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A Survey-based Assessment of Cod in Division 3M

by

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## Abstract

The cod stock in NAFO Division 3M is in moratorium since 1999. The low catches collected since then make it difficult to apply a VPA based assessment to evaluate the current stock status. Therefore, a survey-based assessment method was used to evaluate the present status in a stochastic way; a method that takes into account uncertainties in survey sampling as well as in catchability estimates. The results show that the spawning stock biomass is at very low level in comparison to the time series levels and all survey stochastic estimates are under  $B_{lim}$ . Although abundance at age 1 in the survey is the highest observed since 1993, the current abundances at age of pre-mature year-classes are at very historic low levels and, consequently, a recovery of the stock is not expected in a sort or medium term.

# Introduction

The stock is under moratoria since 1999 due to the collapse of the stock; which has been attributed to three possible factors: a stock decline due to overfishing, an increase in catchability at low abundance levels and a very poor recruitment since 1993. Last recent year assessment confirmed the poor situation of the stock with the spawning stock biomass at its lowest levels and well below  $B_{lim}$  (Vázquez and Cerviño, 2005). Moreover, the recovery of the stock was not expected in the short or medium term time period (Vázquez and Cerviño, 2005).

Since 1974, when a TAC was established for the first time, catches ranged from 48 000 tons in 1989 to a minimum of 16 tons in 2003. Total estimated catch in 2005 was 19 tons. Annual catches were about 30 000 tons in the late 1980s, when the fishery was under moratoria in 1988-1990, and they diminished since then as a consequence of the stock decline. Since 1998 catches were less than 1 000 tons and since 2000 they were less than 100 tons, mainly attributed to by-catches in other fisheries. Historical catches are shown in Table 1, where decline of the fishery is clearly observed.

A VPA based assessment of the cod stock in Flemish Cap was approved in 1999 for the first time and it was annually updated until 2002. However, most recent catches were very small, under 100 tons, undermining the VPA based assessment as the results of VPA are based on catches and are quite sensitive to natural mortality (M) values when catches are at low levels. The F estimates from last analysis were at the same level than M in both 1998 and 1999 and lower than M in 2000 and 2001 (Vázquez and Cerviño, 2002). Therefore, as the XSA depends solely on the precision of M the quality of the result is not considered reliable.

The Cerviño and Vázquez (2003) method combines survey abundance indices at age with the estimated cat chability at age, from last reliable accepted XSA, in order to estimate total abundance at age. Uncertainty in both parameters, survey abundance and catchability, are estimated from sampling theory and bootstrapping XSA, respectively. With this method, estimates of abundance at age from surveys, with its associated variability, are the basis to calculate the SSB distribution and the probability of being above or below any limit.

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A  $B_{lim}$  of 14 000 tons was established in 2001 for this stock (Cerviño and Vázquez, 2000). Given the present moratorium, the fishery re-opening criterion may include a decision on the current SSB estimates being above that level in probabilistic terms. Once the re-opening criteria are achieved, this kind of analysis could also allow a stochastic analysis of catch options by projections of short or medium term. This method allow assessing stocks without catches provide that survey abundance indices and an estimate of catchability are available, as it is the case of Flemish Cap cod; but could also be useful if a VPA based assessment gives unrealistic results.

This assessment updates the status of the stock using the methodology proposed by Cerviño and Vázquez (2003) based primarily on the Flemish Cap Survey indices at age and the catchability estimates from the 1999 accepted XSA. Indices and catchability uncertainty are used to calculate the statistical distribution of SSB estimates and its probability of being below or above  $B_{lim}$ .

#### **Material and Methods**

## <u>Data</u>

Survey indices of abundance at age and their errors, survey catchability at age and their errors, weight at age and maturity at age are the inputs used to carry out a survey-based assessment. Errors in the maturity and weight at age were not taken into account. An estimate of total mortality is also used to transform the abundance at survey time (in summer) to the beginning of the year.

The EU bottom trawl survey of Flemish Cap was carried out since 1988 targeting the main commercial species down to 730. The surveyed zone includes the complete area distribution for cod, which rarely occurs deeper than 500 meters. The sampling procedure did not change along the series, although the research vessel used in 1989 and 1990 was not the same as used for the rest of the series. From 2003 onwards the survey was carried out with the new R/V *Vizconde de Eza* (Casas, 2004) keeping the same gear and survey procedure. Comparative fishing trials with the former vessel, R/V *Cornide de Saavedra*, were performed in 2003 and 2004 in order to make the series comparable (Casas and González-Troncoso, 2005). In total, 130 paired hauls with *Cornide de Saavedra* and *Vizconde de Eza* were carried out (in which 68 of them cod appeared) and the conversion factors to transform the *Cornide de Saavedra* values to *Vizconde de Eza* equivalents were estimated (González-Troncoso and Casas, 2005). The transformed data of cod abundance at age and their standard errors calculated following Cerviño (2002) are presented in Table 2. Weight and maturity ate at age are presented in Table 3.

