

Northwest Atlantic



Fisheries Organization

Serial No. N2619

NAFO SCR Doc. 95/97

SCIENTIFIC COUNCIL MEETING - SEPTEMBER 1995
Symposium - The Role of Marine Mammals in the Ecosystem

Do Minke Whales (*Balaenoptera acutorostrata*)
Exhibit Particular Prey Preferences?

by

Hans Julius Skaug¹, Harald Gjøsæter², Tore Haug³, Ulf Lindstrøm⁴, and Kjell T. Nilssen³

¹Norwegian Computing Center, P. O. Box 114
Blindern, N-0314 Oslo, Norway

²Institute of Marine Research, P. O. Box 1870
Nordnes, N-5024 Bergen, Norway

³Norwegian Institute of Fisheries and Aquaculture
P. O. Box 2511, N-9002 Tromsø, Norway

⁴Norwegian College of Fisheries Science, University of Tromsø
N-9037 Tromsø, Norway

Abstract

By comparing data from analyses of forestomach contents from 56 northeast Atlantic minke whales (*Balaenoptera acutorostrata*), caught in scientific whaling operations in coastal areas of North Norway and Russia in July-August 1992, with results from concurrent measurements of prey abundance, performed using trawls and acoustic devices, the following question was addressed: In an idealized situation where all actual prey species are available in equal amounts, do minke whales have a positive or negative preference for any particular species? Three different statistical methods (one qualitative, two quantitative), all relying on strong assumptions about whale behaviour and prey distribution, were applied to the data. The presented analyses support a view that minke whales are quite flexible in their choice of food, adapting well to local prey abundance situations with few, if any, strong preferences. Under idealized conditions, however, the whales may be more reluctant to feed upon plankton, mainly krill (*Thysanoessa* spp.), than upon any other prey items such as, e.g., herring (*Clupea harengus*) and capelin (*Mallotus villosus*). Absence of plankton patches in concentrations suitable for minke whale feeding in the surveyed area may have contributed to this possible negative preference even though the resource surveys showed that krill contributed significantly to the total available prey biomass.

Introduction

Recent attempts to analyse multispecies interactions and ecosystem functions in

Norwegian waters have actualized ecological studies of several top-predators. With an abundance, as estimated from data collected in 1989, of 75,600 animals (CV = 0.16, 95% CI 56,400-107,200; Schweder *et al.*, MS 1995), the minke whale (*Balaenoptera acutorostrata*) is an important predator in the northeast Atlantic. Its predatory role has therefore been studied quite thoroughly during the period 1992-1994 in a scientific whaling programme where particular questions concerning the feeding ecology of the species were addressed (Haug *et al.*, MS 1992, 1995a, b; in subm.).

The minke whale is a boreo-arctic species which, in the North Atlantic, migrates regularly to feeding areas in the far north in spring and early summer, and then returns southwards to breeding areas in the autumn (Jonsgård, 1966). In contrast to the rather stenophageous krill eating minke whales in the Antarctic (Kawamura, 1980; Bushuev, 1986; Ichii and Kato, 1991), the northeast Atlantic minke whales are euryphageous, feeding on a variety of prey items including both fish and crustaceans (Jonsgård, 1951; 1982; Nordøy and Blix, 1992; Haug *et al.*, 1995a, b, in subm.).

The 1992-1994 minke whale ecology studies have revealed considerable differences in whale diets between geographical subareas in Norwegian waters (Haug *et al.*, 1995a, b; in subm.). Capelin (*Mallotus villosus*) and krill (*Thysanoessa* sp.) dominate in the northmost areas. Further south, in coastal waters of North Norway and Russia, herring (*Clupea harengus*) was the major prey species, accompanied by considerable amounts of sand eels (*Ammodytes* sp.) and gadoid fish species.

The minke whale appears to have a flexible feeding pattern and can adapt to local prey availability situations. If, however, all prey species were equally available: does the minke whale prefer any particular species? Since parts of the recent ecological studies of minke whale diets were accompanied by concurrent measurements of prey abundance one can attempt to answer this question with the application of statistical methods. This is done in this paper.

Material and Methods

Sampling of whales

An important goal of the scientific whaling is to obtain samples representative for each area, with all whales present in an area having the same probability of being caught. This calls for a procedure of random sampling that ensures geographical scattering within each area and avoids preference for any particular size, sex, behaviour or other attribute (Haug *et al.* MS 1992). To obtain this randomization, a sampling procedure of searching for whales along predetermined transects, randomly laid out in each area, was used. In addition, when a whale was observed during the search, an all-out attempt

was made to catch that particular whale. The transects were designed in saw-tooth patterns, mainly according to the principles used during the previous shipboard sightings surveys NASS-89 (Øien, 1991). In order to make the searching operations as efficient as possible, a certain amount of freedom was given to modify transect lines during the course of operation, taking into account factors such as ice-cover, weather conditions and observations of minke whale abundances.

Chartered whaling vessels, fitted for whaling operations with crew and equipment as outlined by Christensen and Øien (1990) and in agreement with new regulations enforced by the Directorate of Fisheries in Norway, were used to catch the whales. The primary weapons used to kill minke whales in the Norwegian small-type whaling are 50mm and 60mm harpoon guns fitted with grenade harpoons, equipped with 22g penthrite grenades (Øien, 1995). Dead whales were immediately taken aboard the vessel for dissection and biological sampling. Stomach content data used in our analyses were obtained from 56 animals caught in three subareas on the coast of Norway (Lofoten/Vesterålen and Finnmark) and Russia (Kola) in 1992 (Fig. 1).

Analyses of minke whale stomachs

The complete digestive tract was taken out of the whale as soon as possible (1-3 hours *post mortem*). A minke whale stomach consists of a series of four chambers (Olsen *et al.*, 1994), and pilot studies performed during the scientific whaling in 1988-1990 suggested that sampling from the first chamber (the forestomach) would give sufficient data to evaluate the diet of the animals (Nordøy and Blix, 1992). Therefore, only contents from this stomach chamber was used in the present analyses. The onboard and laboratory treatment of the forestomach contents were as described in detail by Haug *et al.* (1995a).

Otoliths were collected and identified to the lowest possible taxon (Breiby, 1985; Härkönen, 1986). The total number of each fish species was determined by adding the number of fresh specimens, the number of intact skulls and half the number of free otoliths. Random subsamples of otoliths were measured, and otolith length - fish length/weight correlations were used to estimate the original fish weight. Erosion of otoliths, which is a problem in studies of seal stomachs (Pierce and Boyle, 1991), is not considered a problem in these minke whale diet studies as the analyses were restricted to the contents in the fore-stomach where digestive glands are completely absent and no gastric acids are produced (Olsen *et al.*, 1994).

