Water Biological Resources and Fisheries Management, Kominterna str. 7, 183038 Murmansk

Phone: +78152 458 678- Fax: +78152 458 678 - E-mail: mru@an.ru

Vladimir Shibanov, Head of Division of Department of Fisheries of Ministry of Agriculture of the Russian Federation, Orlikov per., 1/11, 107139 Moscow

Phone: +7 495 975 4665 – E-mail: v.shibanov@drp.mcx.ru

Ekaterina Volkovinskaia, Interpreter, Polar Research Institute of Marine Fisheries and Oceanography (PINRO), 6 Knipovich St., Murmansk 183763

Phone: +7 8152 473461 - Fax: +7 8152 473331 - E-mail: katerina@pinro.ru / inter@pinro.ru

Alexandr Zhukov, Chief of Dept. of Conventional Fishery, FGU Zapbaltrybvod, 15, Kirova str., 236000 Kaliningrad Phone: +7 0112 55 5311 – Fax: +7 0112 555513 – E-mail: zapad@zbrv.baltnet.ru

UKRAINE

Head of Delegation

Sergey Rebik, Senior Scientific Collaborator, Southern Scientific Research Institute of Marine Fisheries and Oceanography (YugNIRO), 2 Sverdlov Str., Kerch 98300

Phone: +380 6561 21012 - Fax: +380 6561 61627 - E-mail: rebikst@mail.ru

Representative

Petro Morozov, Senior Expert, Department for Fisheries of the Ministry of the Agriculture Policy of Ukraine, 45A Artema str., Kviv 04053

Phone: +38 044 258 2727 - Fax: +38 044 482 0984 - E-mail: <u>petia.morozov@gmail.com</u>

UNITED STATES OF AMERICA

Head of Delegation

Dean Swanson, Chief, International Fisheries Affairs Div., F/IA1, National Marine Fisheries Service, U.S. Dept. of Commerce, 1315 East-West Highway, Silver Spring, MD 20910

Phone: +301 713 2276 – Fax: +301 713 2313 – E-mail: <u>dean.swanson@noaa.gov</u>

Representative

Dean Swanson (see above)

Advisers

Marydele Donnelly, Director of International Policy, Caribbean Conservation Corporation, 3775 Bonnybridge Place, Ellicott City, MD 21043

Phone: +410 750 1561 - E-mail: marydele@cccturtle.org

Sonja Fordham, Shark Program Director, The Ocean Conservancy, c/o Oceana, Rue Montoyer, 39, 1000 Brussels, Belgium

Phone: +32 2 513 2242 – E-mail: sfordham@oceanconservancy.org

Deirdre Warner-Kramer, Senior Atlantic Affairs Officer, Office of Marine Conservation, United States Department of State (Rm 2758), 2201 C Street NW, Washington, D.C. 20520-7878

Phone +1 202 647 2883 - Fax: +1 202 736 7350 - E-mail: warner-kramerdm@state.gov

Sarah McLaughlin, Fishery Policy Analyst, National Marine Fisheries Service, U.S. Dept. of Commerce, 1Blackburn Dr., Gloucester, MA 01930

Phone: +978 281 9279 - Fax: +978 281 9135 - E-mail: sarah.mclaughlin@noaa.gov

Gene S. Martin, Jr., Attorney, Office of the General Counsel, National Oceanic and Atmospheric Administration, Northeast, 1 Blackburn Dr., Gloucester, MA 01930

Phone: +1 978 281 9242 - Fax: +1 978 281 9389 - E-mail: gene.s.martin@noaa.gov

Ralph Mayo, Northeast Fisheries Science Center, NMFS/NOAA, Dept. of Commerce, 166 Water St., Woods Hole, Massachusetts 02543-1097

Phone: +508 495 2310 - Fax: +508 495 2393 - E-mail: ralph.mayo@noaa.gov

Patrick Moran, Foreign Affairs Analyst, Office of International Affairs, F/IA-2, National Marine Fisheries Service, U.S. Dept. of Commerce, 1315 East-West Hwy., Silver Spring, MD 20910

Phone: +301 713 2276 – Fax: +301 713 2313 – E-mail: pat.moran@noaa.gov

Bill Quinby, Director, Mayflower Shipping Ltd., 5 Yearnans Road, Charleston, SC 29407

Phone: +843 577 0560 - Fax: +843 577 6644 - E-mail: mayflower@mindspring.com

Kevin Riddle, Lieutenant Commander, U.S. Coast Guard Liaison, Office of Marine Conservation, U.S. Department of State, 2201 C Street NW, Washington, D.C. 20520

Phone: +202 647 3177 - Fax: +202 736 7350 - E-mail: Riddlekw@state.gov

Fred Serchuk, Chief, Resource Evaluation and Assessment Division, Northeast Fisheries Science Center, NMFS, 166 Water St., Woods Hole, Massachusetts 02543-1097

Phone: +508 495 2245 - Fax: +508 495 2258 - E-mail: <u>fred.serchuk@noaa.gov</u>

OBSERVERS

FAO

Hiromoto Watanabe, Fishery Liaison Officer, International Institutions and Liaison Service, Fishery Policy and Planning Div., Fisheries Department, Food and Agriculture Organization of the United Nations (FAO), Viale delle Terme di Caracalla, 00100 Rome, Italy

Phone: +39 06 5705 5252 – Fax: +39 06 5705 6500 – E-mail: hiromoto.watanabe@fao.org

NAMMCO

Kate Sanderson, Counsellor, Ministry of Fisheries and Maritime Affairs, Heyksvegur 6, P. O. Box 347, FO-100 Torshavn, Faroe Islands

Phone: +298 35 32 47 - Fax: +298 35 30 37 - E-mail: kate@fisk.fo

World Wildlife Fund (WWF)

Andrea Carew, Senior Manager, Marine Conservation, WWF Canada, Atlantic Region, 5251 Duke Street, Ste. 1202, Halifax, Nova Scotia, Canada B3J 1P3

Phone: +902 482 1105 (ext 24) - Fax: +902 482 1107 - E-mail: acarew@wwfcanada.org

SECRETARIAT

Johanne Fischer, Executive Secretary
Ricardo Federizon, Fisheries Commission Coordinator
Anthony Thompson, Scientific Council Coordinator
Stan Goodick, Senior Finance and Staff Administrator
Bev McLoon, Personal Assistant to the Executive Secretary
Ferne Perry, Senior Publications Manager
Barry Crawford, Senior Publications Manager
Dorothy Auby, Office Manager
Barb Marshall, Information Manager
Cindy Kerr, Fisheries Information Manager

Annex 3. Opening Statement by the Representative of the European Union

We are happy to return once more to the Province of Nova Scotia for this Annual Meeting which, in our view, constitutes one of the most significant meetings this organisation has held in recent years.

