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OGive MAPping (ogmap) as an Alternative Means of Estimating Indices and Setting TACs

By

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**Abstract:\**

Since 1995, the status of NAFO Divisions 3LNO northern shrimp (*Pandalus borealis*) has been monitored using stratified areal expansion calculations. Total Allowable Catch (TAC) has been determined as 15% of the average lower 95% biomass confidence limit over a series of surveys. However, it has been pointed out that this may not be appropriate because the method assumes that data are normally distributed. This may not always be the case, especially when the survey includes one or two very high catches. In such cases, the confidence intervals may be broad and the lower limits may be negative. Therefore, Scientific Council (SC) requested that alternative tools be used in estimating indices and setting TACs.

OGive MAPping (ogmap) is presented as an alternative method of calculating indices. Abundance/ biomass indices and population adjusted length frequencies derived using this method are compared with the more traditional stratified area expansion estimates. TACs are then derived using the average of the lower ogmapped biomass confidence limits from the most recent four surveys. This report also provides an example of how ogmapped fishable biomass indices could be used in producing a TAC.

**Introduction**

Since 1995, northern shrimp (*Pandalus borealis*), in NAFO Div. 3LNO (Fig. 1), have been collected as part of the Canadian research bottom trawl surveys. Survey stations are assigned using a depth stratified random survey design that was originally developed to monitor the status of commercially important gadoid and flatfish stocks. Biomass and abundances estimates have been calculated using areal expansion equations (Cochran, 1997). This method makes use of three main assumptions:

1. catches are normally distributed;
2. there is no correlation between catches in adjacent strata; and
3. the within stratum environment is uniform; therefore catches within a stratum may be averaged and extrapolated to entire stratum.

Unfortunately these assumptions are not always realized. Data are probably not normally distributed if the survey includes one or two very large catches. For example, the spring 2000 survey included two anomalously high catches (500 and 511 kg) while the spring 2004 survey included one anomalously high catch (1 060 kg). These catches resulted in biomass and abundance indices that were thought to be imprecise because 95% confidence intervals were broad with negative lower confidence limits (Table 1). As noted in Evans *et al.* (2000), the survey makes use of a groundfish stratification scheme therefore the sample design may not be suited to monitor shrimp stock status. It is likely that observations in adjacent strata but nearby locations (taking depth into account) are more similar than observations within the same stratum but at opposite ends of the stratum (Fig. 1). Therefore a continuous approach to index calculation may be more appropriate.

Additionally, Total Allowable Catches (TAC) for Div. 3LNO northern shrimp are calculated as 15% of the average lower confidence limit below biomass indices from a series of surveys. During 2003, Scientific Council (SC) felt that it was not reasonable to derive TACs using the present method because, as noted above, lower confidence limits may be negative.

For these reasons, the biomass and abundance indices as well as population adjusted length frequencies were re-calculated using OGIVE MAPPING (ogmap). This paper compares indices, length frequencies and TACs calculated by stratified areal expansion methods with those calculated using ogmap.

## Methods and Materials

### Canadian spring and autumn multi-species research surveys:

Shrimp abundance, biomass, maturity and carapace length data have been collected since autumn 1995, as part of the Canadian multi-species bottom trawl surveys. These research surveys are conducted each spring and autumn using the CCG Wilfred Templeman, CCG Alfred Needler and CCG Teleost. Fishing sets of 15 minute duration and a tow speed of 3 knots were randomly allocated to strata covering the Grand Banks and slope waters to a depth of 1 500 m (Fig. 1). All vessels used a Campelen 1800 shrimp trawl with a codend mesh size of 40 mm and a 12.7 mm liner. SCANMAR sensors estimated that the mean wingspread was 16.8 m. Details of the survey design and fishing protocols are outlined in (Brodie, 1996; McCallum and Walsh, 1996).

Prior to autumn 2003, shrimp were frozen and returned to the Northwest Atlantic Fisheries Centre where identification to species and maturity stage was made, and number and weight per set were calculated. Beginning with the autumn 2003 survey, most of the shrimp samples have been processed at sea. Samples that could not be processed at sea were frozen and processed in the lab upon return. The maturity of the shrimp was defined by five stages:

males;  
transitionals;  
primiparous females;  
ovigerous females,  
and multiparous females

as defined by Ramussen (1953), Allen (1959) and McCrary (1971). Oblique carapace lengths (0.1 mm) were recorded while number and weight per set were estimated from the sampling data. Inshore strata were not sampled in all years; therefore, the analysis was restricted to data collected from offshore strata only (Fig. 1).

## Data Analysis

### Stratified areal expansion:

Stratified abundance/ biomass indices and population adjusted length frequencies were estimated *via* areal expansion using programs based upon Cochran (1997) and written in SAS (D. Orr unpublished).

### OGIVE MAPPING (ogmap):

OGIVE MAPPING was developed by Dr. G. Evans (DFO – NL Region) to calculate abundance and biomass indices, and population adjusted length frequencies. The method is described within Evans (2000) and Evans *et al.* (2000) and assumes that:

1. trawl sets are independent random samples from the probability distributions at set locations; and
2. nearby distributions are related.

As a first step in the exercise, a dense set of Delauney triangles of known position and depth were developed from the 1995-2002 autumn surveys (Figs. 2-4). Catch information was then used to determine the appropriate horizontal and vertical steps used by ogmap in weighting values according to distances (horizontal and vertical) from each sample location. Points closer to the sample location receive higher weights. Step determination is described in Evans *et al.* (2000). The appropriate horizontal and vertical steps for the present set of analyses were 30.81 km and .99 m, respectively.

Ogmap is then used to compute the expected value of the distribution at every vertex in each Delauney triangle. The expected value for shrimp biomass within each triangle is integrated using bilinear interpolation. The expected biomass within Div. 3LNO is the sum over all triangles. A Monte Carlo simulation resamples the whole probability distribution at every survey point to provide a new biomass point estimate. Five hundred such simulations are run to provide a probability distribution for the estimated biomass. The point estimate is provided from the entire survey dataset, while the probability distribution is determined through Monte Carlo simulation. Non-parametric 95% percent confidence intervals are then read from the probability distribution (Fig. 5).

Indices calculated using ogmap were compared with respective indices calculated using stratified areal expansion.

As requested by Scientific Council during November 2003, TACs were estimated using the average of a series of non-negative lower 95% biomass confidence limits, and fishable biomass point estimates. The fishable component of the population was defined as males with carapace lengths  $\geq 17$  mm and all females. Male biomass was determined by converting abundances to biomass using the spring length weight regression:

$$\text{Wt.} = 0.000966\text{CL}^{2.84166} \text{ (Orr and Sullivan, 2004).}$$

## Results and Discussion

### Comparison between estimates derived using stratified areal expansion and ogmap calculations:

The distribution of shrimp catches from the four most recent Canadian research bottom trawl surveys are presented in Fig. 6. These data were used in both stratified areal expansion and ogmap calculations. There is good agreement between the size and location of catches and the degree of shading within the density plots from the ogmap analysis (Fig. 7) indicating that ogmap provides a realistic representation of Div. 3LNO shrimp distributions.

Table 1 presents biomass and abundance indices from the spring and autumn surveys over the period 1995-2004 as determined by areal expansion methods. Confidence intervals around the spring 2000 and 2004 indices were broad, with negative lower 95% confidence limits, because the method assumes that data are normally distributed and the results were heavily influenced by a few anomalously high catches (500 and 511 kg sets during spring 2000; and a 1 060 kg set during spring 2004).

Ogmapped biomass and abundance indices with their respective confidence intervals over the same periods are presented in Table 2. Figures 8 and 9 compare biomass and abundance indices obtained using the two methods. In general, the point estimates are very similar regardless of the method used; however, the difference lies in the confidence intervals. Ogmap does not rely upon the assumption that data are normally distributed and calculations are made over hundreds of small triangles, therefore the method may in principle take finer spatial detail into account (Evans *et al.*, 2000). For these reasons, ogmap confidence intervals may be narrower than those calculated by areal expansion. Additionally, ogmap confidence intervals are taken from a probability distribution plot ensuring that the lower confidence limits can never be negative.

Biomass point estimates for the entire study region were then compared with those from outside the 200 Nmi limit in Tables 3 and 4 for estimates derived using areal expansion, and Tables 5 and 6 for estimates derived using ogmap calculations. Once again both methods provided similar results within respective time periods and areas. Over the study period, the area outside 200 Nmi accounted for between 12 and 31% of the 3LNO biomass point estimates.

Similarly, there is good agreement between length frequencies using either method of calculation (Fig. 9 and 10).

## TAC Calculations

Based upon significant increases in biomass and recruitment over the period 1999-2002, Scientific Council recommended that the 2003 TAC increase from 6 000 t to 13 000 tons. The new TAC was calculated by applying a 15% exploitation rate to the lower 95% biomass confidence limits, averaged over the autumn 2000-2001 and spring 2001-2002 surveys. Similar results are obtained using either areal expansion and ogmap methods (Table 7). A 15% exploitation rate is consistent with the management strategy in an adjacent shrimp fishing area, Hawke + Div. 3K

(Shrimp Fishing Area 6). The 2004 SFA 6 shrimp quota is 77 932 tons which when applied to the average of the last four lower 95% ogmap biomass confidence limits results in an exploitation rate of 14.75% (Table 8).

Purely as an example, the TAC was re-evaluated based upon the four most recent surveys. By applying Stratified areal expansion calculations to the average of the last four surveys with non-negative lower confidence limits, one obtains a TAC of 17 000 tons. By applying ogmap calculations to the last four surveys one obtains a TAC of 15 000 tons. Alternatively, as an example, one obtains a TAC of 16 000 tons by applying a 10% removal to the average ogmap fishable biomass from the past four surveys (Table 10).