For crustaceans the total weight and the number of individuals was recorded for each species in subsamples, and this was used to obtain crude estimates of the numerical contribution of each prey species. Known mean weights of fresh crustaceans were used to obtain crude estimates of the original biomass of the crustaceans eaten by the minke whales.

Several feeding indices are commonly used in stomach analyses of top predators (Hyslop, 1980; Pierce and Boyle, 1991). In this presentation, only the relative contribution of each prey species to the total diet expressed in terms of calculated fresh weight, was used.

Estimation of prey abundance

The marine resources in the three sampling subareas were surveyed using a research vessel (R/V *Johan Ruud*) during the period 11-20 July. R/V *Johan Ruud* carried out an acoustic survey using standard methods (Foote, MS 1991), where a Simrad EK 500 scientific echo sounder (Bodholt *et al.*, 1989) and a BEI post-processing system (Foote *et al.*, 1991) were used. A minimum acoustic threshold of -88 dB SV was applied to measure the abundance of larger zooplankton acoustically. The partitioning of the acoustic data and allocation of these to species were carried out on the basis of the acoustic character of each species and the results of trawl surveys. Both pelagic and demersal trawls were used to sample the observed scatters.

The standard echo integration method, described in detail by MacLennan and Simmonds (1992), was used to estimate the relative abundance of the most common prey species in the areas. The acoustic parameter measured by the echo integrator is the area backscattering coefficient:

$$s_A = 4 \pi (1852)^2 \int_{z_1}^{z_2} s_v dz$$

which is the integral of the volume backscattering coefficient, s_v , within the depth layer z_1 to z_2 , normalized to square nautical miles, with unit m^2/nm^2 . When the echo sounder and integrator are calibrated, as here, using standard targets (see Foote *et al.*, 1987), the area backscattering coefficient is an absolute, acoustic linear unit, proportional to fish (and plankton) area density. The proportionality factor $\bar{\sigma}$ (mean echo ability) is

$$\bar{\sigma} = 4 \pi \cdot 10^{0.1 \cdot \overline{TS}}$$

where \overline{TS} is the mean target strength of the scattering organisms. The target strength (and therefore σ) varies between species, and will also vary with body length in fish species according to the relation

$$TS = A + B \log L,$$

where L is fish length and A and B are species specific constants. All A and B values (except those for capelin) were taken from MacLennan and Simmonds (1992). The capelin values used ($A = -74$, $B = 19.1$) are developed at the Institute of Marine Research, Bergen.

Consequently, the length composition of each of the fish scatterers is used to convert

from s_A to fish density in numbers. To calculate biomass, the mean weights of each fish species are used. For plankton organisms the target strength is normally considered directly related to biomass, and density may be calculated directly from the s_A -values when the TS/biomass relation is known. The calculated biomass per nautical mile and 50 m depth channel was averaged over 5 nautical miles, and distributed on the following groups of targets: 0-group fish, plankton, cod (*Gadus morhua*) + haddock (*Malanogrammus aeglefinus*), herring, capelin, other pelagic fish, and other demersal fish.

Bad weather hampered the resource surveys and resulted in a less than perfect coverage in some of the areas. The results should, however, give reliable information on the typical distribution and density of species.

Statistical methods

Three different statistical methods for making inference about the feeding preferences of the whale are presented. All three methods rely on strong, though different, assumptions about the behavior of the whale and the distribution of the prey resources. The considerations leading to these assumptions are subjective, and it is not claimed that the assumptions are satisfied exactly. That the models are based on different, and sometimes contradictory, assumptions should not confuse the analysis, but rather shed light upon the problem from different angles.

Notation

Consider k different prey species A_1, \dots, A_k as potential feed for minke whales, and let d_1, \dots, d_k be the corresponding prey densities close to a randomly chosen whale. As proposed in Haug *et al.* (MS 1992) the preference for the different species can be measured by the feeding probabilities

$$(1) \quad \Pr(A_i \text{ is chosen} \mid d_1, \dots, d_k), \quad i=1, \dots, k.$$

If data from n whale stomachs is available, accompanied by concurrent measurements of d_1, \dots, d_k , these probabilities can be estimated by regression methods. However, when prey densities are not known locally, but only on an aggregated level, other measures of preference must be considered.

We will compare the preferences for only two prey species at the time. However, by considering all such pairs of species it is assumed that we can get a relatively consistent picture of the total preference pattern of the whale. For simplicity denote the two prey species by A_1 and A_2 , and let y_1 and y_2 be the total amount of A_1 and A_2 in the sea area of sampling. The relative amount of A_1 is defined as

$$(2) \quad s = \frac{y_1}{y_1 + y_2}.$$

Assumptions

The statistical methods are based on the following assumptions:

- (3) i) s is known exactly.
- ii) s is constant throughout the period of sampling.
- iii) The contents of the different whale stomachs might be considered as statistically independent, given s .

The semirandomized sampling scheme for catching of whales ensures that iii) is satisfied. The validity of i) and ii) must be discussed for each particular data set.

The sensitivity of the results with respect to a failure of i) and ii) is investigated in the section "Robustified model".

Method 1

This is a qualitative method, aimed to compare prey fractions in minke whale stomachs to prey fractions in the ocean. Formally we want to test the hypothesis

H: There is no prey preference

versus the two alternatives: A_1 is preferred more than A_2 , and vice versa. A simple binomial test for the hypothesis H is constructed. The idea is that if the whale systematically seeks A_1 , the relative amount of A_1 in the stomach is likely to be larger than s . For an arbitrary whale let X_1 and X_2 be the absolute amount of respectively A_1 and A_2 contained in the whale stomach, and define

$$Q = \frac{X_1}{X_1 + X_2}$$

as the fraction of A_1 relative to A_2 . The binomial test is then obtained from the frequency of whales with $Q > s$ among those with either A_1 or A_2 (or both) in the stomach. To calculate the p-value, the success probability

$$q = \Pr(Q > s)$$

is needed. To calculate q we assume that Q follows a beta distribution (Bickel & Doksum 1977) with parameters $\alpha_1 = cs$ and $\alpha_2 = c(1-s)$ when H is true. The parameter $c > 0$ characterizes the degree of dispersion in Q . The beta distribution is often used for compositional data (Aitchison, 1986). An arbitrary random variable Z with parameters α_1 and α_2 has the following expectation and variance:

$$E(Z) = \frac{\alpha_1}{\alpha_1 + \alpha_2}, \text{ and } Var(Z) = \frac{\alpha_1 \alpha_2}{(\alpha_1 + \alpha_2)^2 (\alpha_1 + \alpha_2 + 1)}$$

Thus $E(Q)=s$ and $\text{Var}(Q)=s(1-s)/(1+c)$ under H .