Last year we agreed that it was important that NAFO should take the lead following the St Johns Conference to launch a comprehensive reform process of the organisation. We agreed that the reform process would be three-pronged

- a revision of the Convention Text to reflect modern principles of international fisheries law and to make the organisation more efficient in its working methods and structure. We are encouraged by the progress made so far in the working group on the revision of the NAFO Convention and we look forward to the report from the WG Chair during this meeting. NAFO must become a modern and efficient organisation that can serve as a model for other regional fisheries organisations and for that it requires a modern fisheries convention.
- we entrusted STACTIC with reviewing the current control and enforcement measures, and where required proposing modifications. We recognise both that this is a key task but also a very difficult one since we are dealing with international waters and different legal regimes. The issue of control and enforcement of course will always be in the forefront of our evaluation of the effectiveness of NAFO. In order to progress in this field, we consider necessary to identify the weakness of the actual scheme, to resolve misunderstandings, to take into account the cost efficiency of the measures and to ensure the definition of a coherent and balanced scheme of inspection; In this context we consider necessary to identify concrete actions and to decide on the list of priorities such as a more targeted definition of serious infringements, making clearer the steps the flag State has to follow in the follow-up of infringements and to ensure the application of deterrent sanctions against vessels committing serious infringements.

And finally, we agreed that reform relating to our management of the stocks would find its rightful forum here in the Fisheries Commission.

On other related issues, the EC is in favour of enhanced measures to combat IUU activities by Non-Contracting Party vessels. We believe that recent measures adopted in the framework of NEAFC also could be introduced in NAFO. That would entail in particular the closure of ports for IUU vessels. The EC will also support the reinforcement of the cooperation between the Contracting Parties by the establishment of the Joint Inspection Patrols in the NAFO Area. It would contribute to overcoming misunderstandings and build confidence, but would also make the deployment of inspections more effective and cost efficient. The Joint Canada-EU Inspection Patrols in the NAFO Area in July were a concrete step towards real reform of control and enforcement in NAFO.

In terms of Status of Stocks, and in particular the implementation of the Greenland Halibut Recovery Plan, we need to take into account the difficulties to reduce the fishing effort and the sacrifices made by several fleets. The adjustments demanded of the EC fleet have been very significant from the outset of this Recovery Plan. Improvement in the stock will be a slow process – we estimated 14 years when we adopted the Plan.

The EC has reduced dramatically its fishing effort in the NAFO Area; some vessels were scrapped, and some vessels have re-directed their fishing activities to other areas. It is to the great credit of those vessels that they have adjusted in such a short time. There are few examples of such radical adjustments ever being so successfully introduced in measures adopted by Coastal States in their EEZs. So NAFO should be justifiably proud of the courage it displayed in adopting this Rebuilding Plan.

We welcome WWF. We have noted an increased interest in NAFO from Non-Governmental Organisations. The involvement of WWF in the NAFO debate is something that we welcome since we consider that NGO's have an important role to play in our deliberations on fisheries management issues.

Finally, let me assure you that we look forward to working with all of you in the days ahead and are pleased to be able to invite NAFO to celebrate its 2007 Annual Meeting in Portugal.

Annex 4. Opening Statement by the Representative of Canada

Mr. Chairman, distinguished Representatives, Ladies and Gentlemen,

It is a pleasure for Canada to participate at this twenty-eighth annual meeting of NAFO.

Mr. Chairman, NAFO has the duty and responsibility to ensure the sustainability of resources and, therefore must also have the necessary instruments to fill its mandate.

NAFO members have recognized that NAFO pre-dates many of the important instruments that have been developed over the past decade to improve international fisheries governance, including the United Nations Fish Stocks Agreement. To bring this organization fully into the 21st century, we agreed last year to incorporate and implement these instruments within NAFO governance.

The reform of NAFO is very important for Canada. It is vital to Canada, as a Coastal State, to have a strong international organization that ensures healthy straddling stocks.

Canada is pleased with the discussions that have taken place in the two meetings of the Working Group on NAFO Reform, as well as by STACTIC on proposals for improving NAFO's MCS regimes.

These discussions have brought us very close to elements of reform that would strengthen NAFO and address overfishing in the Northwest Atlantic. We will be looking for ways to resolve areas of disagreement on an amended Convention and to agree on next steps.

Another key element of this week's meeting is to discuss the ongoing threats to specific fish stocks and their ecosystems and how to stop their continuing decline.

As we will hear later, we again witnessed another setback in the recovery of the Greenland halibut stocks. Catches in 2005 were 22% higher than the TAC of 19,000t. The stock is at its lowest observed biomass level and again has been fished at unsustainable levels. If this continues, the objectives in the Rebuilding Plan will be compromised.

Mr. Chairman, NAFO cannot afford to lose the last remaining groundfish stocks under its jurisdiction. We need to ensure effective implementation of the Rebuilding Plan including reducing fishing effort commensurate with available quotas. We also need to agree on monitoring, control and surveillance measures that are effective in eliminating incentives to cheat and that allow us to eliminate IUU fishing.

Overcapacity is an issue in fisheries all over the globe and NAFO is no exception. Reducing capacity is a major part of ensuring sustainable fishing activity. In this regard, Canada has circulated a discussion paper on Capacity Management to help guide our discussions on this subject. Our objective would be to agree on a process to address this subject intersessionally.

Mr. Chairman, Canada as well as other NAFO Parties, expect strong action to protect and rebuild stocks.

We look forward to working with all of you in this regard,

Thank you.

Annex 5. Opening Statement by the Representative of the USA

Mr. Chairman, distinguished delegates, ladies and gentlemen:

The United States is pleased to join our colleagues once again here in beautiful Nova Scotia for the 28th NAFO Annual Meeting. We look forward to an interesting exchange of views this week on abroad number of topics.

Of particular interest to the United States is continuing to build on the work of the last two intersessional meetings of the Reform Working Group. Frank discussions and able chairmanship during these meetings has resulted in considerable progress. Although further work is necessary on a number of important issues, among them achieving more fairness and equity in the formula for determining assessed contributions, there appears to be general agreement on many of the key principles under review. It is our hope that the momentum of the last two Working Group meetings can be maintained this week so that progress can continue beyond the annual meeting. We must carry out this initiative with the same boldness with which we announced it.