Catchability at age was derived using a XSA based on catch data until 1999 because since then annual catches are very low introducing high uncertainty on XSA results (Cerviño and Vázquez, 2003). However, as the surveys indices were transformed to the new vessel R/V *Vizconde de Eza*, the XSA was re-run again with the new survey indices and using the same setting of 1999 XSA assessment. In summary, age 1 was calibrated with a two-parameter model or dependence on stock abundance, catchability for ages 2, 3 and 4 were estimated as independent of stock size from a one-parameter model, and catchability for older ages was considered constant and equal to age 4 catchability in the settings. Variance of catchability estimates from XSA has two components: one due to the survey sampling variability and other due to the year to year catchability variability. Therefore, a *bootstrap*-subtracting algorithm based on the XSA model was defined to quantify the second component of variance, i.e. due to inter-annual variability, assuming additivity and independence among both components. This algorithm has three steeps:

- 1. Total error in catchability parameters is estimated by *conditioned bootstrap*. Covariance matrix is presented in Table 4 (upper panel).
- 2. Partial errors due to indices variability are estimated by *unconditioned bootstrap*. 3000 XSA were run with different survey indices estimated from Montecarlo simulation assuming a log-normal error in the survey abundances in order to estimate the q error due to survey. Covariance matrix is presented in Table 4 (intermediate panel).
- 3. Catchability covariance matrix is calculated by subtracting the two previous matrices: the one due to survey variability from the one due to total variability. The result is presented in Table 4 (lower panel)

The values, standard errors and correlation matrix of the catchability used for simulation are presented in Table 5.

## The stochastic model

The model follows the catchability equation, which relates the true abundance (N) to an abundance index (I):

$$I_{y,a} = q_a * N_{y,a} * \mathbf{\mathcal{E}}_{y,a}$$

where q is the catchability and  $\varepsilon$  an error factor; the sub-index y relates to the year and a to age. Based on that, N is estimated from abundance index and estimated catchability according to:

$$N_{y,a} = I_{y,a}^* / q_a^*$$

where the super-index \* indicates stochastic values. *I* and *q* are assumed to follow a lognormal distribution with expected value and standard errors as described before. *q* covariances were included in the model, but *I* covariances were not included because they are low in the last years.

The abundance (*N*) needs to be corrected to the beginning of the year ( $N^0$ ) because that is the scale for  $B_{lim}$ . Since the EU survey is carried out in the middle of the year, the assumed total mortality (*Z*) included natural mortality (*M*) equal 0.2, and fishing mortality (*F*) from 2002 assessment, which was considered negligible.

$$N_{y,a}^0 = N_{y,a} * \exp(t * Z)$$

SSB was calculated from survey results as the sum of products of abundance at age (N), mean weight (W) and maturity rate (Mat) at age.

$$SSB = \sum_{a=1}^{n} N_{y,a}^{0} * W_{y,a} * Mat_{y,a}$$

SSB distribution was calculated by a bootstrap where I and q were re-sampled independently 2000 times. The method allows estimating the *bootstrap* statistical properties of abundance at age and SSB: mean, standard deviation, coefficient of variance, skewness, statistical bias and percentiles.

#### Results

The mean catch per tow and the mean numbers per tow in the Flemish Cap survey decreased continuously from 1989 to the lowest observed level in 2003. They increased slightly in 2004 and 2005 but still remained at the lowest historic levels (Fig. 1). The abundance at age 1 in the EU survey in 2005 is the highest observed since 1993, nevertheless it is well below of the values observed before 1993, when the population was healthy (Table 2).

Deterministic results for abundance at age and for SSB are presented in Table 6. Abundances at age for each year were estimated independently using the results; which imply that cohort abundances are not forced to decrease from year to year necessarily as in the VPA. Although the 2005 SSB slightly increased (5 044 tons) from the lowest historic observed level of 2003 (1 372 tons), it is well below the values observed in the historic time series. Abundance at ages 4 to 8+ in 2005 are at the lowest observed level. Abundance at age 1 in 2005 is the highest since 1993, however it remains at a low level in relation to the abundance at age 1 before 1993.

Probability distributions of abundance at age, calculated by bootstrap, are presented in Tables 7 and 8, and in Fig 2 and 3. Table 7 shows the *bootstrap* statistics for abundance at age. All the means are slightly above their deterministic values due to bias in the range between 1.2 % and 3.2 %, except for age 1, which had a bias of 53.6 %. Abundance at age 1 was estimated with the two parameter model and it is likely that its distribution doesn't match properly with the assumed lognormal distribution. Coefficients of variation range from 0.25 for age 3 to 0.62 for age 2.

Stochastic SSB estimates are showed in Table 8. The stochastic SSB means are also slightly above the deterministic values and their bias are around 2.7 % in the whole series. Coefficients of variation range from 0.18 in 1990 to 0.27 in 1993, being 0.22 in 2005. Figure 1 shows the trend in SSB with 90 % percentiles as well as the values derived form the last two XSAs carried out in 1999 and 2002, respectively (Vázquez and Cerviño, 2002; Cerviño and Vázquez, 2003). Although XSA values are in some cases outside the confidence margins of survey-based values, both series show similar trends and both XSA and survey-based SSB are under  $B_{lim}$  since 1998. Moreover, the cumulative SSB distribution shows the probability of being over  $B_{lim}$ , which was set at 14 000 tons for 3M cod, is 0% (Fig. 3). All the 3000 *bootstrap* values of SSB are below  $B_{lim}$ .

### Discussion

Based on the observed trends of the EU bottom trawl survey abundance at age it could be concluded that cod 3M population continues collapsed. All year-classes are at similar or lower level than in previous years, and no signal of recovery is observed. The abundance at age 1 in the EU survey in 2005 was the highest observed since 1993, nevertheless it was well below in comparison to the values observed before 1993, when the population was healthy, and it is not expected that the stock will recover based on the observed low level of all cohorts.

Moreover, the proposed survey-based method reinforced the perception of the state of the stock. Moreover, it has other advantages with respect to traditional VPA based assessment in a situation of no catches. Particularly because this method presents the probability distribution of the SSB which fit within the NAFO PA framework and, thus, it can be used by Scientific Council to advice about re-opening the fishery and the risk associated with that decision.