### Summary

Ogmap was presented as a method to determine abundance/ biomass indices and population adjusted length frequencies. Comparisons between ogmap and stratified areal expansion methods demonstrated that the point estimates were similar. Since ogmap makes estimates within a dense mesh of triangle, it is possible for the method to account for a finer spatial detail than fixed stratification.

In the present case, shrimp stock status is being monitored using a stratification scheme designed to monitor gadoid and flatfish stocks. There is no reason to believe that shrimp should be distributed similarly to these finfish. Therefore, the continuous approach developed within the ogmap framework may be more appropriate to index estimation than the rigid stratum boundaries assumed by stratified areal assumption. Ogmap makes use of a decaying function that giving greater weight to nearby samples (taking depth and horizontal distance into account) than to distant samples. This makes intuitive sense. As opposed to stratified areal expansion methods which assume that the environment within a stratum is uniform and that samples from opposite ends of a stratum may be averaged and then extrapolated to the entire stratum. Stratified methods assume that there is no relationship between samples from nearby strata.

Additionally, stratified areal expansion calculations assume that the data are normally distributed. As demonstrated in the present paper, 95% confidence intervals may be wide and lower limits may be negative when the data include one or two outlying catches. Alternatively, ogmap does not make distributional assumptions and confidence limits can never be negative because they are taken from a probability distribution ogive.

Finally, an example was provided of how TACs could be derived from ogmap biomass confidence limits and fishable biomass indices.

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Table 1. Northern shrimp stock size estimates in NAFO Div. 3LNO as calculated using stratified areal expansion methods. Data were obtained from annual spring and autumn Canadian multi-species bottom trawl surveys, 1995-2004. (Offshore strata only with standard 15 min. tows).

Spring stock size estimates

| Year | Biomass (tons) |          |            | Abundance (numbers x 10 <sup>-6</sup> ) |          |            | Survey Sets |
|------|----------------|----------|------------|---|----------|------------|-------------|
|      | Lower C.I.     | Estimate | Upper C.I. | Lower C.I.                              | Estimate | Upper C.I. |             |
| 1999 | 12,564         | 55,317   | 98,069     | 3,178                                   | 12,702   | 22,227     | 313         |
| 2000 | -15,869        | 121,815  | 259,498    | -54,743                                 | 25,012   | 104,768    | 298         |
| 2001 | 62,359         | 102,566  | 142,773    | 13,417                                  | 24,845   | 36,272     | 300         |
| 2002 | 121,067        | 159,491  | 197,916    | 28,311                                  | 37,512   | 46,714     | 300         |
| 2003 | 112,299        | 193,766  | 275,233    | 21,857                                  | 46,295   | 70,732     | 300         |
| 2004 | -529,764       | 110,827  | 751,418    | -97,747                                 | 21,696   | 141,395    | 296         |

Autumn stock size estimates

| Year | Biomass (tons) |          |            | Abundance (numbers x 10 <sup>-6</sup> ) |          |            | Survey Sets |
|------|----------------|----------|------------|---|----------|------------|-------------|
|      | Lower C.I.     | Estimate | Upper C.I. | Lower C.I.                              | Estimate | Upper C.I. |             |
| 1995 | 3,639          | 5,921    | 8,202      | 659                                     | 2,054    | 3,449      | 337         |
| 1996 | 10,230         | 20,088   | 29,948     | 1,985                                   | 5,867    | 9,748      | 304         |
| 1997 | 25,530         | 46,202   | 66,875     | 6,280                                   | 10,523   | 14,766     | 318         |
| 1998 | 40,011         | 59,914   | 79,816     | 10,787                                  | 15,326   | 19,866     | 347         |
| 1999 | 36,202         | 53,144   | 70,086     | 9,588                                   | 13,060   | 16,533     | 313         |
| 2000 | 93,132         | 118,180  | 143,227    | 25,840                                  | 32,066   | 38,292     | 337         |
| 2001 | 77,563         | 223,995  | 370,427    | 20,177                                  | 54,077   | 87,978     | 362         |
| 2002 | 126,180        | 215,008  | 303,837    | 30,469                                  | 50,257   | 70,044     | 365         |
| 2003 | 106,338        | 223,568  | 340,798    | 29,708                                  | 47,281   | 64,853     | 316         |

Table 2. Northern shrimp stock size estimates in NAFO Div. 3LNO as calculated using ogmap. Data were obtained from annual spring and autumn Canadian multi-species bottom trawl surveys, 1995-2004. (Offshore strata only with standard 15 min. tows).

Spring stock size estimates

| Year | Biomass (tons) |          |            | Abundance (numbers x 10 <sup>-6</sup> ) |          |            | Survey Sets |
|------|----------------|----------|------------|---|----------|------------|-------------|
|      | Lower C.I.     | Estimate | Upper C.I. | Lower C.I.                              | Estimate | Upper C.I. |             |
| 1999 | 27,080         | 49,500   | 76,520     | 6,592                                   | 11,437   | 17,310     | 313         |
| 2000 | 65,710         | 113,300  | 176,700    | 13,150                                  | 21,356   | 31,590     | 298         |
| 2001 | 52,680         | 82,500   | 117,000    | 12,240                                  | 19,714   | 28,540     | 300         |
| 2002 | 87,390         | 133,800  | 204,700    | 20,730                                  | 31,260   | 47,660     | 300         |
| 2003 | 118,300        | 169,600  | 237,500    | 26,210                                  | 38,998   | 57,840     | 300         |
| 2004 | 4,080          | 100,900  | 178,200    | 8,213                                   | 19,444   | 33,820     | 296         |

Autumn stock size estimates

| Year | Biomass (tons) |          |            | Abundance (numbers x 10 <sup>-6</sup> ) |          |            | Survey Sets |
|------|----------------|----------|------------|---|----------|------------|-------------|
|      | Lower C.I.     | Estimate | Upper C.I. | Lower C.I.                              | Estimate | Upper C.I. |             |
| 1995 | 6,944          | 8,300    | 14,630     | 2,056                                   | 2,659    | 4,789      | 337         |
| 1996 | 21,700         | 24,700   | 35,150     | 5,324                                   | 6,575    | 9,370      | 304         |
| 1997 | 32,410         | 44,000   | 61,940     | 7,545                                   | 9,911    | 13,860     | 318         |
| 1998 | 48,310         | 60,700   | 76,640     | 11,950                                  | 14,975   | 19,120     | 347         |
| 1999 | 43,160         | 54,900   | 72,390     | 10,620                                  | 12,993   | 16,510     | 313         |
| 2000 | 83,990         | 107,000  | 139,200    | 20,890                                  | 27,898   | 35,830     | 337         |
| 2001 | 155,300        | 215,400  | 259,600    | 36,890                                  | 51,730   | 62,040     | 362         |
| 2002 | 135,500        | 191,700  | 239,500    | 31,100                                  | 44,472   | 54,750     | 365         |
| 2003 | 143,300        | 191,100  | 244,900    | 29,880                                  | 39,293   | 48,850     | 316         |

Table 3. NAFO Div. 3LNO northern shrimp (*Pandalus borealis*) biomass estimates for entire Divisions and outside the 200 Nmi limit. The estimates were derived using stratified areal expansion calculations with data obtained from annual spring Canadian research bottom trawl surveys. (Standard 15 min. tows taken with a Campelen 1800 shrimp trawl.)

| Season        | Year | Division | Entire                          |                        | Outside 200 Nmi limit           |                                | 3 year running               |                                      |
|---------------|------|----------|---------------------------------|------------------------|---------------------------------|--------------------------------|------------------------------|--------------------------------------|
|               |      |          | Biomass estimate<br>(Kg x 1000) | Percent by<br>division | Biomass estimate<br>(Kg x 1000) | Percent biomass<br>by division | percent<br>biomass<br>in NRA | average percent<br>biomass<br>in NRA |
| Spring        | 1999 | 3L       | 53,934                          | 97.50                  | 14,731                          | 91.74                          | 27.31                        | 27.31                                |
| Spring        | 2000 | 3L       | 119,521                         | 98.12                  | 36,127                          | 94.30                          | 30.23                        | 28.77                                |
| Spring        | 2001 | 3L       | 102,493                         | 99.93                  | 18,397                          | 99.75                          | 17.95                        | 25.16                                |
| Spring        | 2002 | 3L       | 155,061                         | 97.22                  | 47,288                          | 92.79                          | 30.50                        | 26.22                                |
| Spring        | 2003 | 3L       | 190,718                         | 98.43                  | 38,473                          | 93.13                          | 20.17                        | 22.87                                |
| Spring        | 2004 | 3L       | 109,590                         | 98.88                  | 27,262                          | 96.37                          | 24.88                        | 25.18                                |
|               |      |          | Overall average                 | <b>98.35</b>           |                                 |                                | Overall average              | <b>25.17</b>                         |
| Spring        | 1999 | 3N       | 1,349                           | 2.44                   | 1,327                           | 8.26                           | 98.37                        | 98.37                                |
| Spring        | 2000 | 3N       | 2,248                           | 1.85                   | 2,178                           | 5.69                           | 96.89                        | 97.63                                |
| Spring        | 2001 | 3N       | 53                              | 0.05                   | 45                              | 0.24                           | 84.91                        | 93.39                                |
| Spring        | 2002 | 3N       | 4,395                           | 2.76                   | 3,670                           | 7.20                           | 83.50                        | 88.43                                |
| Spring        | 2003 | 3N       | 2,853                           | 1.47                   | 2,834                           | 6.86                           | 99.33                        | 89.25                                |
| Spring        | 2004 | 3N       | 1,099                           | 0.99                   | 1,019                           | 3.60                           | 92.72                        | 91.85                                |
|               |      |          | Overall average                 | <b>1.59</b>            |                                 |                                | Overall average              | <b>92.62</b>                         |
| Spring        | 1999 | 3O       | 34                              | 0.06                   | 0                               | 0.00                           | 0.00                         | 0.00                                 |
| Spring        | 2000 | 3O       | 46                              | 0.04                   | 6                               | 0.02                           | 13.04                        | 6.52                                 |
| Spring        | 2001 | 3O       | 20                              | 0.02                   | 2                               | 0.01                           | 10.00                        | 7.68                                 |
| Spring        | 2002 | 3O       | 35                              | 0.02                   | 4                               | 0.01                           | 11.43                        | 11.49                                |
| Spring        | 2003 | 3O       | 196                             | 0.10                   | 2                               | 0.01                           | 1.02                         | 7.48                                 |
| Spring        | 2004 | 3O       | 138                             | 0.12                   | 8                               | 0.02                           | 5.80                         | 6.08                                 |
|               |      |          | Overall average                 | <b>0.06</b>            |                                 |                                | Overall average              | <b>6.88</b>                          |
| all divisions |      |          |                                 |                        |                                 |                                |                              |                                      |
| Spring        | 1999 |          | 55,317                          |                        | 16,057                          |                                | 29.03                        | 29.03                                |
| Spring        | 2000 |          | 121,815                         |                        | 38,310                          |                                | 31.45                        | 30.24                                |
| Spring        | 2001 |          | 102,566                         |                        | 18,444                          |                                | 17.98                        | 26.15                                |
| Spring        | 2002 |          | 159,491                         |                        | 50,962                          |                                | 31.95                        | 27.13                                |
| Spring        | 2003 |          | 193,766                         |                        | 41,310                          |                                | 21.32                        | 34.23                                |
| Spring        | 2004 |          | 110,827                         |                        | 28,289                          |                                | 25.53                        | 32.26                                |
|               |      |          |                                 |                        |                                 |                                | Overall average              | <b>26.21</b>                         |