In terms of the fisheries management issues before us this week, the United States remains gravely concerned about the status of the rebuilding plan for Greenland halibut. This stock has become somewhat of a symbol for NAFO and we must act decisively to halt further biomass declines and to reduce fishing mortality. With regard to bycatch (particularly of moratoria species), we would like to have further dialogue on how to close loopholes in the existing scheme to ensure that bycatch is true bycatch and that it is minimized to the greatest extent possible. Additionally, we must maintain and improve monitoring and data collection efforts for unregulated species, including elasmobranchs

By now you will surely have noted that the United States will once again be seeking a national allocation of the Division 3LNO yellowtail flounder stock. This request is made in combination with a call to raise the harvest rate for this stock to a level that will permit a greater level of participation in the fishery while not reducing any single national allocation. This stock is unique among others in NAFO. It is the only NAFO-managed stock to recover fully from moratorium protection, and it is entirely appropriate to manage it with a less conservative but scientifically justified harvest strategy. Another U.S. proposal of note provides a draft NAFO resolution on sea turtles. This proposal seeks to respond to a recent communication from the sea turtle conservation community requesting that NAFO implement the FAO Guidelines to Reduce Sea Turtle Mortality in Fishing Operations. The U.S. draft resolution commits NAFO to this (to the extent possible) and calls for a compilation of information on sea turtle interactions in NAFO fisheries and the types of mitigation measures that may be currently in place among NAFO Contracting Parties. We join others in welcoming WWF-Canada as an observer. We believe NGOs have an important role to play in the NAFO process, and we are glad that the rules of procedure developed a few years ago to facilitate this participation are being used. We look forward to a productive dialogue on these and many other issues in the coming week.

Thank you Mr. Chairman.

Annex 6. Opening Statement by the Representative of Denmark in respect of the Faroe Islands and Greenland

Mr Chairman, distinguished delegates and observers,

The Faroes and Greenland are pleased to be attending the 28th Annual Meeting of NAFO.

Reform is the key issue on our agenda this year. Considerable progress has been made during the two meetings we have had, most recently last week in the beautiful and relaxing surroundings of Oak Island here in Nova Scotia. We look forward to further discussions both in the Council and on the sidelines of this week's meeting on the unresolved issues. In such a process, however, nothing is agreed until everything is agreed. We are dealing with amendments to our very Convention, which, once approved in this body, will have to be approved in the respective parliaments of Greenland and the Faroes. So we need to allow ourselves the time needed to ensure that the major changes we adopt are consistent with our over-riding international commitments and political objectives, and that we have a strong consensus among all Parties. We believe this can in fact be achieved very soon.

The main priority for our delegation in the reform exercise has been to provide NAFO with a modern mandate to propose joint management measures that also take account of ecosystem considerations, as we have recently done within NEAFC. The aim of course is to improve and strengthen the very basis of our decisions and thus also optimise our utilisation of the resources. Ensuring the greatest possible benefits for both present and future generations is after all what we mean when we talk about sustainable fisheries.

When it comes to decision-making, in the light of our own experiences in this organisation in recent years, it will be no surprise to other delegations that we strongly support mechanisms that can help to strengthen the ability of this organisation to resolve outstanding issues in a fair and transparent way, on a multilateral basis, with a clear understanding of legitimate conflicting views and interests.

I must stress, however, that we do not share the view that the objection procedure *per se* undermines the effectiveness of regional fisheries management. Regional management can work and actually does work, and we all share the goal of making it stronger, where needed. What really undermines the effectiveness of regional fisheries cooperation is lack of political will, mistrust and bad faith. Since late 2004, for nearly 2 years, Canada has had its ports closed to all Faroese and Greenlandic vessels, due to our legitimate objection to the temporary division of 3L shrimp and our establishment of reasoned alternative measures. This unilateral, politically-motivated reaction – we would say over-reaction – by Canada, taken outside the context of this organisation and rarely mentioned inside it, prevents a balanced and constructive dialogue and is counter-productive to finding an appropriate multilateral solution to this unresolved issue within NAFO.

This aside, Mr Chairman, there are many other issues on our agenda this year to which we wish to make our contribution. These include on-going discussions on measures to combat IUU activities, where we support stronger coordination across the North Atlantic between NAFO and NEAFC. When it come to the details of our "Green Bible", the CEM, we also feel strongly that technical regulations with respect to specific fisheries should be consistent, adaptable and sensitive to the daily practicalities of our fisheries sectors, within the over all conservation and management framework in which they are implemented. Our delegation will be raising some specific issues in this regard in the Fisheries Commission.

Finally, on behalf of all members of our delegation, we once again look forward to working constructively with all delegations during this year's meeting.

Annex 7. Opening Statement by the Representative of France (in respect of St. Pierre et Miquelon)

Mister Chairman, delegates, ladies and gentlemen,

It is an honor and a pleasure for me to be here attending this NAFO meeting for the first time.

I would like to thank the Canadians authorities and the secretariat for providing us a high level of logistical support for this meeting.

Under your guidance chairman, significant progress has been achieved during the recent years, and I hope this meeting will be as fruitful as expected.

This year, as everyone knows, we have several important issues for consideration.

At this stage, I would like to formulate the following comments about those different issues.

The first concerns the reform of this organisation which has concentrated most of the attention of the contracting parties during the past 6 months.

Decided in the aftermath of the Saint John ministerial context, a consensus was found on the need to modernize quickly this organisation in order to get a better governance of this part of this Atlantic Ocean.

NAFO has for a long time played a leading role among the RFMO and we consider that this process is a way to preserve it.

The changes introduced in that new text are significant and it's probably true to say that this exercise was not an updating amendment and that if we decide to ratify these elements our organisation will work on new basis.

Under the efficient guidance of the chairman's working group, a common view was found on most of the issues this working group had to deal with. Still, there remain few items we will have to discuss about in order to find a balanced approach of this new text. As far as France is concerned, we will be of the view to keep the momentum on that issue and to proceed as fast as possible on those pending issues.

Among them, we consider as a priority to achieve the debate on decision making which aims at improving the efficiency with tool such as an ad hoc panel expert and in the same way preserve the sovereign right of one Party to object. The new mechanism strikes a balanced compromise between these two elements and we hope it could be finalised.

Concerning the work of the Commission it self, we share most of the views expressed in the chairman's text but we would also like to add some wordings about the allocation criteria regarding the contracting parties, and I insist on the plural, whose communities are primarily dependant on fishing for stocks in the NAFO area. Indeed, we consider it reflects the reality as it is now.

Regarding the debate about the budget, we do not see it as priority to be dealt with in the context of that reform, even if we can understand the concern raised by the US and we are ready to discuss it further if needed.

From a procedural point of view, given the substantial elements integrated in the text, the French authorities will have to go through a long ratification procedure with Parliament debate. Nevertheless, given the significant changes introduced, we consider as useful to apply at least some of these elements on a voluntary basis as far as possible.

Regarding, the rest of the issues which will be discussed during these 5 days to come, we have some concerns about the state of the Greenland halibut stock and we will have to start thinking about some long term solutions to be implemented when the multi-annual management plan will be over.

On the other hand, the news is not too bad on some other stocks such as shrimp or yellow tail flounder and there probably room for manoeuvre in that regard.

Having said that, and as we stressed it before, we, like some others coastal state around the table are highly dependent on that fishing resources and will always consider as a priority to preserve a minimum allocation share even if small.

Last, we do not forget in the context of the discussions to come in the UN concerning bottom trawling, that NAFO as a responsible organisation must continue to progress on the issue of data collection and criteria which could be used in order to close some areas to some fishing gears. We would insist to conduct such an exercise on solid scientific elements.