The unknown parameter c , which is assumed to be common for all pairs of species (A_1, A_2) , must be estimated from data. The estimate \hat{c} is found by minimizing, with respect to c , the sum of

$$\left\{ \hat{\text{Var}}(Q) - \frac{s(1-s)}{1+c} \right\}^2$$

over all pairs of species and all areas, where $\hat{\text{Var}}(Q)$ is the empirical variance of Q .

Method 2

This quantitative method aims to compare prey fractions in the ocean with dominant prey in the whale stomachs. The preferences for two species A_1 and A_2 are compared. The preference for A_1 is represented by a preference parameter $\gamma \in [0,1]$. The values $\gamma > 0.5$, $\gamma = 0.5$ and $\gamma < 0.5$ correspond to a positive preference for A_1 , no special preference for either A_1 or A_2 , and negative preference for A_1 , respectively. In addition to assumptions (3) i - iii) above we need the following assumption:

- iv) The contents of the whale stomach consists entirely of one prey type.

Some stomachs have, however, mixed content (Haug *et al.*, MS 1995c), and they are classified according to which prey species dominates. When comparing A_1 to A_2 , stomachs with other dominating content are disregarded.

Further, we assume that the process in which the whale chooses its prey consists of the following two steps:

- 1) *Large scale choice*: The whale seeks out areas in which there is a high density of preferred prey.
- 2) *Small scale choice*: Faced with a choice among available prey items while feeding, the whale preys on the most abundant item in the neighbourhood, irrespective of which other species which might be present.

Thus, Method 2 assumes that the minke whale is short range oportunistic in feeding, but with prey preferences directing its whereabouts.

Consider the area in step 2), and let

$$R = \frac{\text{amount of } A_1}{\text{amount of } A_1 + A_2},$$

and assume that the local amounts of A_1 and A_2 are statistically independent and

exponentially distributed (Bickel and Doksum, 1977) with expectations proportional to $\gamma \cdot s$ and $(1-\gamma)(1-s)$, respectively. The factor of proportionality is assumed to be the same for both A_1 and A_2 , and thus cancels out in R. Prey abundance has skewed and long tailed distribution, so the exponential distribution might not be too unrealistic. With this choice, it can be shown that:

$$(4) \quad \Pr(A_1 \text{ is chosen}) = \left\{ 1 + \frac{(1-\gamma)(1-s)}{\gamma \cdot s} \right\}^{-1}$$

Let

$$Z = \frac{\text{number of whales which have chosen } A_1}{n}$$

be the fraction of whales with A_1 in the stomach amongst the n whales that have chosen either A_1 or A_2 . The moment estimator of γ is found by equating Z and the probability (4), and then solving for γ . This yields the estimator

$$\hat{\gamma} = \frac{(1-s)Z}{(1-s)Z + s(1-Z)}$$

The hypothesis $H: \gamma = 0.5$ can be tested using $\hat{\gamma}$ as a test statistic, with values of $\hat{\gamma}$ larger than 0.5 indicating preference for A_1 . The p-value can be calculated using the fact that $n \cdot Z$ has a binomial distribution with parameters n and s .

Method 3

Method 3 is quantitative, and aims to compare prey fractions in minke whale stomachs with prey fractions in the ocean, and allows each stomach to contain different types of prey. The preference for a single species A is compared to the preference for what might be called the remaining species. The remaining species consists of all species except for A. Again $\gamma \in [0,1]$ is the preference parameter, but now γ must be interpreted relative to the available prey composition. Still $\gamma > 0.5$, $\gamma = 0.5$ and $\gamma < 0.5$ have the interpretation as positive preference for A, neutrality to a choice between A and the remaining species, and negative preference for A.

Assume that the contents of the whale stomach is the remains of the latest 2 meals before capture, and that each meal consisted of one type of prey only (possibly different for the two meals), and let $X \in \{0,1,2\}$ be the number of meals which consisted of A. In practice X is determined according to the following rule:

- X=0 if the stomach contains less than 10% of A,
- X=1 if the stomach contains between 10% and 90% of A, (6)
- X=2 if the stomach contains more than 90% of A.

As in Method 2 let s and R be respectively the global and local relative amount of A , but now relative is with respect to the total prey resources, not to a single prey species. Still the choice of prey is thought of as being divided into a large- and a small scale choice. In the small scale choice it is assumed that the whale chooses A with probability R . Then the distribution of X conditional on R is binomial with $n = 2$,

$$\Pr\{X = x | R = r\} = \frac{2}{x!(2-x)!} r^x (1-r)^{2-x}, \quad x = 0, 1, 2.$$

Assume further that R has a beta distribution with parameters

$$\alpha_1(\gamma) = \frac{\varepsilon(\gamma)s}{\varepsilon(\gamma)s + (1-s)} \quad \text{and} \quad \alpha_2(\gamma) = 1 - \alpha_1(\gamma)$$

where $\varepsilon(\gamma) = \gamma/(1-\gamma)$. Some motivation for this choice of parameters is needed.

Most importantly

$$E(R) = \alpha_1(\gamma) = \begin{cases} 0, & \gamma = 0 \\ s, & \gamma = 0.5 \\ 1, & \gamma = 1 \end{cases}$$

which is necessary for the model to make sense. The more general parameterization $c \cdot \alpha_1$ and $c \cdot \alpha_2$, where $c > 0$ is a constant and α_1 and α_2 are given as above, also has this property, i.e. c only influences the variance of R , not its expectation. Since R is unobserved, c cannot be estimated from data, and we have subjectively chosen $c=1$. It can be argued that c should be a small number since the resulting beta distribution then puts most of its mass on the extreme values ($R=0$ and $R=1$), which is what we expect in real life. Further, since we have $\alpha_1 + \alpha_2 = 1$ it follows that $Var(R) \rightarrow \alpha_1 \alpha_2$ as $c \rightarrow 0$, so the model does not depend critically on c when c becomes small.

The beta-binomial likelihood of a whale with x of its two last meals being of type A , is

$$L(\gamma|x) \propto \frac{\Gamma\{\alpha_1(\gamma) + x\} \Gamma\{\alpha_2(\gamma) + 2 - x\}}{\Gamma\{\alpha_1(\gamma)\} \Gamma\{\alpha_2(\gamma)\}}$$

where Γ is the gamma function (Bickel and Doksum, 1977). Let x_1, \dots, x_n be data from n whales. The maximum likelihood estimate $\hat{\gamma}$ of γ is found by maximizing

$$\sum_{i=1}^n \log L(\gamma|x_i)$$

with respect to γ . The maximization has to be done numerically.