Table 4. NAFO Div. 3LNO northern shrimp (*Pandalus borealis*) biomass estimates for entire Divisions and outside the 200 Nmi limit. The estimates were derived using stratified areal expansion calculations with data obtained from annual autumn Canadian research bottom trawl surveys. (Standard 15 min. tows taken with a Campelen 1800 shrimp trawl.)

| Season        | Year | Division | Entire Division<br>Biomass estimate<br>(Kg x 1000) | Percent by<br>division | Biomass estimate<br>(Kg x 1000) | Outside 200 Nmi limit<br>Percent biomass<br>by division | percent<br>biomass<br>in NRA | 3 year running<br>average percent<br>biomass<br>in NRA |
|---------------|------|----------|--|------------------------|---------------------------------|---|------------------------------|--|
| Autumn        | 1995 | 3L       | 5,357  | 90.48                  | 1,039                           | 67.63   | 19.40                        | 19.40  |
| Autumn        | 1996 | 3L       | 18,566   | 92.42                  | 4,506                           | 76.86   | 24.27                        | 21.84  |
| Autumn        | 1997 | 3L       | 45,758   | 99.04                  | 5,115                           | 92.83   | 11.18                        | 18.28  |
| Autumn        | 1998 | 3L       | 56,485   | 94.28                  | 8,707                           | 75.66   | 15.42                        | 16.95  |
| Autumn        | 1999 | 3L       | 52,863   | 99.47                  | 8,734                           | 97.38   | 16.52                        | 14.37  |
| Autumn        | 2000 | 3L       | 117,902  | 99.77                  | 28,447                          | 99.16   | 24.13                        | 18.69  |
| Autumn        | 2001 | 3L       | 223,149  | 99.62                  | 52,292                          | 98.47   | 23.43                        | 21.36  |
| Autumn        | 2002 | 3L       | 210,451  | 97.88                  | 35,702                          | 91.48   | 16.96                        | 21.51  |
| Autumn        | 2003 | 3L       | 220,711  | 98.72                  | 43,986                          | 94.76   | 19.93                        | 20.11  |
|               |      |          | Overall average                                    | <b>96.85</b>           |                                 |   | Overall average              | <b>19.03</b>   |
| Autumn        | 1995 | 3N       | 533  | 9.00                   | 497                             | 32.34   | 93.29                        | 93.29  |
| Autumn        | 1996 | 3N       | 1,514  | 7.54                   | 1,356                           | 23.12   | 89.52                        | 91.40  |
| Autumn        | 1997 | 3N       | 427  | 0.92                   | 391                             | 7.09  | 91.52                        | 91.44  |
| Autumn        | 1998 | 3N       | 3,360  | 5.61                   | 2,786                           | 24.21   | 82.91                        | 87.98  |
| Autumn        | 1999 | 3N       | 272  | 0.51                   | 232                             | 2.59  | 85.57                        | 86.67  |
| Autumn        | 2000 | 3N       | 270  | 0.23                   | 240                             | 0.84  | 88.80                        | 85.76  |
| Autumn        | 2001 | 3N       | 836  | 0.37                   | 809                             | 1.52  | 96.77                        | 90.38  |
| Autumn        | 2002 | 3N       | 4,444  | 2.07                   | 3,295                           | 8.44  | 74.14                        | 86.57  |
| Autumn        | 2003 | 3N       | 2,785  | 1.25                   | 2,421                           | 5.22  | 86.93                        | 85.95  |
|               |      |          | Overall average                                    | <b>3.06</b>            |                                 |   | Overall average              | <b>87.72</b>   |
| Autumn        | 1995 | 3O       | 31   | 0.52                   | 1                               | 0.04  | 1.82                         | 1.82   |
| Autumn        | 1996 | 3O       | 9  | 0.04                   | 1                               | 0.02  | 12.50                        | 7.16   |
| Autumn        | 1997 | 3O       | 17   | 0.04                   | 4                               | 0.07  | 23.79                        | 12.70  |
| Autumn        | 1998 | 3O       | 69   | 0.12                   | 15                              | 0.13  | 21.23                        | 19.17  |
| Autumn        | 1999 | 3O       | 9  | 0.02                   | 3                               | 0.03  | 33.59                        | 26.21  |
| Autumn        | 2000 | 3O       | 8  | 0.01                   | 1                               | 0.00  | 8.02                         | 20.95  |
| Autumn        | 2001 | 3O       | 10   | 0.00                   | 3                               | 0.01  | 30.00                        | 23.87  |
| Autumn        | 2002 | 3O       | 113  | 0.05                   | 32                              | 0.08  | 28.32                        | 22.11  |
| Autumn        | 2003 | 3O       | 72   | 0.03                   | 8                               | 0.02  | 11.11                        | 23.14  |
|               |      |          | Overall average                                    | <b>0.09</b>            |                                 |   | Overall average              | <b>18.93</b>   |
| all divisions |      |          |  |                        |                                 |   |                              |  |
| Autumn        | 1995 |          | 5,921  |                        | 1,537                           |   | 25.96                        | 25.96  |
| Autumn        | 1996 |          | 20,088   |                        | 5,862                           |   | 29.18                        | 27.57  |
| Autumn        | 1997 |          | 46,202   |                        | 5,509                           |   | 11.92                        | 22.36  |
| Autumn        | 1998 |          | 59,914   |                        | 11,508                          |   | 19.21                        | 20.11  |
| Autumn        | 1999 |          | 53,144   |                        | 8,969                           |   | 16.88                        | 16.00  |
| Autumn        | 2000 |          | 118,180  |                        | 28,687                          |   | 24.27                        | 20.12  |
| Autumn        | 2001 |          | 223,995  |                        | 53,104                          |   | 23.71                        | 21.62  |
| Autumn        | 2002 |          | 215,008  |                        | 39,029                          |   | 18.15                        | 22.04  |
| Autumn        | 2003 |          | 223,568  |                        | 46,416                          |   | 20.76                        | 20.87  |
|               |      |          |  |                        |                                 |   | Overall average              | <b>21.12</b>   |

Table 5. NAFO Div. 3LNO northern shrimp (*Pandalus borealis*) biomass estimates for entire Divisions and outside the 200 Nmi limit. The estimates were derived using ogmap calculations with data obtained from annual autumn Canadian research bottom trawl surveys. (Standard 15 min. tows taken with a Campelen 1800 shrimp trawl.)