So, France in respect of Saint-Pierre-et-Miquelon, with due regard to what I mentioned before, approaches its work in that important meeting with an open mind as regards to what will be the final outcomes.

Annex 8. Opening Statement by the Representative of the Republic of Korea

Mr. Chairman, Distinguished Delegates, Ladies and Gentlemen,

It is a great honor and pleasure for me to participate in this 28th Annual Meeting of the Northwest Atlantic Fisheries Organization, and to meet all of you here in this beautiful city of Dartmouth.

On behalf of Korean delegation, I would like to express our special gratitude to the government of Canada and the NAFO Secretariat for the devotion and endeavor in organizing and preparing this important meeting.

Mr. Chairman,

Let me take this opportunity to mention that the Republic of Korea is actively participating in global efforts to achieve sustainable fisheries as one of world's large-scaled fishery states in terms of both production and consumption.

In this regard, I am pleased to inform you that the Korean government has recently decided to ratify the UN Stock Agreement in an effort to further strengthen its responsibility and widen its role for the management and conservation of fisheries resources.

It is expected that the government's plan for the ratification of the agreement will be implemented through the approval of the National Assembly, hopefully, by the beginning of next year.

Korea has also actively involved in efforts for the conservation and management for fish stocks in the Northwest Atlantic in close cooperation with other NAFO member states since it joined the organization in 1993.

However, as you are well aware of, Korean fishing vessels could have not actually made any fishing activity in the NAFO waters up until now, due to the economically insufficient quota allocated to Korea.

Mr. Chairman,

It is Korean government's constant and rational view that all members of an organization should share the responsibilities and benefits of concerned membership.

In this regard, I would like to kindly request that the Korean fishing quota be favorably revised in due consideration of historical fishing records and actual contribution to the organization or other possible arrangements be made for joining fishing activity even in minimum scale.

Closing my remarks, Korea welcomes the initiative for organization's reform and hopes that the NAFO's mandate and function will be updated in conformity with other related international instruments for the conservation and management of fish stocks in efficient manner.

And we hope to see that good progress for the reform will be made during this meeting by elaborating more tangible and broadly accepted contents, and by fairly reflecting interests of each member states to achieve our eventual goal, the harmonization between conservation and use of resources, ensuring sustainable fisheries.

Thank you.

Annex 9. Opening Statement by the Representative of the Russian Federation

Mr. Chairman, distinguished delegates, ladies and gentlemen,

We all are witnesses and participants of one of the most important events in the history of NAFO. Problems accumulated for years have entailed the necessity to reform he Organization. Russia takes an active part from the very beginning of this not simple process having put forward a number of constructive proposals. By now, the most topical issues have been identified and mutual understanding between Contracting Parties on how to resolve many of them has been reached. This gives grounds for a sound optimism and strong belief in the success of the NAFO reform, which in the result will greatly contribute to the management of living marine resources in the Northwest Atlantic for the benefit of the present and future generations.

One more important issue I would like to touch upon is harmonization of mesh size regulations within and outside the 200-mile limit of the Canadian Zone in the target redfish fishery in Div.3O. The current mesh sizes used in the Canadian Zone, NEAFC Zone and NAFO Regulatory Area are 90 mm, 100 mm and 130 mm, respectively. Russian Federation is interested in harmonization of mesh size in all three aforementioned areas and one of the ways to do it is to establish a unified 90-100 mm mesh size in the target redfish fishery in Division 3O and Subareas 1-3. I am convinced that both scientific data available and just common sense evidence in favor of making such a decision.

In conclusion let me wish the 28th Annual Meeting of NAFO to attain a success and express a hope that decisions to be made during this meeting will be balanced and meet both mutual and national interests of all Contracting Parties.

Thank you for your attention.

Annex 10. Opening Statement by the Representative of Japan

Mr. Chairman, distinguished delegates and observers,

It is a pleasure for Japan to participate in this twenty-eight annual meeting of NAFO in Dartmouth and I wish to thank the Canadian government and the Secretariat for all the arrangements.

At the last year's annual meeting, the organization made an important decision for reform of NAFO. Japan appreciates the remarkable progress of discussion for the reform including the amendments of the Convention and support the direction the organization is heading since last year. Japan believes that, it is essential for NAFO to review and improve its objectives and functions to accommodate it to the modern standards and needs that the regional fisheries organization is required, including ecosystem approach, MCS measures in provided in the UN stock agreement, of which Japan became a Contracting Party just last month.

Many stocks managed by NAFO are still in low status now and Japan has only one vessel engaging in fishery in NAFO Convention area. Japan would like to express our commitment to the work for rebuilding those stocks, in particular Greenland halibut and strengthening monitoring and control for ensuring sustainable fisheries in NAFO Convention area as well as the fundamental review of the Organization.

Thank you.

Annex 11. Opening Statement by the Representative of Ukraine

Mr. Chairman, Distinguished Delegates, Ladies and Gentlemen,

It is a pleasure for the Ukrainian delegation to greet all the participants of the 28th Annual Meeting of NAFO. We are enjoying staying in Halifax and we would like to thank the Canadian Government and NAFO Secretariat for hosting the meeting.

The Ukrainian Party encourages all the processes aimed at modernizing the Organization in relation to the improvement of conservation and recovery of fish stocks, fair allocation of quotas, decision-making process and other important issues.

Our delegation is looking forward to working with the participants on the constructive and friendly basis and we wish success to the meeting.

Thank you

Annex 12. List of Actions and Agreed Decisions of General Council

Substantive Issue (Agenda Item)	Decision/Action		
Item 9	General Council clarified that partial payments will be treated as non-payment for the purpose of voting rights. Therefore, a Contracting Party that has not paid fully for two consecutive years loses its voting rights.		
Item 8	General Council agreed to incorporate STACFAC into STACTIC and changing the Rules of Procedure - GC WP 06/3		
Item 8	General Council agreed on a text for the decision-making procedures for the new Commission - GC WP 06/6		
Item 8	General Council agreed on a new text for Article VI – Paragraph 7 GC WP 06/7 (adopted)		
Item 8	Agreed on an intersessional meeting of the General Council regarding reform issues		

Annex 13. Agenda

I. Opening Procedure

- 1. Opening by the Chair, David Bevan (Canada)
- 2. Appointment of Rapporteur
- 3. Adoption of Agenda
- 4. Admission of Observers
- 5. Publicity
- 6. Guidance to STACFAD necessary for them to complete their work (Monday)
- 7. Guidance to STACFAC necessary for them to complete their work (Monday)

II. New Initiatives

- 8. Reform of NAFO
 - Report from the Working Group on NAFO Reform (Chair of the WG)
 - Assessment and Next Steps
 - Guidance to STACTIC necessary for them to define the priorities in order to strengthen the monitoring control, and surveillance (MCS) regimes