- The method avoids the use of a VPA based method, which results became unrealistic year after year given the low catch levels that occurred since 2000.
- The method uses abundance indices and catchability at age from VPA as input variables to produce an absolute SSB estimate, the same scale used to set  $B_{lim}$  (14 000 tons for 3M cod).
- The method provides the error distribution of state variables, SSB and abundance at age, taking into account the survey sampling errors and the survey catchability errors.
- The method provides the distribution SSB estimates, which allows calculating the probability of being below or above  $B_{lim}$ , avoiding the need of setting  $B_{buf}$  as a precautionary reference.
- The abundance at age distribution allows the use of stochastic projections as a tool to advice on the fishing mortality that could be applied after re-opening.
- The method can be applied to other stocks in a situation similar to Flemish Cap cod. A survey with estimated errors of abundance at age and estimates of catchability at age are only needed.

In summary, the current SSB, being estimated around 5 000 tons, is at historic low levels in the whole series and, although recruitment at age 1 shows a lightly increase respect to previous years, it is unlikely to expect a stock recovery in a short or medium term.

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| Year | Estimated | Faroes | Japan | Korea | Norway | Portugal | Russia | Spain | UK   | France | Poland | Others | Total |
|------|-----------|--------|-------|-------|--------|----------|--------|-------|------|--------|--------|--------|-------|
| 1959 |           |        |       |       | 11     |          | 6470   | 466   |      |        |        | 2      | 6949  |
| 1960 |           | 260    |       |       | 166    | 9        | 11595  | 607   |      |        | 2      | 96     | 12735 |
| 1961 |           | 246    |       |       | 116    | 2155     | 12379  | 851   | 600  | 2626   | 336    | 1548   | 20857 |
| 1962 |           | 188    | 1     |       | 95     | 2032     | 11282  | 1234  | 93   |        | 888    | 363    | 16176 |
| 1963 |           | 969    | 35    |       | 212    | 7028     | 8528   | 4005  | 2476 | 9501   | 1875   | 853    | 35482 |
| 1964 |           | 1518   | 333   |       | 1009   | 3668     | 26643  | 862   | 2185 | 3966   | 718    | 1172   | 42074 |
| 1965 |           | 1561   |       |       | 713    | 1480     | 37047  | 1530  | 6104 | 2039   | 5073   | 771    | 56318 |
| 1966 |           | 891    |       |       | 125    | 7336     | 5138   | 4268  | 7259 | 4603   | 93     | 259    | 29972 |
| 1967 |           | 775    |       |       | 200    | 10728    | 5886   | 3012  | 5732 | 6757   | 4152   | 802    | 38044 |
| 1968 |           | 852    | 223   |       | 697    | 10917    | 3872   | 4045  | 1466 | 13321  | 71     | 235    | 35699 |
| 1969 |           | 750    | 30    |       | 1047   | 7276     | 283    | 2681  |      | 11831  |        | 42     | 23940 |
| 1970 |           | 379    | 34    |       | 1347   | 9847     | 494    | 1324  | 3    | 6239   | 53     | 1      | 19721 |
| 1971 |           | 708    | 6     |       | 926    | 7272     | 5536   | 1063  |      | 9006   | 19     | 1647   | 26183 |
| 1972 |           | 6902   |       |       | 952    | 32052    | 5030   | 5020  | 4126 | 2693   | 35     | 693    | 57503 |
| 1973 |           | 7754   |       |       | 417    | 11129    | 1145   | 620   | 1183 | 132    | 481    | 39     | 22900 |
| 1974 |           | 1872   |       |       | 383    | 10015    | 5998   | 2619  | 3093 |        | 700    | 258    | 24938 |
| 1975 |           | 3288   |       |       | 111    | 10430    | 5446   | 2022  | 265  |        | 677    | 136    | 22375 |
| 1976 |           | 2139   |       |       | 1188   | 10120    | 4831   | 2502  |      | 229    | 898    | 359    | 22266 |
| 1977 |           | 5664   | 24    |       | 867    | 6652     | 2982   | 1315  | 1269 | 5827   | 843    | 1576   | 27019 |
| 1978 |           | 7922   | 22    |       | 1584   | 10157    | 3779   | 2510  | 207  | 5096   | 615    | 1239   | 33131 |
| 1979 |           | 7484   | 74    |       | 1310   | 9636     | 4743   | 4907  |      | 1525   | 5      | 26     | 29710 |
| 1980 |           | 3259   | 37    |       | 1080   | 3615     | 1056   | 706   |      | 301    | 33     | 381    | 10468 |
| 1981 |           | 3874   | 9     |       | 1154   | 3727     | 927    | 4100  |      | 79     |        | 3      | 13873 |
| 1982 |           | 3121   | 10    | 4     | 375    | 3316     | 1262   | 4513  | 33   | 119    |        |        | 12753 |
| 1983 |           | 1499   | 1     |       | 111    | 2930     | 1264   | 4407  |      |        |        | 3      | 10215 |
| 1984 |           | 3058   | 9     |       | 47     | 3474     | 910    | 4745  |      |        |        | 459    | 12702 |
| 1985 |           | 2266   | 5     |       | 405    | 4376     | 1271   | 4914  |      |        |        | 438    | 13675 |
| 1986 |           | 2192   | 6     |       |        | 6350     | 1231   | 4384  |      |        |        | 355    | 14518 |
| 1987 |           | 916    | 269   |       |        | 2802     | 706    | 3639  |      | 2300   |        |        | 10632 |
| 1988 | 28899     | 1100   | 5     | 6     |        | 421      | 39     | 141   |      |        |        | 6      | 1718  |
| 1989 | 48373     |        | 38    | 321   |        | 170      | 10     | 378   |      |        |        |        | 917   |
| 1990 | 40827     | 1262   | 24    | 815   |        | 551      | 22     | 87    |      |        |        | 1      | 2762  |
| 1991 | 16229     | 2472   | 54    | 82    | 897    | 2838     | 1      | 1416  | 26   |        |        | 1203   | 8989  |
| 1992 | 25089     | 747    | 2     | 18    |        | 2201     | 1      | 4215  | 5    |        |        | 6      | 7226  |
| 1993 | 15958     | 2931   |       | 3     |        | 3132     |        | 2249  |      |        |        | 1      | 8316  |
| 1994 | 29916     | 2249   |       |       | 1      | 2590     |        | 1952  |      |        |        |        | 6885  |
| 1995 | 10372     | 1016   |       |       |        | 1641     |        | 564   |      |        |        |        | 3221  |
| 1996 | 2601      | 700    |       |       |        | 1284     |        | 176   | 129  |        |        | 16     | 2305  |
| 1997 | 2933      |        |       |       |        | 1433     |        | 1     | 23   |        |        |        | 1475  |
| 1998 | 705       |        |       |       |        | 456      |        |       |      |        |        |        | 456   |
| 1999 | 353       |        |       |       |        | 3        |        |       |      |        |        |        | 3     |
| 2000 | 55        |        |       |       |        | 30       | 6      |       |      |        |        |        | 36    |
| 2001 | 37        |        |       |       |        | 54       |        |       |      |        |        |        | 54    |
| 2002 | 33        |        |       |       |        | 32       | 1      |       |      |        |        |        | 33    |
| 2003 | 16        |        |       |       |        | 7        |        |       |      |        |        | 9      | 16    |
| 2004 | 5         |        |       |       |        |          | 2      |       |      |        |        | 3      | 5     |
| 2005 | 19        |        |       |       |        | 16       |        |       |      |        |        |        | 3     |