The p-value for test of $\gamma = 0.5$ is

$$\text{p-value} = \Pr \left\{ \left| \hat{\gamma} - 0.5 \right| > \left| \hat{\gamma}_{\text{obs}} - 0.5 \right| \right\},$$

which can be found by Monte Carlo methods.

Robustified method

The statistical methods presented so far are based on assumptions i) and ii) in (3). In practice only a crude estimate of s is available, and the true value of s will vary over time. If this fact is not taken into account the calculated p-values can be erroneous. To illustrate how to improve the analysis, Method 1 is used as an example.

In an attempt to make the model more robust we regard the quantities s , y_1 and y_2 appearing in (2) as random, and to emphasize this they are denoted by capital letters S , Y_1 and Y_2 . With this viewpoint the p-value in Method 1 can be considered as a conditional p-value, given the value of S . Expectation with respect to S is then obtained by Monte Carlo simulation.

The above approach is a reasonable way to make the model robust against i)-failure, but is not as well suited for ii)-failure. However, modelling a realistic development of S over time based on the available data is very difficult, and this approach is not tried here.

The important question is how to model the distributions of Y_1 and Y_2 , and thereby the distribution of S . We have chosen to do this by letting Y_1 and Y_2 be independent and gamma distributed (Bickel and Doksum, 1977) with parameters determined by the requirements:

$$E(Y_1) = \hat{y}_1 \quad \text{and} \quad E(Y_2) = \hat{y}_2$$

and that

$$\text{cv}(Y_1) = \text{cv}(Y_2) = 0.4.$$

Here \hat{y}_1 and \hat{y}_2 are the prey abundance estimates based on the resource survey, and $\text{cv}(Y_i)$ is the coefficient of variation of Y_i , defined as $\text{cv}(Y_i) = \text{SD}(Y_i)/E(Y_i)$, where $\text{SD}(Y_i)$ is the standard deviation of Y_i . The requirement $\text{cv} = 0.4$ results from considerations about the design of the resource survey. It is in general very difficult to quantify the uncertainty of the prey abundance estimates \hat{y}_1 and \hat{y}_2 , but 0.4 has been suggested as an upper bound on $\text{cv}(Y_i)$.

Results

Applicability of material

Prey abundance estimates are given in Table 1. As commented by Haug *et al.* (1995a) the abundance of several species may have been underestimated. Thus, only the species which occurred in "considerable amounts" were compared in the analyses. As a selection criterion we have used $s \geq 0.1$. One exception from this rule is that capelin in Finnmark was included even though it had $s = 0.08$. This was done due to the general interest to include capelin in the analysis, and since the limit $s = 0.1$ was chosen arbitrarily. These considerations yielded three sets of comparable species for the three areas in question (Table 2).

Tables 3-5 show the stomach contents for each whale taken in the three areas in 1992. One question is whether ii) in (3) can be believed to hold for these data sets. A striking feature of the Finnmark area (Table 3) is that 0-group fish are almost absent in the first part of the whaling period when the resource survey was conducted (14-18 July), but then dominate the last part of the period. This indicates that the resource situation may have changed during the period of whaling. However, we decide to use all the 19 observations from Finnmark, since an omission of observations would have to be done in a very *ad hoc* manner. For the Kola area abundance estimates are only available west of 38°E. Thus only whales nos 1-5 and 16-17 in Table 4 can be used in the analysis. In Lofoten-Vesterålen there are strong reasons to believe that the resource situation changed drastically from the first part of the period, when the resource survey was performed (11-14 July), to the second part of the period. While herring was absent in the resource data, it dominated the stomach contents in the last part of the whaling period. However, since herring was not among the species we can compare in Lofoten-Vesterålen, we use all the 18 observations in the analyses.

Statistical analysis

Method 1

The hypothesis is that the whale is neutral to a choice between A_1 and A_2 . The alternative hypothesis is that the whale prefers A_1 . The estimate of the dispersion parameter c is $\hat{c} = 0.53$. Table 6 gives the p-values obtained from comparisons of each pair of species within each of the three areas. For instance, the first row in Table 6 contains the p-values when A_1 is pelagic fish and A_2 is respectively herring, 0-group fish, capelin and plankton. All p-values (Table 6) with $A_2 = \{\text{plankton}\}$ are significant at level 0.05. Thus, there is some evidence that the whales may reject plankton in preference for other prey items. Two further p-values are significant: First $A_1 = \{0\text{-group fish}\}$ versus $A_2 = \{\text{pelagic fish}\}$, and second $A_1 = \{\text{herring}\}$ versus $A_2 = \{\text{pelagic fish}\}$. These two last results should be interpreted with care.

Method 2

Table 7 shows whales distributed over dominant prey items. Combined with Table 1, the A_1 preference parameter γ can be estimated when locality is taken as the sampling areas displayed in Fig. 1. The estimates of γ for all pairs of species are given in Table 8. Note that all comparisons of 0-group fish with other prey items in Finnmark yielded $\hat{\gamma}$ -values larger than 0.5. This suggests that the whale prefers 0-group fish more than the other species. Two significant p-values (Table 8) are found in Finnmark: $A_1=\{0\text{-group fish}\}$ versus $A_2=\{\text{pelagic fish}\}$ and $A_1=\{0\text{-group fish}\}$ versus $A_2=\{\text{plankton}\}$. Note that no clear negative preference for plankton is found using this model.

Method 3

Table 9 shows the number of meals which consisted of each prey type calculated according to the rule given in (6). Using this table and Table 1, the parameter γ in Method 3 can be estimated for the different species and areas (Table 10). We recall that values of $\hat{\gamma} > 0.5$ indicate (positive) preference and of values $\hat{\gamma} < 0.5$ indicate negative preference for the species in question, and that the p-values show whether the deviation is significant or not. Small values of $\hat{\gamma}$ are found in all three areas for plankton, but only the p-values for Kola and Lofoten-Vesterålen are significant. There are some indications of preference for 0-group fish in Finnmark ($\hat{\gamma} = 0.82$), though the p-value is not significant. In the Monte Carlo evaluation of the p-values 200 simulations were used.