III. Supervision and Coordination of the Organizational, Administrative and other Internal Afffairs

- 9. Review of Membership of the General Council and Fisheries Commission
- 10. Administrative Report (STACFAD)
- 11. Progress Report of the Secretariat (by the Executive Secretary)
- 12. Review and Adoption of the New Contract for the Executive Secretary
- 13. Any matters regarding Staff Rules

IV. Coordination of External Affairs

- 14. Report of Executive Secretary on meetings of FIRMS and CWP
- 15. United Nations General Assembly
 - May 2006 Review Conference on the Agreement for the Implementation of the Provisions of the United Nations Convention on the Law of the Sea of 10 December 1982 relating to the Conservation and Management of Straddling Fish Stocks and Highly Migratory Fish Stocks
 - UNGA Resolution 59/25 on Sustainable Fisheries para 66

V. Fishing Activities in the Regulatory Area Adverse to the Objectives of the NAFO Convention

- 16. Consideration of non-Contracting Party activity in the NAFO Regulatory Area and agreement on the task of STACFAC at the current meeting
- 17. Report of STACFAC at the Annual Meeting and decisions on actions

VI. Finance

- 18. Report of STACFAD at the Annual Meeting
- 19. Adoption of the Budget and STACFAD recommendations for 2007

VII. Closing Procedure

- 20. Time and Place of Next Annual Meeting
- 21. Other Business
- 22. Press Release
- 23. Adjournment

Annex 14. FAO Statement to the 28th Annual Meeting of NAFO

Mr. Chairman, distinguished delegates and observers:

FAO is very grateful for the invitation extended by the Secretariat of the Northwest Atlantic Fisheries Organization (NAFO), to observe Twenty-eighth Annual Meeting. FAO has been keeping a close and effective working relationship with NAFO and desires to continue such collaboration.

FAO appreciates, in particular, the cooperativeness of the NAFO Secretariat in responding to FAO's periodic requests for information relating to NAFO's activities. Such collaboration greatly assists FAO in meeting its global fisheries reporting responsibilities.

The important role of regional fishery bodies (RFBs) in contributing to ensure sustainable and responsible fisheries management all over the world has been increasingly recognized. The Twenty-sixth Session of the FAO Committee on Fisheries (COFI) and the Ministerial Meeting on Fisheries held in March 2005 in Rome underscored the importance of RFBs, in particular, Regional Fisheries Management Organizations (RFMOs) and expressed their expectation that these organizations would take the lead as we move toward more responsible fisheries. The Fourth Meeting of Regional Fishery Bodies held just after the COFI also reconfirmed the important role of RFBs in sustainable fisheries. We are now in the mid of preparation for the next session of COFI and the meeting among Secretariats of RFBs both scheduled to be held in March 2007 and expect active participation of NAFO in those meetings as it has done so far.

RFMOs were recognized as the primary mechanism for international cooperation in conserving and managing straddling fish stocks and highly migratory fish stocks during the Review Conference on the UN Fish Stock Agreement held in May 2006. It was widely agreed that the improvement of regional organizations' functioning and alignment of their conventions and adopted measures with the Agreement's standards should be a priority. The implementation of precautionary and ecosystem-based approaches to fisheries management and strengthening of monitoring, control and surveillance regimes were underscored among others in order to modernize RFMOs. The need for performance reviews based on transparent criteria was also underscored. NAFO was listed as one of RFMOs undertaking comprehensive reviews with respect to the provisions of the Agreement.

There are significant diversity existing among RFBs. Some do not have mandate to manage fisheries. Some are new and need more experiences of collaborative and collective regional fishery governance. There are a couple of initiatives going on in establishing new RFMOs. Among those RFBs, NAFO is one of the world's leading RFMOs having a long history and much experience in the management of sustainable fisheries and expected, as a model for other RFMOs, to continue playing a significant role in regional action to secure sustainable and more responsible fisheries management in the Northwest Atlantic Ocean.

Mr. Chairman,

I will carefully observe the proceedings of this meeting and report back to the management of FAO's Fisheries Department.

Let me conclude, Mr Chairman, by saying that I bring to the meeting greetings from FAO's Assistant Director-General for Fisheries, Mr Ichiro Nomura. He wishes the meeting's every success in its deliberations.

Thank you very much, Mr. Chairman, for the opportunity to make this statement on behalf of FAO.

Annex 15. Closing Remarks by the WWF Observer

Thank you Mr. Chairman and again WWF extends appreciation to NAFO and the Contracting Parties for welcoming us as observers of your Annual Meeting.

We would like to acknowledge the interventions by select Contracting Parties in not only welcoming WWF to this Annual Meeting, but also in recognizing the important contributions a global conservation organization such as WWF can make to the NAFO process - now and in future. I personally wish to acknowledge that select Heads of Delegation have taken the time during this Annual Meeting to speak with me directly about WWF and our continuing role in this regard. These exchanges have been welcome, constructive – and very candid.

With a view to long-term participation in the NAFO process - it is in this same spirit of candor and constructiveness that WWF will offer a few reflections on what we have observed during your deliberations this week.

On NAFO Reform:

The intense effort and investment many have committed to this important initiative is not lost on WWF – and we are pleased to broadly - yet cautiously - welcome the package of reform measures. We congratulate NAFO on strengthening its Convention in key areas –including commitment to ecosystem considerations. However some concerns remain.

Nonetheless - It is our hope for NAFO that the measures you have agreed to will prove effective in time when tested – as they surely will be.

On WWFs expectations for reform and this Annual Meeting

At the WWF hosted reception Monday night – delegates will recall that we outlined some of our key measures of success for NAFO which we fully expected progress to be made against during this annual meeting. These included:

- Commitment to recovery planning for all stocks under moratoria;
- Commitment to significantly reducing bycatch;
- Commitment to protect sensitive habitats such as seamounts and corals.

On the first point, as indicated in Fisheries Commission Working Paper 06/22, we are encouraged by the request of the Fisheries Commission to Scientific Council on informing how to rebuild stocks outside of safe biological limits.

However, NAFO has not yet fully met expectations under the second point; namely bycatch reduction. We view this as a set back to your reform process particularly in reference to your revised Objective of long term conservation and sustainable use of fishery resources. However, we can but look to the future and to WWF's role in continuing to monitor and, where possible, influence NAFO onto a more sustainable path in this regard.

Yet we are very encouraged at progress made on protecting critical habitat such as seamounts and corals in the NW Atlantic - and acknowledge the leadership demonstrated by Canada in tabling this proposal – and to the Contracting Parties in agreeing in principle to its implementation.

While we remain somewhat concerned over the detail in this proposal, including allowing for exploratory fishing on such critical habitat, we are encouraged by these important first steps. We hope that in the process of refinement that will follow, that the strengths of the proposal are not negotiated or defined out.

I look forward to reporting back to colleagues in the global WWF network, whom I have been privileged to represent this week. I will report both on NAFO's success during this Annual Meeting, as well as its setbacks.

It is our hope that WWF can also look forward to being part of the NAFO process in future.

Thank you.