Table 1 – Total cod catch in Flemish Cap. Reported nominal catches since 1959 and estimated total catch since 1988 in tons.

**Table 2** - EU bottom trawl survey abundance indices (in '000) for ages 1 to 14 and years 1988 to 2005 transformed to Vizcondede Eza equivalents (upper panel); corresponding standard errors (lower panel).

| Abun dance | Indices 1988 | 1989   | 1990    | 1991  | 1992  | 1993  | 1994  | 1995  | 1996 | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 | 2004 | 2005 |
|------------|--------------|--------|---------|-------|-------|-------|-------|-------|------|------|------|------|------|------|------|------|------|------|
| 1          | 485          | 0 2210 | 0 2660  | 46100 | 75480 | 4600  | 3340  | 1640  | 41   | 42   | 27   | 7    | 186  | 487  | 0    | 665  | 0    | 8069 |
| 2          | 7892         | 0 1210 | 0 14020 | 29400 | 44280 | 56100 | 4550  | 13670 | 3580 | 171  | 94   | 96   | 16   | 2048 | 1340 | 53   | 3379 | 16   |
| 3          | 4905         | 0 0640 | 5920    | 20600 | 6290  | 35400 | 31580 | 1540  | 7649 | 3931 | 106  | 128  | 343  | 15   | 609  | 610  | 25   | 1118 |
| 4          | 1337         | 0 6340 | 0 19970 | 2500  | 2540  | 1300  | 5760  | 4490  | 1020 | 5430 | 1408 | 129  | 207  | 125  | 24   | 131  | 602  | 78   |
| 5          | 145          | 0 2380 | 0 18420 | 7800  | 410   | 1500  | 150   | 1070  | 2766 | 442  | 1763 | 792  | 100  | 81   | 68   | 22   | 168  | 708  |
| 6          | 21           | ) 160  | 5090    | 2100  | 1500  | 200   | 70    | 40    | 221  | 1078 | 87   | 491  | 467  | 15   | 36   | 47   | 5    | 136  |
| 7          | 22           | ) 20   | 390     | 300   | 270   | 600   | 10    | 30    | 9    | 24   | 165  | 21   | 180  | 146  | 28   | 7    | 10   |      |
| 8          | 6            | 0 10   | 0 170   | 100   | 10    | 100   | 120   | 0     | 6    | 0    | 0    | 7    | 11   | 101  | 96   | 8    | 3    | 17   |
| 9          |              | )      | 90      | 0 0   | 0     | 0     | 0     | 20    | 0    | 0    | 6    | 0    | 17   | 6    | 33   | 37   | 5    | 8    |
| 10         |              | )      | 0 30    | 0 0   | 0     | 0     | 10    | 10    | 0    | 0    | 0    | 0    | 0    | 6    | 0    | 25   | 16   | 8    |
| 11         |              | )      | 0 0     | 0 0   | 10    | 0     | 0     | 0     | 0    | 0    | 0    | 0    | 0    | 6    | 6    | 0    | 0    | 0    |
| 12         |              | )      | 0 0     | 0 0   | 0     | 0     | 0     | 0     | 0    | 6    | 0    | 0    | 5    | 0    | 0    | 0    | 0    | 0    |
| 13         |              | )      | 0 0     | 0 0   | 0     | 0     | 0     | 0     | 0    | 0    | 0    | 0    | 0    | 0    | 0    | 0    | 0    | 0    |
| 14         |              | )      | 0 0     | 0 0   | 0     | 0     | 0     | 0     | 0    | 0    | 0    | 0    | 5    | 0    | 0    | 0    | 0    | 0    |