Robustification

The robustification is introduced to take account for the uncertainty in the prey abundance estimates. Robustified p-values are calculated for Method 1 with $M = 200$ and are given in Table 11. It is seen that all p-values for which $A_2=\{\text{plankton}\}$ are significant. No other of the p-values which showed significance in Model 1 (Table 6) are now significant. Thus, using robustified methods the only thing we can claim is that the whale dislikes plankton.

Discussion

During the 1992-1994 minke whale ecology studies, substantial heterogeneity in whale diets were observed between geographical areas in Norwegian waters, capelin/krill being the dominant prey items in the northmost Arctic areas while herring was the most abundant prey found in the whale stomachs in the southernmost coastal areas (Haug *et al.*, 1995a; b; in subm.). These differences seem to be consistent with the differences in prey availability in these areas. While the capelin stock is mainly confined to the central and northern parts of the Barents Sea (Dragesund *et al.*, 1973), the dominant planktivorous fish along the Norwegian coast and in the southern Barents Sea is the Norwegian spring

spawning herring (Røttingen, 1990; Anon., MS 1994). From 1992 to 1993, a shift from capelin to krill as the dominant prey item for the minke whales was concurrent with an increase in krill and a severe decrease in capelin availability in the northern areas (Haug *et al.*, 1995b).

The presented results from 1992 reveal that both the total biomass and the species composition of available prey was very different in the three investigated subareas along the coast of North Norway (Lofoten/Vesterålen and Finnmark) and Russia (Kola). It is evident that the largest potential prey biomass was recorded in the Lofoten/Vesterålen area. 0-group fish (mainly herring) contributed particularly to this large biomass, and occurred along a gradient of decreasing abundance from west to east (Lofoten/Vesterålen, via Finnmark, to Kola). A similar west-to-east abundance variation in 0-group herring was found in the minke whale stomachs from these areas (Haug *et al.*, 1995a).

It seems that the 1992–1994 minke whale ecology studies have shown that the species is quite flexible in its choice of food, adapting well to local prey abundance situations. Results of statistical analyses of parts of the 1992 material seem to support this. However, under conditions when all prey items are equally available, our detailed statistical analyses may indicate that the minke whale is somewhat reluctant to feed upon plankton. Such patterns were evident in all the areas studied. It is important to emphasize, however, that some methodological problems are involved in the analyses of plankton as a potential prey group. First, the acoustic plankton estimates should be regarded as considerably more uncertain than those for fish. Second, it is evident that while the biomass of plankton is large in all surveyed areas, the local densities may be quite low. Krill is an important constituent of the plankton and is also consumed by the minke whales (see Haug *et al.*, 1995a). However, krill meals were smaller than meals containing any other prey items, and may suggest that the krill patches pursued by the northeast Atlantic minke whales are scattered and in rather low densities (Haug *et al.*, MS 1995c). Baleen whales, minke whales included, are assumed to have a threshold foraging response to capelin density (Piatt and Methven, 1992), and the possibility that similar thresholds may exist also for planktonic prey items such as krill is obvious. Thus, when only the total biomass, and not the local density of plankton is considered, erroneous conclusions about negative preferences could well be drawn.

Despite observations of vast amounts of 0-group cod in the upper water layers, none were found in the stomachs from minke whales caught in the northmost areas (Spitsbergen and Bear Island, see Fig. 1) of Norwegian and adjacent waters in 1992 (Haug *et al.*, 1995a). There are, however, some indications of a preference for 0-group fish (mainly herring to the west of 26° E, mainly cod to the east of this longitude) in Finnmark. This finding was, however, not significant when the uncertainty in the estimated prey abundance was taken into account.

The negative preference for plankton was found both when comparing fractions in

stomachs to over-all prey fractions using Method 1 and Method 3. This was evident in all sampling areas except in Finnmark for Method 3, and it was also evident in the robustified analyses. However, when comparing the relative prey abundance to the fraction of whales with dominant prey contents (Method 2), no clear negative preference for plankton was found. One may thus ask if Method 2 is as well suited for the problem as Methods 1 and 3, and the assumption iv) immediately springs to mind. The assumption that the whale stomach contains only one type of prey, is a very rough simplification of the truth (Haug *et al.*, MS 1995c).

The presented quantitative analyses were based on parts of the data collected in 1992. An application of the full data set (collected during 1992-1994) as it becomes available for analyses, may yield more conclusive results. However, the prey availability data from 1993 and 1994 are aggregated over even larger sea areas than the 1992 data (Haug *et al.*, in subm.) such that the test methods applied cannot be expected to be more powerful. An ideal design of a future experiment would be that each whale stomach was accompanied by information about the prey situation locally where the whale had its meal. Although costly, every attempt in this direction would be of value in that it would increase the power of the test methods.

Acknowledgements

Sincere thanks are due to field assistants and crews on board the chartered whaling vessels *Ann Brita*, *Brandsholmbøen*, *Leif Junior*, *Nybræna* and *Reinebuen*, and on board R/V *Johan Ruud*. Assistance was received also from N. Øien in transect constructions, V. Frivoll and L. Svensson in laboratory treatment of whale stomach contents and I. Ahlqvist and P. Grotnes in biomass calculations. M. Aldrin and T. Schweder provided useful advice on the statistics, and R.T. Barrett improved the English. The ecological studies of Northeast Atlantic minke whales are supported economically by the Norwegian Council of Research, projects 104499/110 and 108146/110.

References

- AITCHISON, J. 1986. The statistical analysis of compositional data. Chapman and Hall, New York.
- ANON. MS 1994. Report of the Atlanto-Scandian Herring and Capelin Working Group, Copenhagen, 18-22 October 1993. *ICES CM 1994/Assessment 8*, 78 pp.