| Season        | Year | Division | Entire Division              |                     | Outside 200 Nmi limit        |                             | percent biomass in NRA | 3 year running average percent biomass in NRA |
|---------------|------|----------|------------------------------|---------------------|------------------------------|-----------------------------|------------------------|---|
|               |      |          | Biomass estimate (Kg x 1000) | Percent by division | Biomass estimate (Kg x 1000) | Percent biomass by division |                        |   |
| Spring        | 1999 | 3L       | 47,500                       | 95.38               | 10,200                       | 85.71                       | 21.47                  | 21.47   |
| Spring        | 2000 | 3L       | 108,700                      | 95.77               | 23,800                       | 87.18                       | 21.90                  | 21.68   |
| Spring        | 2001 | 3L       | 82,700                       | 99.64               | 11,400                       | 99.13                       | 13.78                  | 19.05   |
| Spring        | 2002 | 3L       | 128,100                      | 95.60               | 34,300                       | 91.47                       | 26.78                  | 20.82   |
| Spring        | 2003 | 3L       | 165,400                      | 96.73               | 29,900                       | 86.92                       | 18.08                  | 19.55   |
| Spring        | 2004 | 3L       | 99,500                       | 98.61               | 27,100                       | 97.48                       | 27.24                  | 24.03   |
|               |      |          | overall average              | <b>97</b>           |                              |                             | Overall average        | <b>21.54</b>                                  |
| Spring        | 1999 | 3N       | 2,200                        | 4.42                | 1,700                        | 14.29                       | 77.27                  | 77.27   |
| Spring        | 2000 | 3N       | 4,700                        | 4.14                | 3,500                        | 12.82                       | 74.47                  | 75.87   |
| Spring        | 2001 | 3N       | 300                          | 0.36                | 100                          | 0.87                        | 33.33                  | 61.69   |
| Spring        | 2002 | 3N       | 5,800                        | 4.33                | 3,200                        | 8.53                        | 55.17                  | 54.32   |
| Spring        | 2003 | 3N       | 5,400                        | 3.16                | 4,500                        | 13.08                       | 83.33                  | 57.28   |
| Spring        | 2004 | 3N       | 1,200                        | 1.19                | 700                          | 2.52                        | 58.33                  | 65.61   |
|               |      |          | overall average              | <b>3</b>            |                              |                             | Overall average        | <b>63.65</b>                                  |
| Spring        | 1999 | 3O       | 100                          | 0.20                | 0                            | 0.00                        | 0.00                   | 0.00  |
| Spring        | 2000 | 3O       | 100                          | 0.09                | 0                            | 0.00                        | 0.00                   | 0.00  |
| Spring        | 2001 | 3O       | 0                            | 0.00                | 0                            | 0.00                        | 0.00                   | 0.00  |
| Spring        | 2002 | 3O       | 100                          | 0.07                | 0                            | 0.00                        | 0.00                   | 0.00  |
| Spring        | 2003 | 3O       | 200                          | 0.12                | 0                            | 0.00                        | 0.00                   | 0.00  |
| Spring        | 2004 | 3O       | 200                          | 0.20                | 0                            | 0.00                        | 0.00                   | 0.00  |
|               |      |          | overall average              | <b>0</b>            |                              |                             | Overall average        | <b>0.00</b>                                   |
| all divisions |      |          |                              |                     |                              |                             |                        |   |
| Spring        | 1999 |          | 49,800                       |                     | 11,900                       |                             | 23.90                  | 23.90   |
| Spring        | 2000 |          | 113,500                      |                     | 27,300                       |                             | 24.05                  | 23.97   |
| Spring        | 2001 |          | 83,000                       |                     | 11,500                       |                             | 13.86                  | 20.60   |
| Spring        | 2002 |          | 134,000                      |                     | 37,500                       |                             | 27.99                  | 21.96   |
| Spring        | 2003 |          | 171,000                      |                     | 34,400                       |                             | 20.12                  | 20.65   |
| Spring        | 2004 |          | 100,900                      |                     | 27,800                       |                             | 27.55                  | 25.22   |
|               |      |          |                              |                     |                              |                             | Overall average        | <b>22.91</b>                                  |



Table 6. NAFO Div. 3LNO northern shrimp (*Pandalus borealis*) biomass estimates for entire Divisions and outside the 200 Nmi limit. The estimates were derived using ogmap calculations with data obtained from annual autumn Canadian research bottom trawl surveys. (Standard 15 min. tows taken with a Campelen 1800 shrimp trawl.)

| Season | Year          | Division | Entire                       |                     | Outside 200 Nmi limit        |                             | percent biomass in NRA | 3 year running average percent biomass in NRA |
|--------|---------------|----------|------------------------------|---------------------|------------------------------|-----------------------------|------------------------|---|
|        |               |          | Biomass estimate (Kg x 1000) | Percent by division | Biomass estimate (Kg x 1000) | Percent biomass by division |                        |   |
| Autumn | 1995          | 3L       | 7,500                        | 90.36               | 1,000                        | 62.50                       | 13.33                  | 13.33   |
| Autumn | 1996          | 3L       | 22,900                       | 92.71               | 4,000                        | 85.11                       | 17.47                  | 15.40   |
| Autumn | 1997          | 3L       | 43,400                       | 98.64               | 5,500                        | 91.67                       | 12.67                  | 14.49   |
| Autumn | 1998          | 3L       | 56,000                       | 92.26               | 8,900                        | 81.65                       | 15.89                  | 15.34   |
| Autumn | 1999          | 3L       | 54,500                       | 99.27               | 8,000                        | 96.39                       | 14.68                  | 14.41   |
| Autumn | 2000          | 3L       | 105,800                      | 98.88               | 22,100                       | 98.22                       | 20.89                  | 17.15   |
| Autumn | 2001          | 3L       | 213,700                      | 99.21               | 40,800                       | 97.14                       | 19.09                  | 18.22   |
| Autumn | 2002          | 3L       | 187,800                      | 97.97               | 35,200                       | 92.39                       | 18.74                  | 19.57   |
| Autumn | 2003          | 3L       | 185,300                      | 96.96               | 35,300                       | 91.69                       | 19.05                  | 18.96   |
|        |               |          | Overall average              | <b>96.25</b>        |                              |                             | Overall average        | <b>16.87</b>                                  |
| Autumn | 1995          | 3N       | 900                          | 10.84               | 600                          | 37.50                       | 66.67                  | 66.67   |
| Autumn | 1996          | 3N       | 2,000                        | 8.10                | 700                          | 14.89                       | 35.00                  | 50.83   |
| Autumn | 1997          | 3N       | 700                          | 1.59                | 500                          | 8.33                        | 71.43                  | 57.70   |
| Autumn | 1998          | 3N       | 4,700                        | 7.74                | 2,000                        | 18.35                       | 42.55                  | 49.66   |
| Autumn | 1999          | 3N       | 500                          | 0.91                | 300                          | 3.61                        | 60.00                  | 57.99   |
| Autumn | 2000          | 3N       | 700                          | 0.65                | 400                          | 1.78                        | 57.14                  | 53.23   |
| Autumn | 2001          | 3N       | 1,700                        | 0.79                | 1,200                        | 2.86                        | 70.59                  | 62.58   |
| Autumn | 2002          | 3N       | 4,000                        | 2.09                | 2,900                        | 7.61                        | 72.50                  | 66.74   |
| Autumn | 2003          | 3N       | 4,700                        | 2.46                | 3,200                        | 8.31                        | 68.09                  | 70.39   |
|        |               |          | Overall average              | <b>3.91</b>         |                              |                             | Overall average        | <b>60.44</b>                                  |
| Autumn | 1995          | 3O       | 0                            | 0.00                | 0                            | 0.00                        | 0.00                   | 0.00  |
| Autumn | 1996          | 3O       | 0                            | 0.00                | 0                            | 0.00                        | 0.00                   | 0.00  |
| Autumn | 1997          | 3O       | 0                            | 0.00                | 0                            | 0.00                        | 0.00                   | 0.00  |
| Autumn | 1998          | 3O       | 100                          | 0.16                | 0                            | 0.00                        | 0.00                   | 0.00  |
| Autumn | 1999          | 3O       | 0                            | 0.00                | 0                            | 0.00                        | 0.00                   | 0.00  |
| Autumn | 2000          | 3O       | 0                            | 0.00                | 0                            | 0.00                        | 0.00                   | 0.00  |
| Autumn | 2001          | 3O       | 0                            | 0.00                | 0                            | 0.00                        | 0.00                   | 0.00  |
| Autumn | 2002          | 3O       | 100                          | 0.05                | 0                            | 0.00                        | 0.00                   | 0.00  |
| Autumn | 2003          | 3O       | 200                          | 0.10                | 0                            | 0.00                        | 0.00                   | 0.00  |
|        |               |          | Overall average              | <b>0.04</b>         |                              |                             | Overall average        | <b>0.00</b>                                   |
| Autumn | all divisions |          | 8,300                        |                     | 1,600                        |                             | 19.28                  | 19.28   |
| Autumn |               |          | 24,700                       |                     | 4,700                        |                             | 19.03                  | 19.15   |
| Autumn |               |          | 44,000                       |                     | 6,000                        |                             | 13.64                  | 17.31   |
| Autumn |               |          | 60,700                       |                     | 10,900                       |                             | 17.96                  | 16.87   |
| Autumn |               |          | 54,900                       |                     | 8,300                        |                             | 15.12                  | 15.57   |
| Autumn |               |          | 107,000                      |                     | 22,500                       |                             | 21.03                  | 18.03   |
| Autumn |               |          | 215,400                      |                     | 42,000                       |                             | 19.50                  | 18.55   |
| Autumn |               |          | 191,700                      |                     | 38,100                       |                             | 19.87                  | 20.13   |
| Autumn |               |          | 191,100                      |                     | 38,500                       |                             | 20.15                  | 19.84   |
|        |               |          |                              |                     |                              |                             | Overall average        | <b>18.40</b>                                  |

Table 7. A comparison between the most recent TAC based upon stratified areal expansion calculations and the TAC had it been based upon ogmap calculations.

| Survey                        | Stratified areal expansion:<br>Biomass lower 95% C.L. | Ogmap:<br>Biomass lower 95% C.L. |
|-------------------------------|---|----------------------------------|
| Autumn 2000                   | 93,132 t  | 83,990 t                         |
| Autumn 2001                   | 77,563 t  | 155,300 t                        |
| Spring 2001                   | 62,359 t  | 52,680 t                         |
| Spring 2002                   | 121,067 t   | 87,390 t                         |
| Average of the lower C.L.     | 88,530 t  | 94,840 t                         |
| 15% of the average lower C.L. | 13,280 t  | 14,226 t                         |

Table 8. The TAC within Hawke Channel + 3K (SFA 6) as a percentage of the average lower 95% confidence limit for ogmap biomass indices from the last four autumn Canadian research bottom trawl surveys.

| Survey  | Ogmap:<br>Biomass Lower 95% C.L. |
|---|----------------------------------|
| Autumn 2000   | 503,700 t                        |
| Autumn 2001   | 566,400 t                        |
| Autumn 2002   | 536,700 t                        |
| Autumn 2003   | 506,700 t                        |
| Average of lower C.L.   | 528,375 t                        |
| Present TAC   | 77,932 t                         |
| Exploitation rate (catch/lower C.L.)<br>expressed as a percentage | 14.75%                           |

Table 9. Revised TACs based upon stratified areal expansion calculations and ogmap calculations using the most recent Canadian research bottom trawl surveys with non negative lower confidence limits.