| Standard Error | 1988  | 1989  | 1990 | 1991  | 1992  | 1993  | 1994 | 1995 | 1996 | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 | 2004 | 2005 |
|----------------|-------|-------|------|-------|-------|-------|------|------|------|------|------|------|------|------|------|------|------|------|
| 1              | 1575  | 3358  | 590  | 49587 | 16130 | 2307  | 707  | 407  | 22   | 25   | 17   | 9    | 46   | 149  | 0    | 360  | 0    | 727  |
| 2              | 12388 | 1973  | 1676 | 5178  | 10717 | 60189 | 1712 | 5547 | 426  | 57   | 35   | 36   | 15   | 199  | 89   | 29   | 320  | 10   |
| 3              | 5903  | 12593 | 728  | 3614  | 1746  | 7422  | 8003 | 319  | 1411 | 870  | 31   | 50   | 145  | 9    | 62   | 90   | 10   | 204  |
| 4              | 2357  | 6035  | 2636 | 397   | 934   | 348   | 1416 | 837  | 187  | 906  | 145  | 43   | 52   | 44   | 14   | 41   | 95   | 36   |
| 5              | 399   | 2871  | 2373 | 1692  | 190   | 558   | 50   | 232  | 424  | 81   | 229  | 140  | 31   | 30   | 22   | 18   | 38   | 151  |
| 6              | 64    | 264   | 689  | 424   | 499   | 88    | 33   | 19   | 53   | 138  | 28   | 76   | 87   | 6    | 14   | 24   | 5    | 53   |
| 7              | 77    | 54    | 99   | 74    | 89    | 151   | 9    | 18   | 10   | 13   | 48   | 14   | 45   | 47   | 13   | 10   | 7    | 0    |
| 8              | 37    | 75    | 72   | 33    | 13    | 39    | 44   | 0    | 9    | 0    | 0    | 9    | 11   | 32   | 24   | 10   | 3    | 14   |
| 9              | 0     | 10    | 50   | 22    | 0     | 0     | 0    | 18   | 0    | 0    | 10   | 0    | 14   | 12   | 14   | 23   | 5    | 7    |
| 10             | 0     | 9     | 23   | 9     | 0     | 0     | 10   | 9    | 0    | 0    | 0    | 0    | 0    | 10   | 0    | 19   | 10   | 7    |
| 11             | 0     | 0     | 0    | 14    | 10    | 0     | 0    | 0    | 0    | 0    | 0    | 0    | 0    | 9    | 6    | 0    | 0    | 0    |
| 12             | 0     | 0     | 0    | 0     | 0     | 0     | 0    | 0    | 0    | 9    | 0    | 0    | 10   | 0    | 0    | 0    | 0    | 0    |
| 13             | 0     | 0     | 0    | 0     | 0     | 0     | 0    | 0    | 0    | 0    | 0    | 0    | 0    | 0    | 0    | 0    | 0    | 0    |
| 14             | 0     | 0     | 0    | 0     | 0     | 0     | 0    | 0    | 0    | 0    | 0    | 0    | 10   | 0    | 0    | 0    | 0    | 0    |