- BICKEL, P.J. and K.A. DOKSUM. 1977. *Mathematical statistics*. Holden Day Inc., Oakland California.
- BODHOLT, H., H. Nes and H. Solli. 1989. A new echo-sounder system. *Proc. Inst. Acc.* **11**: 123-130.
- BREIBY, A. 1985. Otolitter fra saltvannsfisker i Nord Norge. *Tromsø Naturvitensk.* **53**: 1-30.
- BUSHUEV, S.G. 1986. Feeding of minke whales, *Balaenoptera acutorostrata*, in the Antarctic. *Rep. int. Whal. Commn* **36**: 241-245.
- CHRISTENSEN, I. and N. ØIEN. 1990. Operational patterns of the Norwegian minke whale fishery. *Rep. int. Whal. Commn* **40**: 343-347.
- DRAGESUND, O., J. GJØSÆTER and T. MONSTAD. 1973. Stock size and reproduction of the Barents Sea capelin. *FiskDir. Skr., Ser. Havunders.* **16**: 105-139.
- FOOTE, K.G. MS 1991. Abundance estimation of pelagic fish stocks by acoustic surveying. *ICES CM* 1991/B:33, 8 pp.
- FOOTE, K.G., H.P. KNUDSEN, R.J. KORNELIUSSEN, P.E. NORDBØ and K. RØANG. 1991. Postprocessing system for echo sounder data. *J. Acoust. Soc. Am.* **90**: 37-47.
- FOOTE, K.G., H.P. KNUDSEN, G. VESTNES, D.N. MACLENNAN and E.J. SIMMONDS. 1987. Calibration of acoustic instruments for fish density estimation: a practical guide. *ICES Coop. Res. Rep.* **144**: 1-57.
- HÄRKÖNEN, T. 1986. Guide to the otoliths of the bony fishes of the Northeast Atlantic. Danbia ApS, Hellerup, Denmark.
- HAUG, T., H. GJØSÆTER, U. LINDSTRØM and K.T. NILSSEN. 1995a. Diets and food availability for northeast Atlantic minke whales *Balaenoptera acutorostrata* during summer in 1992. *ICES J. mar. Sci.* **52**: 77-86.
- HAUG, T., H. GJØSÆTER, U. LINDSTRØM, K.T. NILSSEN and I. RØTTINGEN. 1995b. Spatial and temporal variations in northeast Atlantic minke whales *Balaenoptera acutorostrata* feeding habits. In *Seals, whales, fish and man*, A.S. Blix, Ø. Ulltang and L. Walløe (eds), Proceedings of the International Symposium on the Biology of Marine Mammals in the Northeast Atlantic, Tromsø, 29 November - 1 December 1994, *Developments in Marine Biology IV*. Elsevier B.V., in press.

- HAUG, T., H. GJØSÆTER, E. NORDØY and T. SCHWEDER. MS 1992. A research proposal to evaluate the ecological importance of minke whales *Balaenoptera acutorostrata* in the Northeast Atlantic. *ICES CM* 1992/N:8, 75 pp.
- HAUG, T., U. LINDSTRØM, K.T. NILSSEN and I. RØTTINGEN. In subm. Diet and food availability for northeast Atlantic minke whales *Balaenoptera acutorostrata*. *Rep. int. Whal. Commn.*
- HAUG, T., U. LINDSTRØM, K.T. NILSSEN and H.J. SKAUG. MS 1995c. On the variations in size and individual composition of minke whale *Balaenoptera acutorostrata* meals. NAFO/ICES Symposium: The Role of Marine Mammals in the Ecosystem, Dartmouth, Nova Scotia, Canada 6-8 September 1995, in prep.
- HYSLOP, E.J. 1980. Stomach content analysis - a review of methods and their application. *J. Fish Biol.* 17: 411-429.
- ICHII, T. and H. KATO. 1991. Food and daily food consumption of southern minke whales in the Antarctic. *Polar Biol.* 11: 479-487.
- JONSGÅRD, Å. 1951. Studies on the little piked whale or minke whale (*Balaenoptera acutorostrata* Lacépède). *Norsk Hvalfangsttid.* 40: 209-232.
- JONSGÅRD, Å. 1966. The distribution of Balaenopteridae in the North Atlantic Ocean. In Whales, dolphins and porpoises, K.S. Norris (ed.), University of California Press, Berkeley and Los Angeles, p. 114-124.
- JONSGÅRD, Å. 1982. The food of minke whale (*Balaenoptera acutorostrata*) in northern North Atlantic waters. *Rep. int. Whal. Commn* 32: 259-262.
- KAWAMURA, A. 1980. A review of food of Balaenopterid whales. *Sci. Rep. Whales Res. Inst., Tokyo* 32: 155-197.
- MACLENNAN, D.N. and E.J. SIMMONDS. 1992. Fisheries Acoustics. Chapman and Hall, London, Fish and Fisheries Series 5.
- NORDØY, E.S. and A.S. BLIX. 1992. Diet of minke whales in the Northeastern Atlantic. *Rep. int. Whal. Commn* 42: 393-398.
- ØEN, E.O. 1995. A Norwegian penthrite grenade for minke whales: Hunting trials with prototypes and results from the hunt in 1984, 1985 and 1986. *Acta vet. scand.* 36: 111-121.

ØIEN, N. 1991. Abundance of the northeastern Atlantic stock of minke whales based on shipboard surveys conducted in July 1989. *Rep. int. Whal. Commn* 41: 433-437.

OLSEN, M.A., E.S. NORDØY, A.S. BLIX and S.D. MATHIESEN. 1994. Functional anatomy of the gastrointestinal system of north-eastern Atlantic minke whales (*Balaenoptera acutorostrata*). *J. Zool., London* 234: 55-74.

PIATT, J.F. and D.A. METHVEN. 1992. Threshold foraging behaviour of baleen whales. *Mar. Ecol. Prog. Ser.* 84: 205-210.

PIERCE, G.J. and P.R. BOYLE. 1991. A review of methods for diet analysis in piscivorous marine mammals. *Ocean. Mar. Biol., Ann. Rev.* 29: 409-486.

RØTTINGEN, I. 1990. A review of variability in the distribution and abundance of Norwegian spring spawning herring and Barents Sea capelin. *Polar Res.* 8: 33-42.

SCHWEDER, T., G. HAGEN, J. HELGELAND and I. KOPPERVIK. MS 1995. Methodology and programs for abundance estimation of northeastern Atlantic minke whales. Estimates for 1989 recomputed. *Int. Whal. Commn SC/47/NA6*, 44 pp.

Table 1.
Estimates of prey abundance (in tonnes per square nautical mile) in the three areas investigated. "Bottom" include other demersal fish, "pelagic" include pelagic fish other than those listed.

AREA	PREY ABUNDANCE						
	plankton	herring	capelin	0-group	cod + haddock	bottom	pelagic
Finnmark	21.4	16	5.5	10	0.5	2	12
Kola	18.8	26	1	0.6	0.4	1	9
Lofoten - Vesterålen	19.4	0	0	53	9	9	30

Table 2.
Selected taxa (i.e., with relative abundance $s \geq 0.1$, see text for further explanation) which can be compared in the three areas.

Finnmark	Kola	Lofoten-Vesterålen
plankton	plankton	plankton
0-group		0-group
pelagic	pelagic	pelagic
herring	herring	
capelin		

Table 3.