Annex 16. 2006 Annual Meeting Press Release

NAFO Reform in Full Swing

DARTMOUTH, NS, CANADA – The Northwest Atlantic Fisheries Organization (NAFO) made great progress with its ground-breaking reform agenda during the annual meeting from 18-22 September 2006. About 200 delegates from 12 member countries were able to resolve a number of issues related to an integrated oceans management approach, strengthened decision-making processes and improved control of the fisheries in the international waters of the Northwest Atlantic.

NAFO Moving Towards an Ecosystem Approach

NAFO is committed to an ecosystem approach to fisheries management which will be reflected in the Convention. The new provisions include an expansion of NAFO's mandate to minimize harmful impact on living marine resources and marine ecosystems, and that preserve marine biological diversity.

NAFO Toughens Monitoring, Control and Surveillance

NAFO adopted measures to strengthen the follow-up of infringements and to ensure the application of sanctions against vessels committing serious infringements. Other important provisions restrict port access for vessels that engage in illegal, unreported and unregulated (IUU) fishing. These measures go hand in hand with the new blacklist for IUU vessels that NAFO will publish for the first time on its website.

NAFO Will Improve Decision-Making

NAFO is developing an improved decision making process within the Organization. A new objection procedure is being considered to place extra burden on individual parties that do not want to implement Commission decisions. The planned provisions foresee a mechanism for impartial review panels and dispute settlement procedures.

NAFO Undertakes Important Efforts to Protect Seamounts

NAFO takes an important first step to protect sensitive deepwater habitats such as seamounts and corals. Delegates decided to impose a ban on bottom trawling on seamounts in the Northwest Atlantic. In addition, Scientific Council has been requested to assess corals in the NAFO Convention Area which will allow their future protection.

NAFO Adopts a Resolution to Reduce Sea Turtle Mortality

NAFO adopted a resolution that will contribute to the protection of sea turtles and expand our knowledge of these vulnerable animals in the Northwest Atlantic. Measures in this regard will include reducing the bycatch of sea turtles in fishing operations, extensive scientific data collection programmes, and the sharing of data with other international organizations.

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Additional highlights of the meeting can be found in the attached backgrounder.

For more information contact: Barbara Marshall, NAFO Secretariat www.nafo.int

Tel: +1-902-468 8598 Email: bmarshall@nafo.int

2006 Annual Meeting (18 – 22 September 2006)

Backgrounder

France (in respect of St. Pierre et Miquelon) celebrated the 10th Anniversary of joining NAFO and invited all to a beautiful reception on Wednesday evening. During this occasion the Préfet de Saint-Pierre et Miquelon, Monsieur Yves Fauquer, addressed NAFO delegates, stressing the importance of fishery resources for the population of Saint Pierre et Miquelon.

The **General Council** was chaired by David Bevan (Canada). It discussed the new Convention text and reviewed administrative matters.

Under the chairmanship of Antonio Vázquez (EU) the **Scientific Council** conducted assessments of fish stocks in the NAFO Convention Area during its three meetings over the last 12 months and reported its recommendations to Fisheries Commission. Highlights included that shrimp stocks continue to be strong in the Northwest Atlantic together with yellowtail flounder that has been on a recovery path since 1997. Thorny skate biomass continues to be stable but at a lower level than during the mid-1990s. On the other hand, many other stocks are at low levels and the Scientific Council had to advise that a moratorium for nine stocks needed to be maintained.

The Scientific Council noted a continuous warming of the sea in the Northwest Atlantic since the mid-1990s.

The meeting was preceded by an international **Symposium entitled "Environmental and Ecosystem Histories in the Northwest Atlantic – What Influences Living Marine Resources?"** 58 participants reviewed climatic, physical and biological factors, dynamics and comparisons of the ecosystems, as well as social and economic consequences of change throughout the Northwest Atlantic area.

The next NAFO symposium will be held in October 2007 and is entitled "Reproductive and Recruitment Processes in Exploited Marine Fish Stocks". The objective of the symposium is to review the reproduction, early life history, and recruitment in exploited fish. It will take place in Lisbon, Portugal after the Annual Meeting 2007.

NAFO Fisheries Commission, chaired by Vladimir Shibanov (Russian Federation) discussed and adopted a number of fishery measures for the NAFO Regulatory Area. Attached is the table of Total Allowable Catches (TAC) and quotas agreed at this session.

Meetings

Prior to the Annual Meeting, the following NAFO meetings were held during 2006: (1) Working Group on Reform (Montreal, Canada, 25-28 April); (2) Scientific Council Regular Meeting (Dartmouth, N.S., Canada, 1-15 June); (3) Standing Committee on International Control (STACTIC) (Copenhagen, Denmark, 12-15 June). (4) Working Group on Reform (Lunenburg County, NS, Canada, 12-15 September).

The meeting was attended by about 200 delegates from twelve Contracting Parties - Canada, Cuba, Denmark (in respect of Faroe Islands and Greenland), European Union, France (in respect of St. Pierre et Miquelon), Iceland, Japan, Republic of Korea, Norway, Russia, Ukraine and United States of America.

NAFO Executive Secretary

22 September 2006, Dartmouth, NS, Canada

For more information contact: Barbara Marshall, NAFO Secretariat www.nafo.int

Tel: +1-902-468 8598 Email: bmarshall@nafo.int

CEM Annex I.A. Annual Quota Table

QUOTA TABLE. Total allowable catches (TACs) and quotas (metric tons) for 2007 of particular stocks in Subareas 1-4 of the NAFO Convention Area. The values listed include quantities to be taken both inside and outside the 200-mile fishing zone, where applicable.

Species		Cod				Redfish		American plaice	n plaice	Yellowtail	ΙM	Witch
Division/Contracting	3L	3M	3NO	3LN	3M	30	Sub-Area 2	3LNO	3M	3LNO	3F	3NO
Party							and Div. 1F+3K					
Canada		0	0	0	200	0009	$520^{2,4}$	0	0	15112 ⁵		0
Cuba		0	-	0	1750		$520^{2,4}$	1	-	-		Ī
Denmark (Faroe Islands and Greenland)		0	1	1	69		13010 ^{2,3}	ı	1			1
European Union		011	011	011	7813 ¹²	2000	13010 ^{2.3} 3383 ^{2,15}	0	011	1		011
France (St. Pierre et Miquelon)		-	-	-	69		520 ^{2,4}	1	-	310 ⁵		-
Iceland		-	-	-	-		13010 ^{2,3}	ı	-	-		ı
Japan		-	-	ı	400	150	$520^{2,4}$	1	-	-		Ī
Korea		-	-	ı	69	100	$520^{2,4}$	1	-	-		Ī
Norway		0	1	1	-		13010 ^{2,3}	ı	1	1		ı
Russia		0	0	0	9137	0290	13010 ^{2,3}	ı	0	1		0
Ukraine						150	$520^{2,4}$					
United States of America		-	ı	-	69		520 ^{2,4}	ı	-	-		-
Others		0	0	0	124	100	-	0	0	78 ⁵		0
TOTAL ALLOWABLE CATCH	*	*16	*	*	5000 ⁸	20000	16914 ^{10,17}	*	*16	15500 ⁹	*	*16