| Survey                           | Stratified areal<br>Expansion:<br>Biomass<br>Lower 95% C.L. | Survey      | Ogmap:<br>Biomass<br>Lower 95% C.L. |
|----------------------------------|---|-------------|-------------------------------------|
| Autumn 2002                      | 126,180 t   | Autumn 2002 | 135,500 t                           |
| Autumn 2003                      | 106,338 t   | Autumn 2003 | 143,300 t                           |
| Spring 2002                      | 121,067 t   | Spring 2003 | 118,300 t                           |
| Spring 2003                      | 112,299 t   | Spring 2004 | 4 080 t                             |
| Average of lower C.L.            | 116,471 t   |             | 100,295 t                           |
| 15% of the average<br>lower C.L. | 17,471 t  |             | 15,044 t                            |

Table 10. Revised TACs based upon ogmap calculations of fishable biomass (males >17 mm, and all females) using data collected during the most recent Canadian research bottom trawl surveys.

|  | Autumn 2002 | Autumn 2003 | Spring 2003 | Spring 2004 |
|--|-------------|-------------|-------------|-------------|
| Fishable male biomass                                    | 109,214 t   | 98,809 t    | 84,854 t    | 54,821 t    |
| Female biomass   | 69,500 t    | 82,400 t    | 78,500 t    | 44,900 t    |
| Total fishable biomass                                   | 178,714 t   | 181,209 t   | 163,354 t   | 99,721 t    |
| Average fishable biomass                                 | 155,750 t   |             |             |             |
| TAC based upon 10%<br>of the average fishable<br>biomass | 16,000 t    |             |             |             |

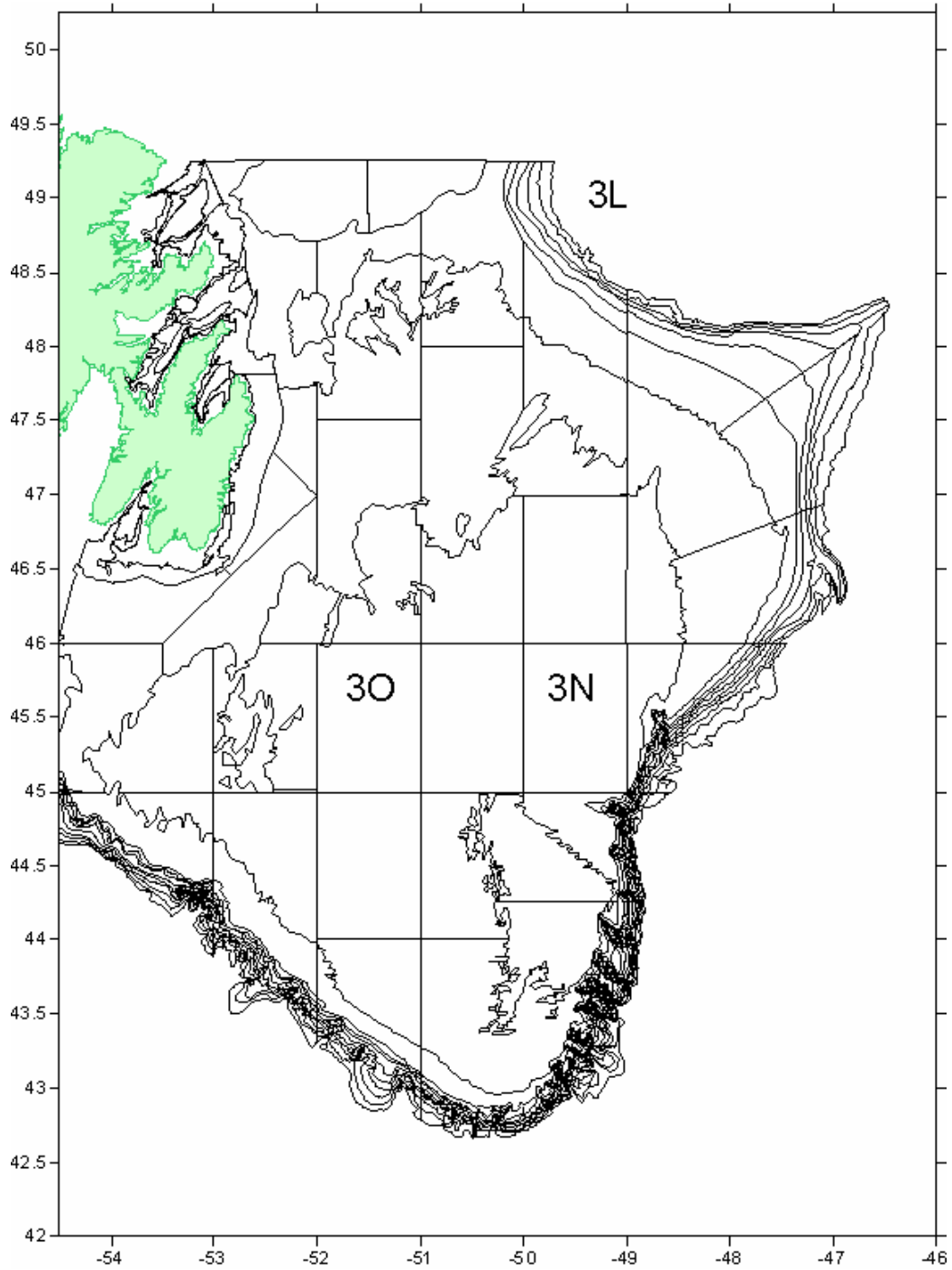


Fig. 1. The NAFO Div. 3LNO stratification scheme used in Canadian research bottom trawl survey set allocation.

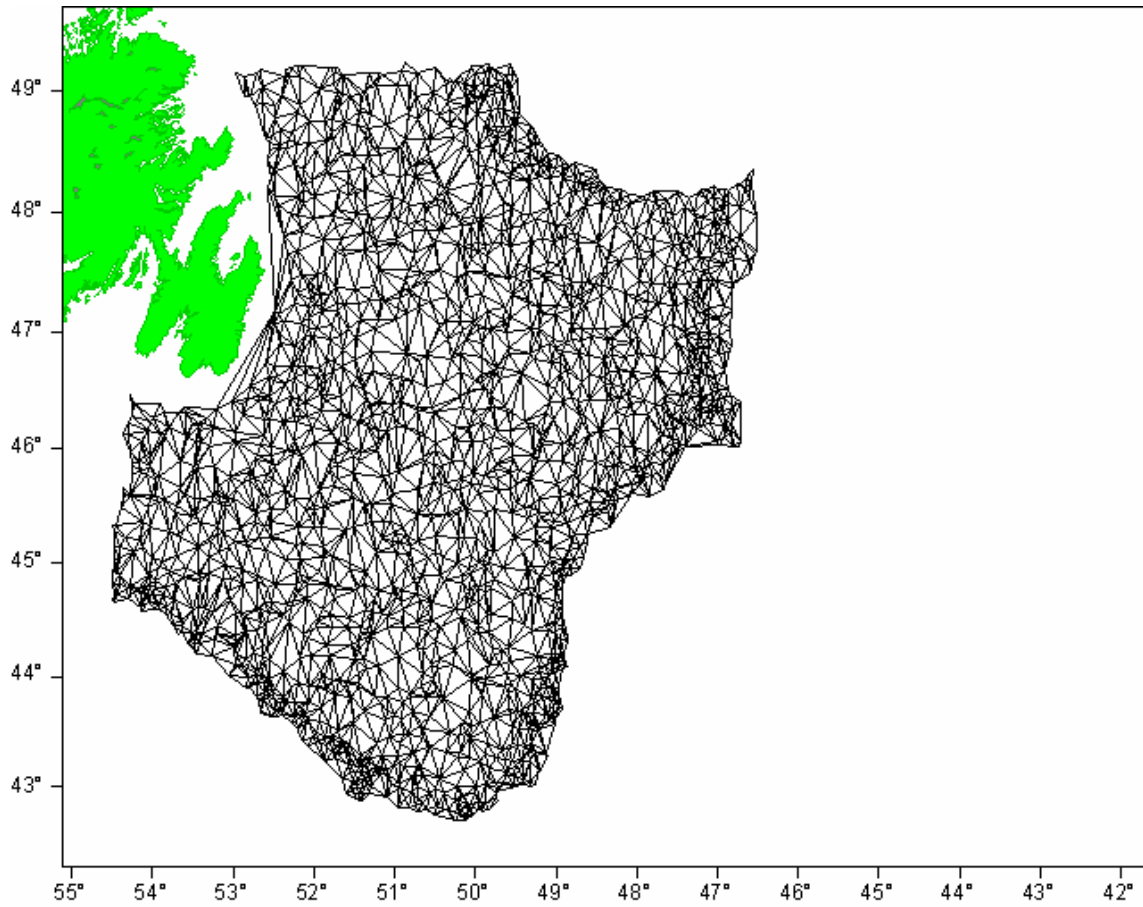


Fig. 2. The Delauney triangulation used by ogmap to derive shrimp biomass, abundance indices and population adjusted length frequencies within NAFO Div. 3LNO.