| Weight at age         | 1988                           | 1989                           | 1990                           | 1991                   | 1992                   | 1993                           | 1994                   | 1995             | 1996             | 1997                           | 1998             | 1999             | 2000             | 2001             | 2002             | 2003             | 2004             | 2005             |
|-----------------------|--------------------------------|--------------------------------|--------------------------------|------------------------|------------------------|--------------------------------|------------------------|------------------|------------------|--------------------------------|------------------|------------------|------------------|------------------|------------------|------------------|------------------|------------------|
| 1                     | 0.03                           | 0.04                           | 0.04                           | 0.05                   | 0.05                   | 0.04                           | 0.06                   | 0.05             | 0.04             | 0.08                           | 0.07             | 0.10             | 0.10             | 0.08             | 0.00             | 0.05             | 0.07             | 0.02             |
| 2                     | 0.10                           | 0.24                           | 0.17                           | 0.17                   | 0.25                   | 0.22                           | 0.21                   | 0.24             | 0.25             | 0.32                           | 0.36             | 0.37             | 0.58             | 0.48             | 0.42             | 0.33             | 0.60             | 0.64             |
| 3                     | 0.31                           | 0.54                           | 0.34                           | 0.50                   | 0.49                   | 0.66                           | 0.59                   | 0.47             | 0.53             | 0.64                           | 0.75             | 0.92             | 0.96             | 1.25             | 1.12             | 0.90             | 1.42             | 1.37             |
| 4                     | 0.68                           | 1.04                           | 0.85                           | 0.86                   | 1.38                   | 1.21                           | 1.32                   | 0.96             | 0.80             | 1.00                           | 1.19             | 1.30             | 1.61             | 1.70             | 1.43             | 1.50             | 2.07             | 2.44             |
| 5                     | 1.97                           | 1.60                           | 1.50                           | 1.61                   | 1.70                   | 2.27                           | 2.26                   | 1.85             | 1.32             | 1.31                           | 1.66             | 1.85             | 1.91             | 2.56             | 2.47             | 2.86             | 3.22             | 3.13             |
| 6                     | 3.59                           | 2.51                           | 2.43                           | 2.61                   | 2.63                   | 2.37                           | 4.03                   | 3.16             | 2.27             | 2.10                           | 1.99             | 2.44             | 2.83             | 3.42             | 3.59             | 3.52             | 5.31             | 4.54             |
| 7                     | 5.77                           | 4.27                           | 4.08                           | 4.26                   | 3.13                   | 3.45                           | 4.03                   | 5.56             | 4.00             | 2.00                           | 3.10             | 3.51             | 3.47             | 3.91             | 4.86             | 5.52             | 5.88             |                  |
| 8+                    | 6.93                           | 6.93                           | 5.64                           | 7.69                   | 6.69                   | 5.89                           | 6.72                   | 8.48             | 5.03             | 9.57                           | 7.40             | 4.89             | 5.28             | 5.22             | 5.31             | 5.80             | 7.84             | 6.21             |
|                       |                                |                                |                                |                        |                        |                                |                        |                  |                  |                                |                  |                  |                  |                  |                  |                  |                  |                  |
|                       |                                |                                |                                |                        |                        |                                |                        |                  |                  |                                |                  |                  |                  |                  |                  |                  |                  |                  |
| Maturity at age       | 1988                           | 1989                           | 1990                           | 1991                   | 1992                   | 1993                           | 1994                   | 1995             | 1996             | 1997                           | 1998             | 1999             | 2000             | 2001             | 2002             | 2003             | 2004             | 2005             |
| Maturity at age       | <b>1988</b><br>0               | <b>1989</b><br>0               | <b>1990</b><br>0               | <b>1991</b><br>0       | <b>1992</b><br>0       | <b>1993</b><br>0               | <b>1994</b><br>0       | <b>1995</b><br>0 | <b>1996</b><br>0 | <b>1997</b><br>0               | <b>1998</b><br>0 | <b>1999</b><br>0 | <b>2000</b><br>0 | <b>2001</b><br>0 | <b>2002</b><br>0 | <b>2003</b><br>0 | <b>2004</b><br>0 | <b>2005</b><br>0 |
| Maturity at age       |                                |                                |                                |                        |                        |                                |                        |                  |                  |                                |                  |                  |                  |                  |                  |                  |                  |                  |
| 1                     | 0                              | 0                              | 0                              | 0                      | 0                      | 0                              | 0                      | 0                | 0                | 0                              | 0                | 0                | 0                | 0                | 0                | 0                | 0                | 0                |
| 1<br>2                | 0<br>0                         | 0<br>0                         | 0<br>0                         | 0<br>0                 | 0<br>0                 | 0<br>0                         | 0<br>0                 | 0<br>0           | 0<br>0           | 0<br>0                         | 0<br>0           | 0<br>0           | 0<br>0<br>0.33   | 0<br>0<br>0.33   | 0<br>0<br>0.33   | 0 0              | 0                | 0 0              |
| 1<br>2<br>3           | 0<br>0<br>0.04                 | 0<br>0<br>0.04                 | 0<br>0<br>0.07                 | 0<br>0<br>0            | 0<br>0<br>0            | 0<br>0<br>0.02                 | 0<br>0<br>0.02         | 0<br>0<br>0      | 0<br>0<br>0.02   | 0<br>0<br>0.08                 | 0<br>0<br>0.33   |
| 1<br>2<br>3<br>4      | 0<br>0<br>0.04<br>0.18         | 0<br>0<br>0.04<br>0.18         | 0<br>0<br>0.07<br>0.34         | 0<br>0<br>0<br>0.23    | 0<br>0<br>0<br>0.23    | 0<br>0<br>0.02<br>0.16         | 0<br>0<br>0.02<br>0.57 | 0<br>0<br>0      | 0<br>0<br>0.02   | 0<br>0<br>0.08<br>0.69         | 0<br>0<br>0.33   |
| 1<br>2<br>3<br>4<br>5 | 0<br>0<br>0.04<br>0.18<br>0.63 | 0<br>0<br>0.04<br>0.18<br>0.63 | 0<br>0<br>0.07<br>0.34<br>0.52 | 0<br>0<br>0.23<br>0.78 | 0<br>0<br>0.23<br>0.79 | 0<br>0<br>0.02<br>0.16<br>0.73 | 0<br>0<br>0.02<br>0.57 | 0<br>0<br>0      | 0<br>0<br>0.02   | 0<br>0<br>0.08<br>0.69<br>0.91 | 0<br>0<br>0.33   |

**Table 3** - Weight and maturity at age estimated from EU bottom trawl survey.

| Table 4 - | Variance-covariance matrix for catchability parameters from XSA with calibration data from 1988 to 1999. Upper      |
|-----------|---|
|           | panel shows covariance estimated by conditioned <i>bootstrap</i> . Intermediate panel shows covariance estimated by |
|           | unconditioned bootstrap. And the lower panel shows the difference among conditioned and unconditioned               |
|           | covariance.   |

| Conditioned   | q'1    | exp 1  | q 2   | q 3   | q 4   |
|---------------|--------|--------|-------|-------|-------|
| q'1           | 0.021  |        |       |       |       |
| exp 1         | -0.013 | 0.011  |       |       |       |
| q 2           | 0.005  | -0.003 | 0.029 |       |       |
| q 3           | 0.002  | -0.001 | 0.006 | 0.039 |       |
| q 4           | 0.002  | -0.001 | 0.004 | 0.004 | 0.022 |
| Unconditioned | q'1    | exp 1  | q 2   | q 3   | q 4   |
| q'1           | 0.007  |        |       |       |       |
| exp 1         | -0.005 | 0.005  |       |       |       |
| q 2           | 0.001  | -0.001 | 0.011 |       |       |
| q 3           | 0.001  | -0.001 | 0.000 | 0.008 |       |
| q 4           | 0.001  | 0.000  | 0.001 | 0.001 | 0.005 |
| ConUncon.     | q'1    | exp 1  | q 2   | q 3   | q 4   |
| q'1           | 0.014  |        |       |       |       |
| exp 1         | -0.008 | 0.007  |       |       |       |
| q 2           | 0.004  | -0.002 | 0.018 |       |       |
| q 3           | 0.001  | -0.001 | 0.005 | 0.031 |       |
| q 4           | 0.001  | 0.000  | 0.004 | 0.003 | 0.017 |