Date, position and stomach contents (kg) distributed between the different prey species in 19 minke whales taken off the coast of Finnmark in 1992

Whale no.	date	pos		0-group	capelin	cod + had.	pelagic	herring	plankton	bottom
		N	E							
1	12.07	77.21	24.00	0.00	12.11	0.00	0.00	0.03	0.00	0.00
2	15.07	71.53	16.41	0.00	0.00	0.00	0.00	0.11	0.00	0.00
3	18.07	71.11	27.54	1.08	0.00	1.45	1.26	0.00	0.00	0.00
4	19.07	71.27	29.54	0.00	10.34	7.75	12.06	219.84	0.00	0.00
5	20.07	71.28	27.45	0.00	0.02	0.00	0.03	0.45	0.00	0.00
6	21.07	71.28	28.26	0.00	0.01	0.00	0.02	119.16	0.00	0.00
7	22.07	71.45	31.19	0.00	0.77	0.00	0.00	0.38	0.00	0.00
8	25.07	71.25	27.42	0.05	0.00	0.00	0.00	0.04	0.00	0.00
9	26.07	71.25	27.51	23.79	0.23	43.16	0.01	34.20	0.00	0.21
10	27.07	71.24	25.14	18.02	0.88	51.46	0.01	3.82	0.00	0.04
11	27.07	71.25	24.56	18.34	0.00	0.00	0.01	0.52	0.00	0.00
12	28.07	71.16	25.02	6.88	0.00	0.00	0.01	0.00	0.00	0.00
13	03.08	71.18	25.10	38.56	0.01	1.41	0.00	0.07	0.00	0.00
14	03.08	71.20	25.22	12.82	0.00	0.00	0.00	0.01	0.00	0.00
15	08.08	71.25	27.28	0.00	0.00	0.00	0.00	3.09	0.00	0.00
16	13.08	70.49	21.34	27.70	0.00	0.00	1.48	0.00	0.00	0.00
17	13.08	71.06	21.53	50.26	0.00	0.00	0.00	0.00	3.29	0.00
18	13.08	71.10	21.18	0.88	0.00	0.00	0.00	0.00	3.28	0.00
19	13.08	70.52	21.19	0.00	0.00	0.00	0.02	0.42	2.93	0.01

Table 4.

Date, position and stomach contents (kg) distributed between the different prey species in 19 minke whales taken off the coast of Kola in 1992

Whale no.	date	pos		0-group	capelin	cod + had.	pelagic	herring	plankton	bottom
		N	E							
1	10.07	70.59	32.53	0	0.00	0.00	0.00	0.42	0.00	0.00
2	15.07	70.32	32.33	0	0.04	0.02	0.11	123.20	0.00	0.00
3	15.07	70.41	32.45	0	0.00	0.00	0.02	6.52	0.00	0.00
4	16.07	70.52	32.44	0	1.98	0.00	1.36	87.08	0.00	0.00
5	26.07	69.41	38.20	0	0.00	4.93	3.14	0.02	0.00	0.01
6	27.07	69.08	39.12	0	0.00	0.00	43.85	0.01	0.00	0.00
7	29.07	69.24	41.16	0	0.00	3.98	43.89	0.01	0.00	0.01
8	30.07	69.28	41.37	0	0.00	0.00	3.01	0.01	5.12	0.00
9	30.07	69.44	41.17	0	0.00	90.72	4.01	0.04	0.00	0.04
10	30.07	69.35	41.08	0	0.00	10.07	7.87	0.01	0.00	0.02
11	30.07	69.34	41.07	0	0.02	36.06	8.11	0.94	12.08	0.09
12	01.08	69.25	41.19	0	0.00	0.00	21.98	0.00	0.00	0.00
13	02.08	69.26	40.46	0	0.00	16.67	5.43	0.18	0.00	0.02
14	02.08	69.25	40.46	0	0.00	18.56	28.38	0.46	0.00	0.04
15	03.08	69.20	39.18	0	0.00	23.10	9.06	0.05	0.00	0.02
16	04.08	69.48	34.48	0	0.01	88.80	0.03	2.54	0.00	0.03
17	04.08	69.48	34.49	0	0.00	4.45	0.00	1.60	0.00	0.00
18	02.08	69.19	40.33	0	0.00	0.00	23.44	0.02	0.00	0.00
19	01.08	69.30	41.19	0	0.00	1.65	1.07	0.00	0.00	0.03

Table 5.
Date, position and stomach contents (kg) distributed between the different prey species in 18 minke whales taken in Lofoten-Vesterålen in 1992.

Whale no	date	pos		0-group	capelin	cod + had.	pelagic	herring	plankton	bottom
		N	E							
1	05.07	67.54	13.49	0.02	0.00	0.00	2.58	0.00	0	0.04
2	06.07	67.20	12.09	0.37	0.00	0.00	0.37	0.00	0	0.00
3	12.07	67.11	11.51	0.45	0.00	0.00	2.91	0.00	0	0.00
4	12.07	67.14	11.42	2.86	0.00	0.00	0.58	0.00	0	0.00
5	21.07	68.02	13.51	15.82	0.01	0.02	15.44	0.00	0	0.10
6	21.07	68.00	13.40	22.42	0.00	0.00	0.24	0.00	0	0.00
7	24.07	67.52	12.58	53.97	0.00	0.26	7.41	0.00	0	0.62
8	26.07	67.54	12.11	7.30	0.00	0.33	2.51	6.21	0	0.00
9	27.07	67.16	12.58	12.77	0.00	0.00	0.00	0.00	0	0.00
10	31.07	69.26	16.01	0.00	0.00	0.00	28.60	0.00	0	0.00
11	03.08	69.24	15.38	0.03	0.00	0.00	0.13	20.08	0	0.00
12	03.08	69.24	15.41	9.19	0.01	0.00	0.00	21.00	0	0.00
13	03.08	69.21	15.29	1.81	0.00	0.00	0.00	8.14	0	0.00
14	03.08	69.21	15.24	22.86	0.00	0.00	0.00	12.24	0	0.00
15	06.08	69.17	15.20	0.24	0.00	0.00	0.00	0.00	0	0.00
16	06.08	67.51	11.44	0.00	0.00	0.00	0.00	23.20	0	0.00
17	10.08	67.53	12.14	0.08	0.00	0.00	1.81	5.95	0	0.00
18	12.08	67.52	12.59	0.00	0.00	0.00	0.22	0.00	0	0.01

Table 6.
Comparison of whale stomach contents and prey abundances using Model 1: p-values obtained from comparison of pairs (A_1/A_2) of prey alternatives.