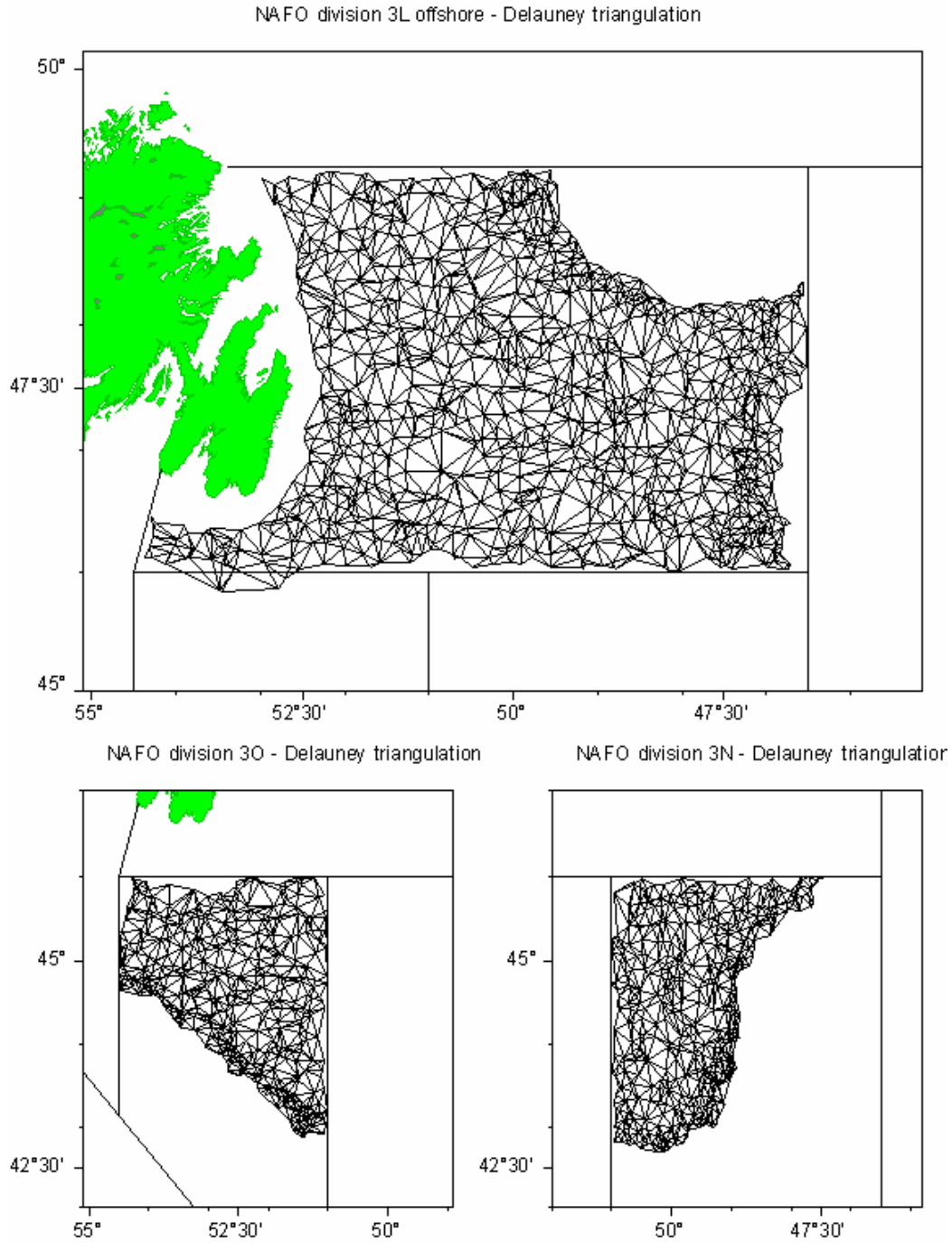


Fig. 3. The Delaunay triangulation used to derive within NAFO Division ogmap biomass and abundance indices.

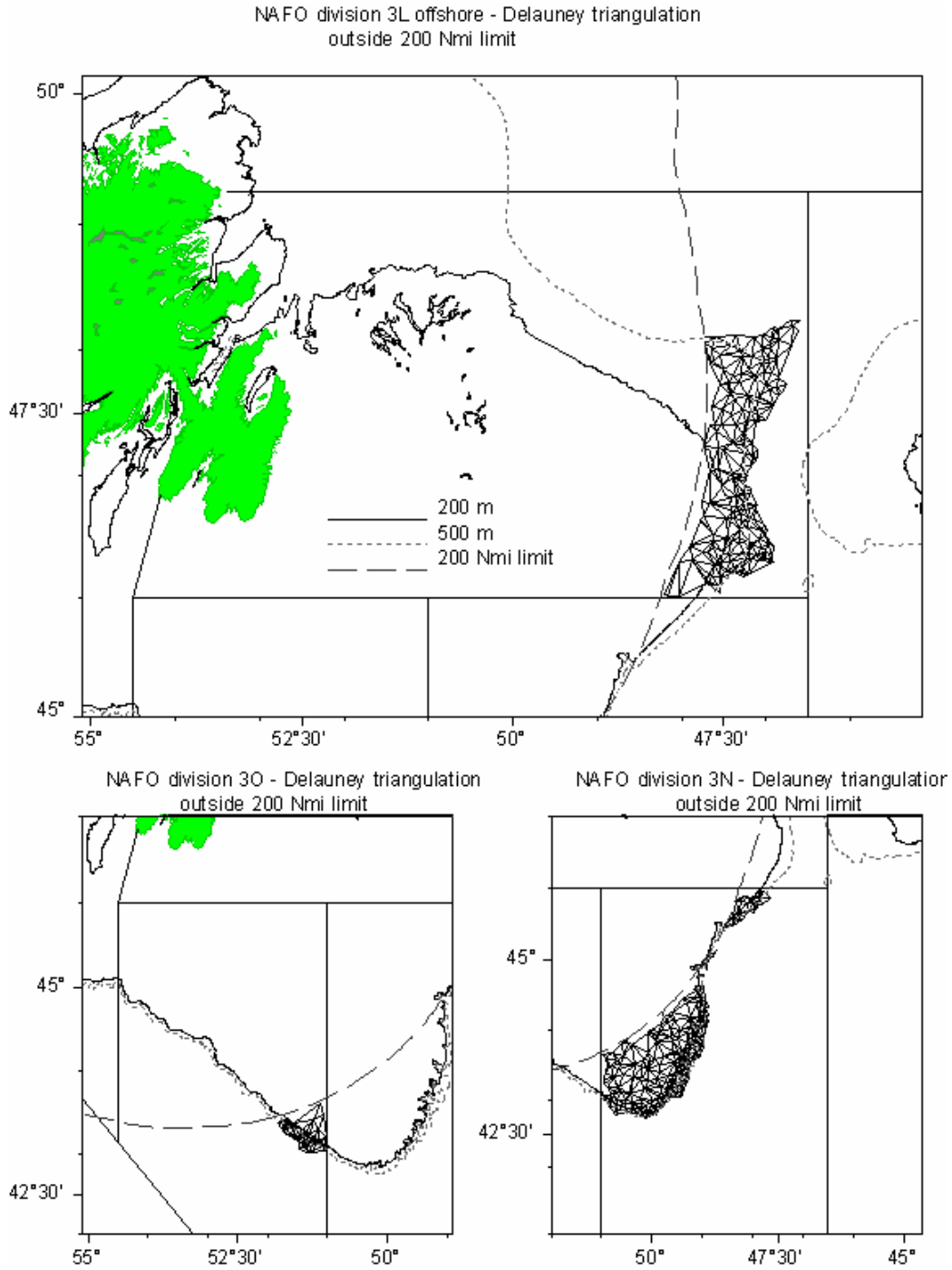


Fig. 4. The Delauney triangulation used to derive the outside 200 Nmi limit ogmap biomass and abundance indices.

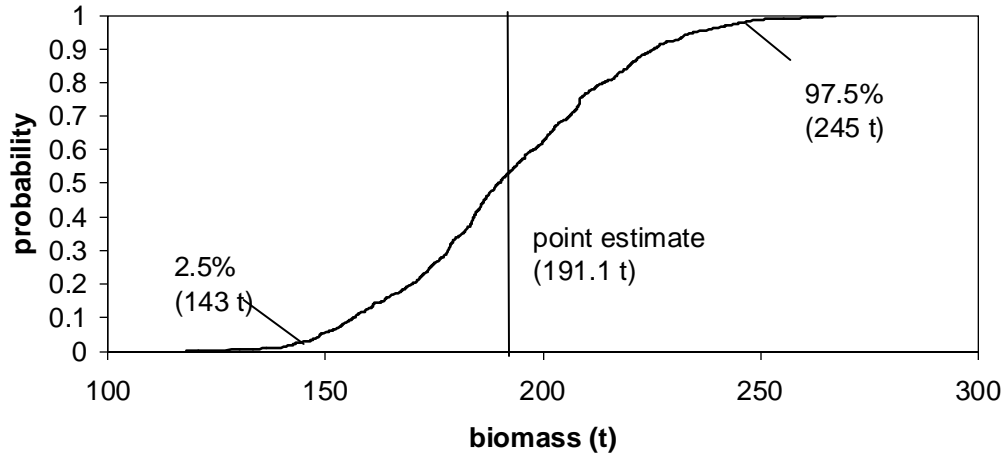


Fig. 5. The Monte Carlo distribution for expected biomass of northern shrimp (*Pandalus borealis*) integrated over NAFO Div. 3LNO. Please note that the expected biomass index is calculated from the entire distribution rather than from the Monte Carlo simulations. The 95% confidence limits are found on the distribution ogive. The data used in this analysis were obtained during the autumn 2003 Canadian research bottom trawl survey.