Table 5 -Catchability parameters applied in the simulation. Expected values were estimated from XSA with calibration data<br/>from 1988 to 1999. Standard errors and correlation were estimated from the *bootstrap*-subtracting algorithm.

| Q          | Mean | <i>S.E.</i> | CV   | corr  | q'1   | exp 1 | q 2  | q 3  | q 4  |
|------------|------|-------------|------|-------|-------|-------|------|------|------|
| q'1        | 0.11 | 0.12        | 1.07 | q'1   | 1.00  |       |      |      |      |
| exp 1      | 1.17 | 0.08        | 0.07 | exp 1 | -0.81 | 1.00  |      |      |      |
| <i>q</i> 2 | 1.13 | 0.13        | 0.12 | q 2   | 0.23  | -0.19 | 1.00 |      |      |
| q 3        | 1.04 | 0.18        | 0.17 | q 3   | 0.05  | -0.05 | 0.23 | 1.00 |      |
| q 4        | 0.79 | 0.13        | 0.16 | q 4   | 0.07  | -0.05 | 0.21 | 0.14 | 1.00 |

Table 6.- Abundance at age and spawning stock biomass (SSB) estimated from the deterministic algorithm.

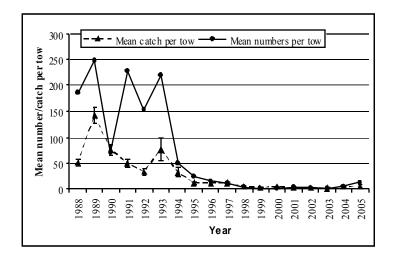
| Abun dance | 1988  | 1989   | 1990  | 1991   | 1992   | 1993   | 1994  | 1995  | 1996  | 1997  | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 | 2004 | 2005  |
|------------|-------|--------|-------|--------|--------|--------|-------|-------|-------|-------|------|------|------|------|------|------|------|-------|
| 1          | 10171 | 37145  | 6090  | 186415 | 106050 | 9721   | 7396  | 4029  | 173   | 176   | 121  | 36   | 629  | 1428 | 0    | 1862 | 0    | 15710 |
| 2          | 79337 | 11822  | 13776 | 29064  | 51764  | 156736 | 6339  | 13327 | 3561  | 167   | 92   | 94   | 22   | 2010 | 1306 | 51   | 3294 | 16    |
| 3          | 64144 | 139331 | 7106  | 28174  | 10931  | 53314  | 62223 | 1926  | 9487  | 5929  | 119  | 147  | 471  | 16   | 648  | 649  | 27   | 1190  |
| 4          | 24392 | 134359 | 46608 | 4171   | 7026   | 3368   | 14626 | 12940 | 2118  | 10392 | 2285 | 207  | 291  | 182  | 34   | 184  | 841  | 109   |
| 5          | 2651  | 63186  | 50470 | 14534  | 1824   | 3718   | 255   | 5856  | 6534  | 1090  | 3184 | 1270 | 142  | 115  | 95   | 30   | 235  | 989   |
| 6          | 437   | 3477   | 15082 | 4336   | 4186   | 1072   | 141   | 501   | 466   | 2399  | 180  | 754  | 657  | 21   | 50   | 65   | 7    | 190   |
| 7          | 610   | 615    | 987   | 750    | 1638   | 1372   | 33    | 241   | 13    | 87    | 246  | 32   | 253  | 205  | 39   | 10   | 14   | 0     |
| 8+         | 166   | 308    | 734   | 250    | 121    | 229    | 435   | 241   | 8     | 22    | 9    | 10   | 55   | 167  | 189  | 98   | 34   | 46    |
|            |       |        |       |        |        |        |       |       |       |       |      |      |      |      |      |      |      |       |
| SSB (tons) | 12390 | 102558 | 78259 | 33969  | 18765  | 15893  | 15953 | 25369 | 10854 | 14008 | 8878 | 4627 | 3857 | 2316 | 1888 | 1372 | 2667 | 5044  |

**Table 7** - Bootstrap statistics for abundance at age in 2005.

| Abundance 2005     | 1     | 2    | 3    | 4    | 5    | 6    | 7 | 8    | 9    | 10   |
|--------------------|-------|------|------|------|------|------|---|------|------|------|
| Mean               | 24135 | 16   | 1224 | 112  | 1016 | 196  | 0 | 25   | 11   | 11   |
| Standard Deviation | 11610 | 10   | 307  | 56   | 274  | 85   | 0 | 22   | 10   | 10   |
| CV                 | 0.48  | 0.62 | 0.25 | 0.50 | 0.27 | 0.43 | - | 0.91 | 0.90 | 0.89 |
| Skewness           | 1.6   | 2.1  | 0.8  | 1.7  | 0.8  | 1.4  | - | 4.3  | 3.0  | 2.9  |
| Bias               | 53.6% | 1.2% | 2.9% | 2.7% | 2.7% | 2.9% | - | 3.2% | 2.8% | 2.6% |
| 5%                 | 10653 | 5    | 793  | 47   | 631  | 92   | 0 | 5    | 2    | 2    |
| 10%                | 12378 | 6    | 868  | 55   | 695  | 106  | 0 | 7    | 3    | 3    |
| 50%                | 21403 | 13   | 1187 | 100  | 977  | 180  | 0 | 18   | 8    | 9    |
| 90%                | 38960 | 28   | 1632 | 184  | 1379 | 305  | 0 | 48   | 23   | 23   |
| 95%                | 45671 | 35   | 1772 | 218  | 1513 | 357  | 0 | 63   | 30   | 30   |