Species	White hake	Capelin	Skates	Greenland halibut	Squid (Illex) ¹	Shrimp	du
Division/Contracting Party	3NO	3NO	3LNO	3LMNO	Sub-areas 3+4	3L	3NO
Canada	2500	0	2250	1778	N.S. ⁶	18325	
Cuba		0		-	510	245	
Denmark (Faroe Islands and Greenland)		1		206	1	245	
European Union	2000	011	8500	6951 ¹⁸	N.S. ⁶ 611 ¹³	1225 ¹⁴	
France (St. Pierre et Miquelon)		1		194	453	245	
Iceland		ı		-	-	245	
Japan		0		1215	510	245	
Korea		1		1	453	245	
Norway		0		1	-	245	
Russia	500	0	2250	1512	749	245	
Ukraine						245	
United States of America		-		•	453	245	
Others	500	-	500	07	794	0	
TOTAL ALLOWABLE CATCH	8500	*	13500	11856	34000	22000	*

Ban on fishing in force – The provisions of Article 9, paragraph 3 shall apply.

Any quota listed for squid may be increased by a transfer from any "coastal state" as defined in Article 1, paragraph 3 of the NAFO Convention, provided that the TAC for squid is not exceeded.

Transfers made to Contracting Parties conducting fisheries for squid in the Regulatory Area shall be reported to the Executive Secretary, and the report shall be made as promptly as possible.

reported catch reaches 50%, after which time weekly notification shall apply. The Executive Secretary shall notify without delay all Contracting Parties The Contracting Parties shall notify the Executive Secretary every second week of catches taken by its vessels from this allocation until accumulated the dates on which accumulated reported catch taken by vessels of Contracting Parties estimated equal to 50% and then 100% of that allocation. ς;

Quota to be shared by vessels from Denmark (Greenland and Faroe Islands), European Union, Iceland, Norway and Russia. Catches in the NAFO Convention Area shall be deducted from the quotas allocated in the NEAFC Convention Area. ω.

- Quota to be shared by vessels from Canada, Cuba, France (St. Pierre et Miquelon), Japan, Korea, Ukraine and USA. 4.
- Contracting Parties shall inform the Executive Secretary before 1 December 2006 of the measures to be taken to ensure that total catches do not exceed S.
- The allocation to these Contracting Parties are as yet undetermined, although their sum shall not exceed the difference between the total of allocations to other Contracting Parties and the TAC (= 29.458 tons) 9
- will be allocated to an Others quota which can be accessed by those who do not hold Greenland halibut allocation. In deciding the relevant contributions In 2005, the previous 935 t "Others" quota was assigned to three Contracting Parties. When the TAC exceeds 30,000 t the next 1,300 t beyond 30,000 of Contracting Parties to the 1300 t Others quota, the Fisheries Commission will take into account the fact that some Contracting Parties received a benefit from the 935 t quota which was reassigned in 2005.
 - Each Contracting Party shall notify the Executive Secretary every second week of catches taken by its vessels from this stock until accumulated reported catch reaches 50%, after which time weekly notification shall apply. Not more than 2500 tons may be fished before July 1, 2007. The Executive Secretary shall notify without delay all Contracting Parties of the date on which, for this stock, accumulated reported catch taken by vessels of the Contracting Parties is estimated to equal 50% and then 100% of the TAC. ∞.
 - The provisions of Article 9, paragraph 1.b) of the Conservation and Enforcement Measures shall apply. 6
- In the case of the NEAFC decision which modifies the level of TAC in 2007 as compared with 2006, these figures shall be accordingly adjusted by NAFO and formalized through a mail vote.
- Including fishing entitlements of Estonia, Latvia, and Lithuania following their accession to the European Union and in accordance with sharing arrangements of the former USSR quota adopted by the Fisheries Commission at its Annual Meeting in 2003 (FC Working Paper 03/7) Ξ
- Including allocations of 1571 tonnes each for Estonia, Latvia and Lithuania out of a sharing of 20,000 tonnes, following their accession to the European 15.
- Allocations of 128 tonnes each for Estonia, Latvia and Lithuania as well as 227 tonnes for Poland out of a TAC of 34,000 tonnes, following their accession to the EuropeanUnion. 13.
- Including allocations of 245 tonnes each for Estonia, Latvia, Lithuania and Poland out of a TAC of 22000 tonnes, following their accession to the 12.
 - Allocation of 3019 tonnes for Lithuania and 364 tonnes to Latvia following their accession to the European Union.
 - Applicable to 2007 and 2008. 16.

4.

- The quota shares in footnotes 4 and 15 can only be fished in the NAFO Regulatory Area. If an increase in the overall TAC as defined in footnote 10 leads to an increase in these shares, the first 500 tonnes of that increase shall be added to the quota share referred to in footnote 4.
 - Including an allocation of 389 tonnes for Estonia, Latvia, and Lithuania following their accession to the European Union.

CEM Annex I.B Effort Allocation Scheme for Shrimp Fishery in the NAFO Regulatory Area Div. 3M, 2007

CONTRACTING PARTY	Number of fishing days	Number of vessels
Canada	456	16
Cuba	100	1
Denmark		
- Faroe Islands	1606	8
- Greenland	515	14
European Union	32931	331
France (in respect of St Pierre et Miquelon)	100	1
Iceland	N/A	N/A
Japan	100	1
Korea	100	1
Norway	1985	32
Russia	2100	N/A
Ukraine	100	1
USA	100	1

¹ Including fishing entitlements transferred from Poland (100 fishing days with one vessel), Estonia (1667 fishing days with 8 vessels), Latvia (490 fishing days with 4 vessels) and Lithuania (579 fishing days with 7 vessels) following their accession to the European Union.

CEM Annex I.C Rebuilding Plan for 3LMNO Greenland Halibut

Species	Greenland halibut	Greenland halibut	Greenland halibut	Greenland halibut
Division/ Contracting Party	3LMNO	3LMNO	3LMNO	3LMNO
	2004	2005	2006	2007
Canada	2223	2112	2056	1778
Cuba	-	-	-	-
Denmark (Faroe Islands and Greenland)	-	244	238	206
European Union	8203	8254 ³	8038 ⁴	6951 ⁵
France (St Pierre et Miquelon)	-	230	224	194
Iceland	-	-	-	-
Japan	1519	1443	1405	1215
Korea	-			
Norway	-		-	-
Russia	1890	1796	1748	1512
Ukraine	-	-	-	-
United States of America	-	-	-	-
Others	985 ¹	0 ²	0 ²	0 ²
TOTAL ALLOWABLE CATCH	14820	14079	13709	11856

¹ Of which no more than 60% may be fished before 1 May in each year.