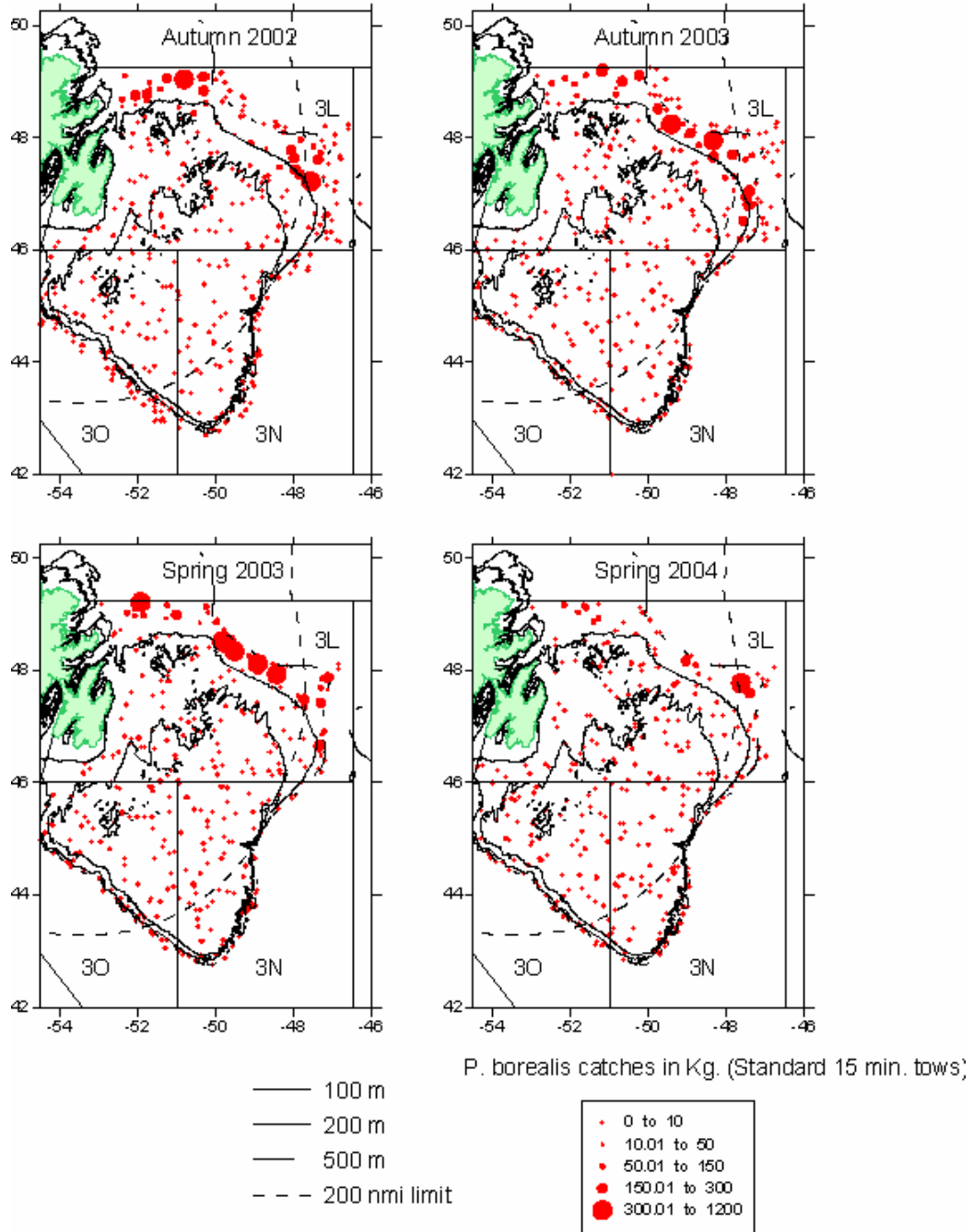


Fig. 6. Distribution of NAFO Div. 3LNO northern pink shrimp (*Pandalus borealis*) catches (kg/tow) as obtained from spring and autumn Canadian research bottom trawl surveys conducted over the period 2002-2004 using a Camplen 1800 shrimp trawl.



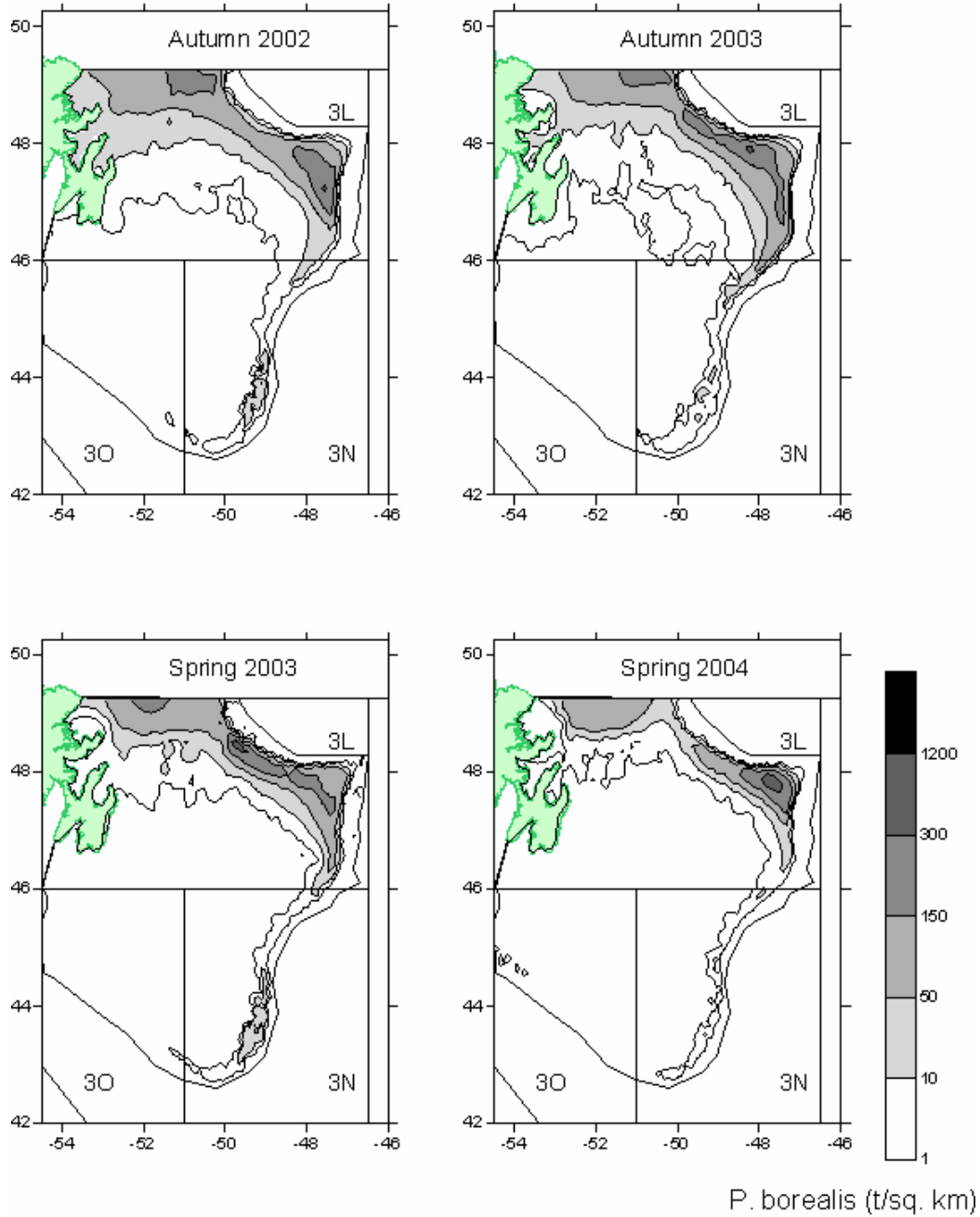


Fig. 7. The estimated northern shrimp (*Pandalus borealis*) densities (t/sq. km) as calculated using ogmap. The data were obtained from spring and autumn Canadian research bottom trawl surveys conducted over the period 2002-2004 using a Camplen 1800 shrimp trawl.