**Table 8** - *Bootstrap* statistics for Spawning Stock Biomass. Bias expressed as percentage  $[100*(\overline{x}_{boot} - x_{obs}) / x_{obs}]$ .

| SSB survey (bootstrap) | ) 1988 | 1989   | 1990   | 1991  | 1992  | 1993  | 1994  | 1995  | 1996  | 1997  | 1998  | 1999 | 2000 | 2001 | 2002 | 2003 | 2004 | 2005 |
|------------------------|--------|--------|--------|-------|-------|-------|-------|-------|-------|-------|-------|------|------|------|------|------|------|------|
| Mean                   | 12725  | 105378 | 80367  | 34910 | 19288 | 16325 | 16386 | 26044 | 11145 | 14394 | 9120  | 4749 | 3963 | 2377 | 1939 | 1409 | 2738 | 5182 |
| Standard Deviation     | 2656   | 19305  | 14612  | 7645  | 5048  | 3943  | 3992  | 5441  | 2295  | 2799  | 1713  | 922  | 814  | 554  | 389  | 382  | 557  | 1135 |
| CV                     | 0.21   | 0.18   | 0.18   | 0.22  | 0.26  | 0.24  | 0.24  | 0.21  | 0.21  | 0.19  | 0.19  | 0.19 | 0.21 | 0.23 | 0.20 | 0.27 | 0.20 | 0.22 |
| Skewness               | 0.73   | 0.57   | 0.53   | 0.90  | 0.79  | 0.78  | 0.86  | 0.62  | 0.66  | 0.70  | 0.53  | 0.52 | 0.72 | 0.60 | 0.68 | 1.37 | 0.62 | 0.72 |
| Bias                   | 2.7%   | 2.7%   | 2.7%   | 2.8%  | 2.8%  | 2.7%  | 2.7%  | 2.7%  | 2.7%  | 2.8%  | 2.7%  | 2.6% | 2.8% | 2.6% | 2.7% | 2.7% | 2.7% | 2.7% |
| 5%                     | 12559  | 102863 | 77118  | 34879 | 17111 | 14411 | 15988 | 24290 | 10325 | 13051 | 8630  | 4654 | 3725 | 2011 | 1912 | 1107 | 2776 | 4899 |
| 10%                    | 8986   | 77503  | 58623  | 24093 | 12336 | 10796 | 10795 | 18229 | 7757  | 10441 | 6594  | 3405 | 2784 | 1577 | 1387 | 909  | 1926 | 3549 |
| 50%                    | 12424  | 103445 | 79165  | 34066 | 18643 | 15821 | 15957 | 25553 | 10866 | 14095 | 8956  | 4661 | 3883 | 2315 | 1892 | 1354 | 2681 | 5056 |
| 90%                    | 16241  | 131213 | 100061 | 44782 | 26139 | 21565 | 21521 | 33111 | 14194 | 18079 | 11404 | 6003 | 5022 | 3123 | 2452 | 1894 | 3455 | 6674 |
| 95%                    | 17596  | 139671 | 106608 | 48643 | 28757 | 23483 | 23586 | 35924 | 15353 | 19418 | 12215 | 6406 | 5422 | 3388 | 2643 | 2096 | 3756 | 7243 |



**Figure 1.** Mean catches per tow (Kg) with SD and mean number per tow of Atlantic cod in EU Flemish Cap survey (1988-2005). The values of the period 1998-2002 are transformed from R/V *Cornide de Saavedra* to R/V *Vizconde de Eza* equivalents. 2003-2005 data are original from R/V *Vizconde de Eza*.

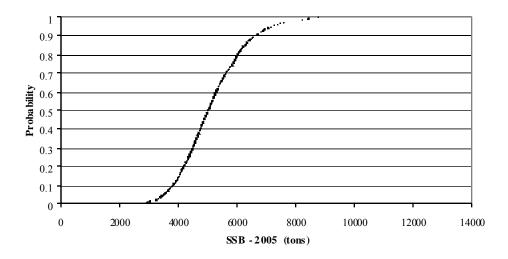


Figure 2 - SSB values and confidence intervals [0.05-0.95] for years 1988 to 2005 estimated with the stochastic survey-based method. The grey line and broken line represent the SSB values estimated from XSA in 1999 (for q estimation) and in the last assessment of 2002, respectively. The red thick line is the  $B_{lim}$  level at 14 000 tons.

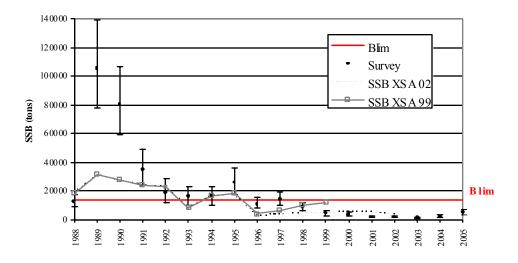


Figure 3 - Cumulative distribution of the 2005 SSB estimates.