² In 2005, the previous 935 t "Others" quota was assigned to three Contracting Parties. When the TAC exceeds 30,000 t the next 1,300 t beyond 30,000 will be allocated to an Others quota which can be accessed by those who do not hold Greenland halibut allocation. In deciding the relevant contributions of Contracting Parties to the 1300 t Others quota, the Fisheries Commission will take into account the fact that some Contracting Parties received a benefit from the 935 t quota which was reassigned in 2005.

³ Including an allocation of 461 tonnes for Estonia, Latvia and Lithuania following their accession to the European Union.

⁴ Including an allocation of 450 tonnes for Estonia, Latvia and Lithuania following their accession to the European Union.

⁵ Including an allocation of 389 tonnes for Estonia, Latvia and Lithuania following their accession to the European Union.

Annex 17. Article XIV – Implementation of Commission Decisions (GC W.P. 06/6)

(changes are either underlined or in bold)

Article XIV - Implementation of Commission Decisions

- 1. Each proposal adopted by the Commission pursuant to Article VI shall become binding on the Contracting Parties in the following manner:
- a) the Executive Secretary shall within five working days transmit each proposal to all Contracting Parties, specifying the date of transmittal for the purposes of paragraph 2.
- b) Subject to the provisions of paragraph 2, the proposal shall become binding upon all Contracting Parties sixty days following the date of transmittal pursuant to subparagraph a) unless otherwise specified in the proposal.
- 2. If any Contracting Party presents to the Executive Secretary an objection to a proposal within sixty days of the date of transmittal specified in the notification of the measure by the Executive Secretary, any other Contracting Party may similarly object prior to the expiration of the additional twenty day period, or within fifteen days after the date of transmittal specified in the notification to the Contracting Parties of any objection presented within that additional twenty-day period, whichever shall be the later. The proposal shall then become a binding measure on all Contracting Parties, except those which have presented objections, at the end of the extended period or periods for objecting. If, however, at the end of such extended period or periods, objections have been presented and maintained by a majority of Contracting Parties, the proposal shall not become a binding measure, unless any or all of the Contracting Parties nevertheless agree as among themselves to be bound by it on an agreed date.
- 3. Any Contracting Party which has objected to a proposal may at any time withdraw that objection and the proposal shall then become a binding measure on such a Contracting Party.
- 4. At any time after the expiration of one year from the date on which a measure enters into force, any Contracting Party may give to the Executive Secretary notice of its intention not to be bound by the measure, and, if that notice is not withdrawn, the measure shall cease to be binding on that Contracting Party at the end of one year from the date of receipt of the notice by the Executive Secretary. At any time after a measure has ceased to be binding on a Contracting Party under this paragraph, the measure shall cease to be binding on any other Contracting Party upon the date a notice of its intention not to be bound is received by the Executive Secretary.
- 5. Any Contracting Party which has presented an objection to a proposal under paragraph 2 or given notice of its intention not to be bound by a measure under paragraph 4 shall at the same time provide an explanation for its reasons for taking this action. This explanation shall specify whether the reasons for taking this action is that the Contracting Party considers that the proposal or measure is inconsistent with the provisions of this Convention, or that the proposal or measure unjustifiably discriminates in form or in fact against it. The explanation shall also include a declaration of its intentions following the objection or notice, including a description of the alternative measures it intends to take or has taken for the conservation, management and control of the relevant fishery resources in accordance with Article II.
- 6. The Executive Secretary shall immediately notify each Contracting Party of:
- a) the receipt of each objection and withdrawal of objection under paragraphs 2 and 3;
- b) the date on which any proposal becomes a binding measure under the provisions of paragraph 1;
- c) the receipt of each notice under paragraph 4; and
- d) each explanation and description of alternative measures received under paragraph 5
- 7. In the event that any Contracting Party invokes the procedure set out in paragraphs 2, 4 and 5, it may at the same time request that an ad hoc expert Panel be convened in accordance with Annex II.

- 8. Should an ad hoc expert Panel not be requested pursuant to paragraph 7, the Commission shall decide, on the basis of a majority mail vote, whether to convene an ad hoc expert Panel in accordance with Annex II.
- 9. Where, pursuant to paragraph 8, the Commission decides not to convene an ad hoc Panel, any Contracting Party may request a meeting of the Commission to review the proposal or measure of the Commission and the alternative measures.
- 10. Any ad hoc expert Panel established pursuant to paragraph 7 or 8 shall review the alternative measures and the proposal or measure of the Commission and make recommendations to the Commission on:
- (a) whether the alternative measures proposed in paragraph 5 are in accordance with Article II and preserve the rights of all Contracting Parties, and
- (b) whether the explanation pursuant to paragraph 5 is well founded, and whether the proposal or measure of the Commission should be **maintained**, modified, **or** amended, **and if so, how, or maintained** or revoked.
- 11. <u>Thirty days following</u> the procedures set out in paragraph <u>10</u>, the Commission shall meet, to consider the recommendations of the ad hoc panel.
- 12. Where the procedures set out in paragraphs 7 to 11 have been concluded, any Contracting Party may invoke the dispute settlement procedures set out in Article XV.

Article XV

5. If the Contracting Parties to the dispute do not agree to any other peaceful means to resolve a dispute, or no settlement has been reached by recourse to these means, the dispute shall be referred, if one of the Contracting Parties to the dispute so requests, to binding dispute settlement procedures set out in Part XV of the 1982 Convention or Part VIII of the 1995 Agreement.

If binding dispute settlement procedures are invoked in accordance with this paragraph, the Contracting Parties to the dispute, unless they agree otherwise, shall apply provisionally any recommendation made by the ad hoc panel pursuant to paragraph 3, or, where applicable, Article XIV (10). Such provisional application of the panel's recommendation shall cease when the Contracting Parties to the dispute agree on arrangements of equivalent effect, when a court or tribunal to which the dispute has been referred has prescribed provisional measures or made a final determination or, in any case, at the date of expiration, if applicable, of the proposal of the Commission.

Addition to Annex II

11. In relation to the ad hoc Panel established pursuant to Article XIV, paragraphs 7 and 8, the Parties shall be deemed to be the Commission and the objecting Contracting Party and the provisions of this Annex shall apply, with the exception of paragraphs 3 and 4(e).

Annex 18. Amendment to Article VI – Paragraph 7

(GC W.P. 06/7)

Article VI – Paragraph 7

Proposals adopted by the Commission for the allocation of fishing opportunities in the Regulatory Area shall take into account the interests of Contracting Parties whose vessels have traditionally fished within that Area and <u>the interest of the relevant Coastal States</u>. In the allocation of catches from the Grand Bank and Flemish Cap, the Commission shall give special consideration to the Contracting Party whose coastal communities are primarily dependent on fishing activities for stocks related to these fishing banks and which has undertaken extensive efforts to ensure the conservation of such stocks through international action, in particular, by providing surveillance and inspection of international fisheries on these banks under an international scheme of joint enforcement.