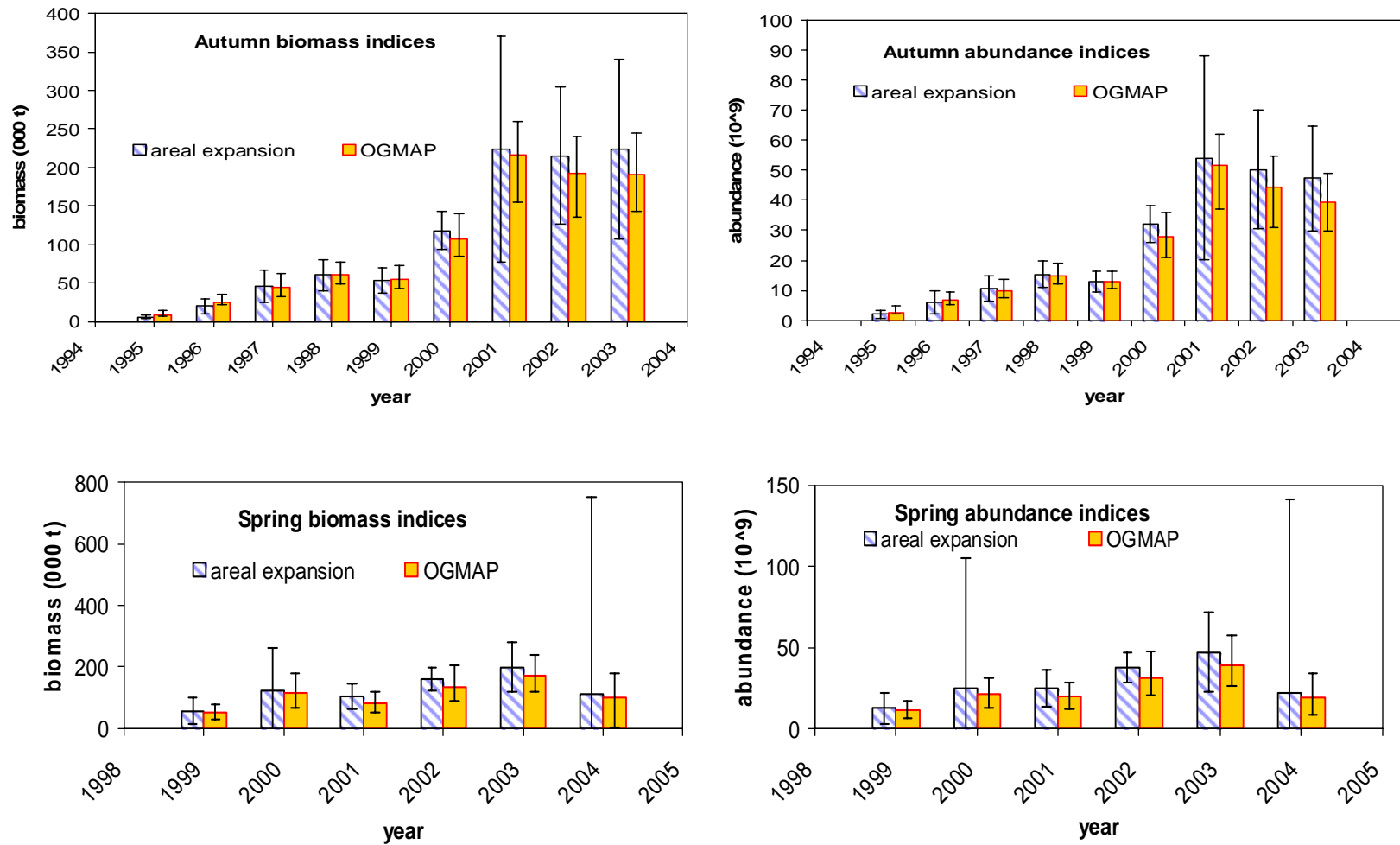


Fig. 8. NAFO Div. 3LNO northern shrimp biomass and abundance indices with 95% confidence intervals as calculated using stratified areal expansion and ogmap methods. The data were obtained from annual spring and autumn Canadian research.

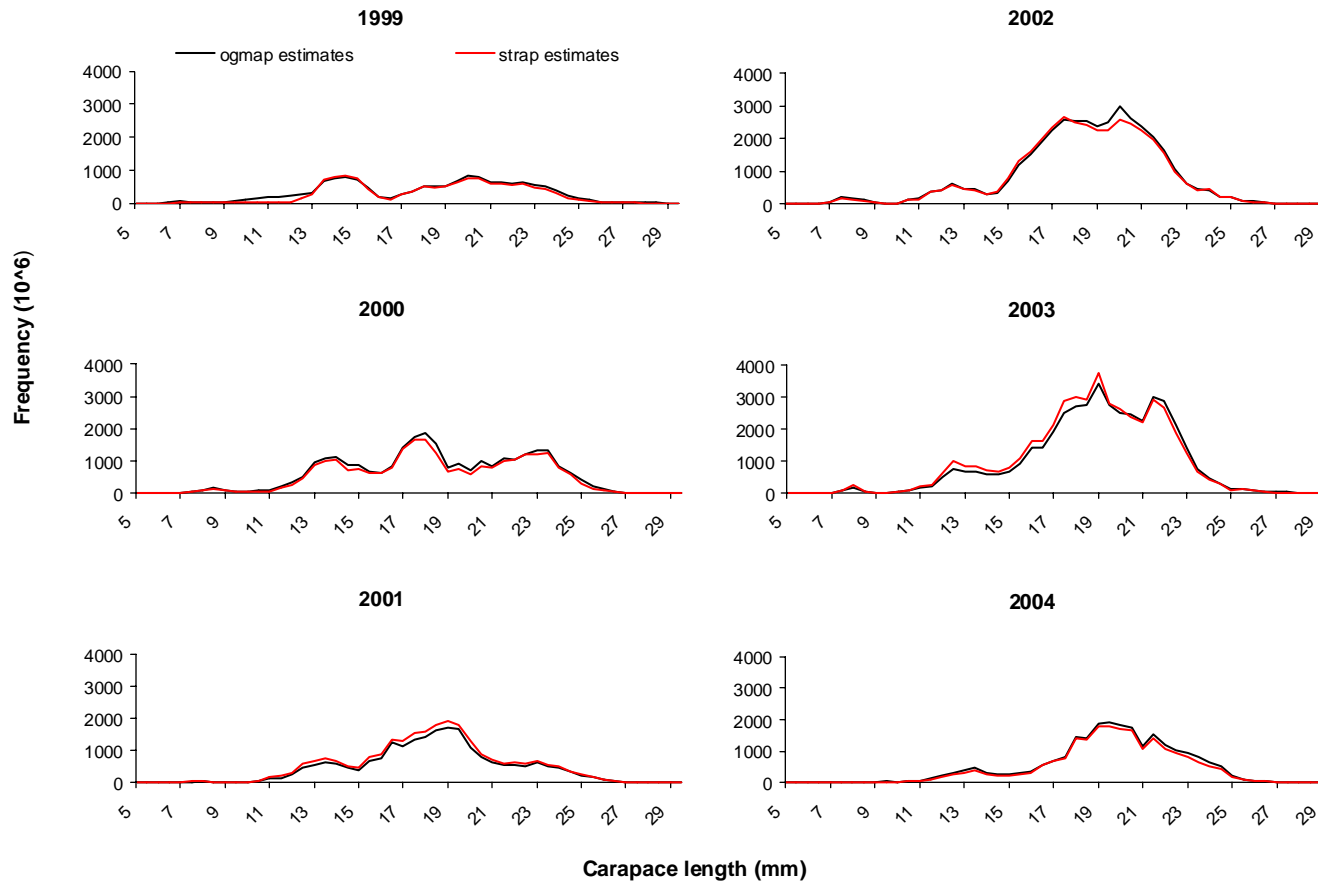


Fig. 9. NAFO Div. 3LNO northern shrimp carapace length frequencies as calculated using stratified areal expansion and ogmap calculations. The data were obtained from annual spring Canadian research bottom trawl surveys using a Campelen 1800 shrimp trawl. (Offshore strata only. Standard 15 min. tows.)

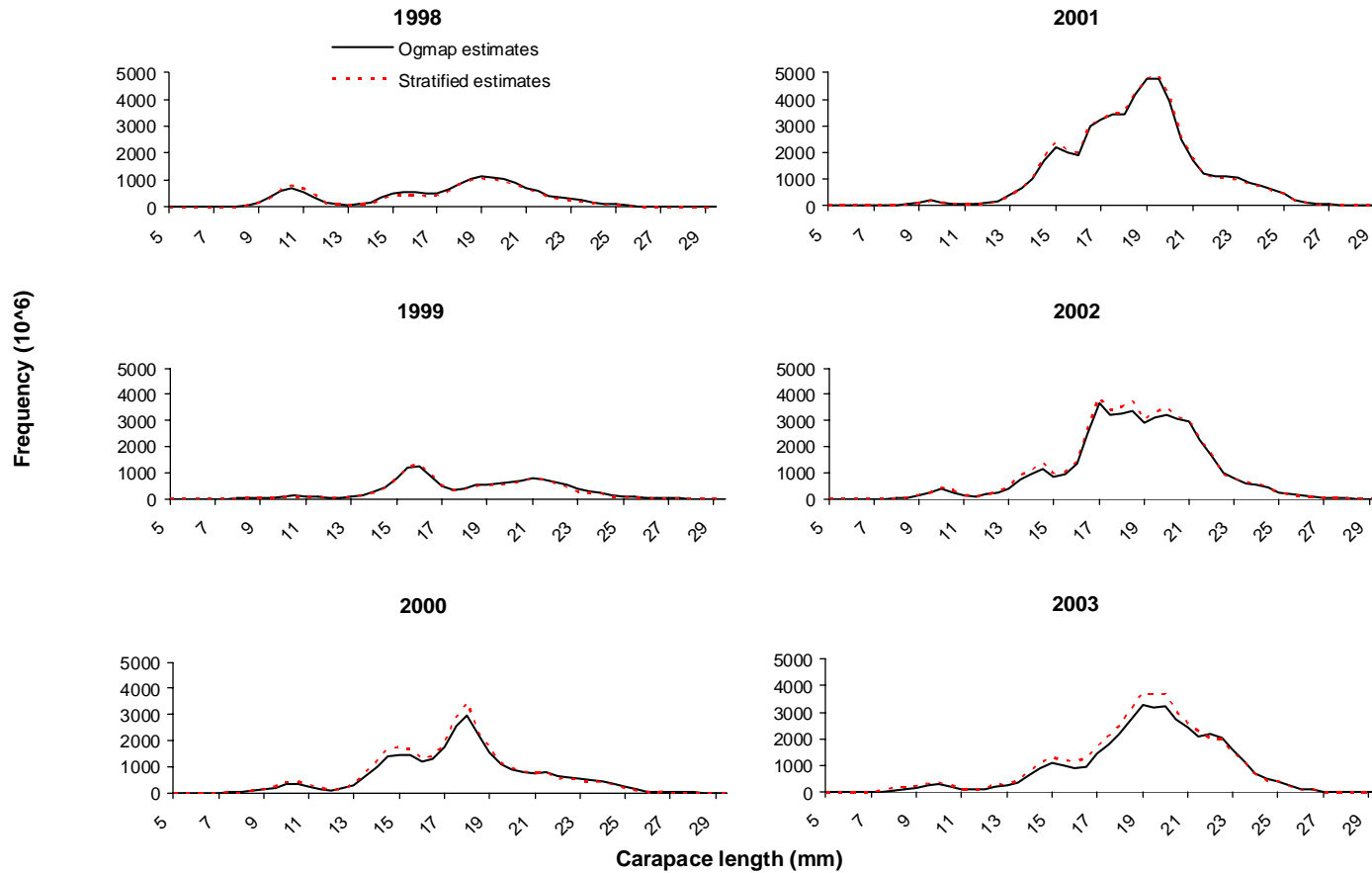


Fig. 10. NAFO Div. 3LNO northern shrimp carapace length frequencies as calculated using stratified areal expansion and ogmap calculations. The data were obtained from annual autumn Canadian research bottom trawl surveys using a Campelen 1800 shrimp trawl. (Offshore strata only. Standard 15 min. tows.)