A_1	A_2				
	pelagic	herring	0-group	capelin	plankton
Finmark					
pelagic		0.99	0.99	0.90	0.01
herring	0.04		0.95	0.11	0.00
0-group	0.04	0.12		0.33	0.00
capelin	0.23	0.97	0.84		0.00
plankton	1.00	1.00	1.00	1.00	
Kola					
pelagic		0.94			
herring	0.27				0.01
plankton	1.00	1.00			0.02
Lofoten/Vesterålen					
pelagic			0.38		0.00
0-group	0.79				0.00
plankton	1.00		1.00		

Table 7.
Number of whales which have eaten the different prey items in the three areas of investigation

AREA	0-group	cod + had.	capelin	pelagic	plankton	herring
Finnmark	7	3	2	0	2	5
Kola	0	3	0	0	0	4
Lofoten/ Vesterålen	8	0	0	5	0	5

Table 8.
Comparison of whale stomach contents and prey abundance using Model 2: γ - values obtained from comparison of pairs (A_1/A_2) of prey alternatives. P-values for the hypothesis $H: \gamma = 0.5$ are given in parenthesis for each comparison.

A_1	A_2				
	pelagic	herring	0-group	capelin	plankton
Finnmark					
pelagic		0.00 (1.00)	0.00 (1.00)	0.00 (1.00)	0.00 (1.00)
herring	1 (0.06)		0.31 (0.95)	0.46 (0.74)	0.77 (0.13)
0-group	1 (0.00)	0.69 (0.13)		0.66 (0.33)	0.88 (0.01)
capelin	1 (0.10)	0.54 (0.57)	0.34 (0.88)		0.80 (0.19)
plankton	1(0.41)	0.23(0.97)	0.12(1.00)	0.20(0.97)	
Kola					
pelagic		0.00 (1.00)			0.00 (1.00)
herring	1 (0.23)				0.65 (0.38)
plankton	1(0.46)	0.35(0.87)			
Lofoten/Vesterålen					
pelagic			0.52 (0.53)		1.00 (0.08)
0-group	0.48 (0.68)				1.00 (0.08)
plankton	0.00(1.00)		0.00(1.00)		

Table 9.
Number of whale meals eaten of each prey species, counted according to the classification rule given in (6) in the text.

AREA	pelagic	capelin	herring	0-group	plankton
Finnmark	1	3	13	17	2
Kola	1	0	9	0	0
Lofoten/ Vesterålen	13	0	9	15	0

Table 10.

Comparison of whale stomach contents and prey abundance using Model 3: γ - values obtained by comparing each species to the remaining species. P-values for the hypothesis $\gamma = 0.5$ are given in parenthesis for each comparison.

AREA/Species	pelagic	capelin	herring	0-group	plankton
Finmark	0.14 (0.26)	0.47 (0.965)	0.63 (0.575)	0.82 (0.11)	0.14 (0.17)
Kola	0.35 (0.36)		0.69 (0.865)		0.00 (0.00)
Lofoten/ Vesterålen	0.64 (0.65)			0.49 (0.98)	0.00 (0.00)

Table 11.

Comparison of whale stomach contents and prey abundance using a robustified model: P-values obtained from comparisons of pairs (A_1/A_2) of prey alternatives.

A_1	A_2				
	pelagic	herring	0-group	capelin	plankton
Finmark					
pelagic		0.97	0.93	0.79	0.03
herring	0.07		0.82	0.28	0.02
0-group	0.14	0.26		0.31	0.01
capelin	0.29	0.87	0.79		0.01
plankton	0.99	1.00	1.00	1.00	
Kola					
pelagic		0.91			0.01
herring	0.27				0.02
plankton	1.00	1.00			
Lofoten/Vesterålen					
pelagic			0.46		0.00
0-group	0.69				0.01
plankton	1.00		1.00		

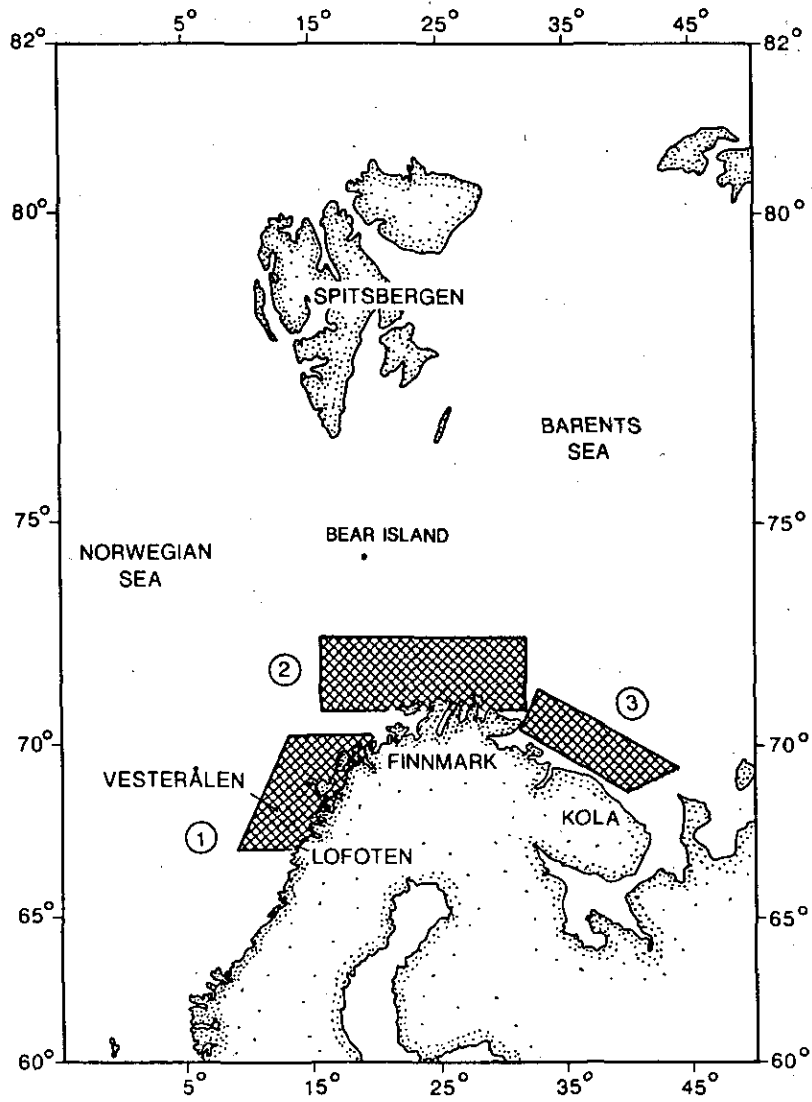


Fig. 1. Map showing the sampling areas in Lofoten/Vesterålen (1), Finnmark (2) and Kola (3).